

HWJ Capital Partners II, LLC Form CRS

Item 1 Introduction

06/30/2020

HWJ Capital Partners II, LLC is registered with the Securities and Exchange Commission as a Broker-Dealer and is a member of FINRA and the Securities Investor Protection Corporation.

Brokerage and investment advisory services and fees differ, and it is important for you to understand the differences. The SEC offers free and simple tools to research firms and financial professionals at Investor.gov/CRS which also provides information tailored to educate retail investors about financial professionals.

Item 2 Relationships and Services

What investment services and advice can you provide me?

Our firm offers brokerage services to retail investors including buying and selling securities.

Our firm provides limited discretionary authority for the following services. If you invest on a discretionary basis, our firm will buy and sell investments in your accounts without requiring your pre-approval on an ongoing basis until you notify us in writing to switch.

- Portfolio management—monitored continuously as part of our standard service.
- Buying and selling securities—monitored continuously as part of our standard service.

For additional information including minimum investment amounts, please email JHarch@harchcapital.com for our Fee Schedule and our Regulation Best Interest Disclosures.

Conversation starters. Ask your financial professional:

- *Given my financial situation, should I choose a brokerage service? Why or why not? How will you choose investments to recommend to me?*
- *What is your relevant experience, including your licenses, education and other qualifications? What do these qualifications mean?*

Item 3 Fees, Costs, Conflicts and Standard of Conflict

What fees will I pay?

Our fees can be assessed quarterly. Some fees create a conflict of interest described below.

- If our firm charges you fixed fees our representatives may offer products to you that cost more and therefore you will be charged more.

There are no other fees or costs related to our services.

Additional Information:

You will pay fees and costs whether you make or lose money on your investments. Fees and costs will reduce any amount of money you make on your investments over time. Please make sure you understand what fees and costs you are paying.

For more detailed information about our fees and costs please request our Regulation BI disclosure and Fee Schedule from Joseph Harch @ JHarch@harchcapital.com.

Conversation starters. Ask your financial professional:

- *Help me understand how these fees and costs might affect my investments. If I give you \$10,000 to invest, how much will go to fees and costs, and how much will be invested for me?*

What are your legal obligations to me when providing recommendations? How else does your firm make money and what conflicts of interest do you have?

When we provide you with a recommendation, we have to act in your best interest and not put our interest ahead of yours. At the same time, the way we make money creates some conflicts with your interests. You should understand and ask us about these conflicts because they can affect the recommendations we provide you. Here are some examples to help you understand what this means.

- Our firm makes money by offering products and services to you that we in turn earn money on and therefore have an incentive to sell these products to you.
-

Conversation starters. Ask your financial professional:

– How might your conflicts of interest affect me, and how will you address them?

Additional information:

For more detailed information about our fees and costs please request our Regulation BI disclosure and Fee Schedule from Joseph Harch @ JHarch@harchcapital.com.

How do your financial professionals make money?

- our financial professionals charge fixed fees for their services.

Item 4 Disciplinary History

Do you or your financial professionals have legal or disciplinary history?

Our firm has disciplinary history addressed in our Form BD. Visit Investor.gov/CRS, or FINRA Broker Check on the FINRA website, for a free and simple search tool to research our firm and our financial professionals.

Conversation Starters. Ask your financial professional:

– As a financial professional, do you have any disciplinary history? For what type of conduct?

Item 5 Additional Information

You can find additional information about our services and request a copy of the relationship summary by emailing Joseph Harch at jharch@harchcapital.com or calling us at 561-226-6199.

Conversation starters. Ask your financial professional:

– Who is my primary contact person? Is he or she a representative of an investment adviser or a broker-dealer? Who can I talk to if I have concerns about how this person is treating me?