

Haley Securities, Inc.
Form CRS
Customer Relationship Summary June 30, 2020

Introduction	<p>Haley Securities, Inc. is registered with the Securities and Exchange Commission (SEC) as a broker-dealer and is a member of the Financial Industry Regulatory Authority (FINRA) and the Securities Investor Protection Corporation (SIPC). Brokerage and investment advisory services and fees differ, and it is important for you to understand these differences. Free and simple tools are available to research firms and financial professionals at Investor.gov/CRS, which also provides educational materials about broker-dealers, investment advisers, and investing.</p>
What investment services and advice can you provide me?	<p>Haley Securities is a limited purpose broker dealer. The only products offered by the firm are private placement limited partnerships issued by sponsors which are affiliated companies of Haley Securities. These products are available only to accredited investors with certain net worth and investment knowledge. The firm does not provide services to buy or sell securities other than the limited partnerships offered by our affiliated sponsors and does not provide account monitoring services. Your representative from Haley Securities will open an account for you to record your investments in these limited partnerships. No other securities will be traded through your Haley Securities account(s).</p> <p>Conversation Starters. Ask your registered representative-</p> <ul style="list-style-type: none"> • What is your relevant experience, including your licenses, education and other qualifications? What do these qualifications mean?
What fees will I pay?	<p>Investments in your account(s) at Haley Securities are limited to purchases of private placement limited partnership units issued by our affiliated sponsors. Haley Securities does not charge any account maintenance or transaction level fees. Costs to the partnerships for which we place investments include fees paid to Haley Securities for selling units to raise equity and to other affiliates which provide acquisition services, property management and other necessary services. These fees are not charged directly to investors. They are paid by the partnerships and therefore impact cash flow and profits available to investors. IRA custodians charge account setup, annual maintenance fees and termination fees ranging from \$50-\$340.</p>

<p>What fees will I pay? (Cont.)</p>	<p>You will pay fees and costs whether you make or lose money on your investments. Fees and costs will reduce any amount of money you make on your investments over time.</p> <p>For additional information, please see the current Offering Memorandum.</p> <p>Conversation Starter. Ask your registered representative—</p> <ul style="list-style-type: none"> • Help me understand how these fees and costs might affect my investments. If I give you \$10,000 to invest, how much will go to fees and costs, and how much will be invested for me?
<p>What are your legal obligations to me when providing recommendations? How else does your firm make money and what conflicts of interest do you have?</p>	<p><i>When we provide you with a recommendation</i>, we must act in your best interest and not put our interest ahead of yours. At the same time, the way we make money creates some conflicts with your interests. You should understand and ask us about these conflicts because they can affect the recommendations we provide you. Here is an example to help you understand what this means.</p> <ul style="list-style-type: none"> • Proprietary products-the only products we offer are issued by our affiliates. We make money by selling interests in these limited partnerships. • For additional information, please see the current Offering Memorandum. • Conversation Starter. Ask your registered representative— How might your conflicts of interest affect me, and how will you address them?
<p>How do your financial professionals make money?</p>	<p>Registered representatives at Haley Securities receive a commission for each investment they place for a client. The commission is based on the dollar amount of the investment and comes from the fees paid by the partnerships to Haley Securities.</p>
<p>Do you or your financial professionals have legal or disciplinary history?</p>	<p>Yes</p> <p>Visit Investor.gov/CRS for a free and simple search tool to research us and our financial professionals.</p> <p>Conversation Starter. Ask your registered representative—</p> <ul style="list-style-type: none"> • As a financial professional, do you have any disciplinary history? For what type of conduct?
<p>Additional Information</p>	<p>For additional information about our services, contact Haley Securities at 402-397-0780.</p> <p>If you would like additional, up-to-date information or a copy of this disclosure, please call Brian Overly at 402-397-0780.</p>