

INTRODUCTION

ACP Securities, LLC, "ACPS" or the "Firm" is registered with the Securities and Exchange Commission (SEC) as a broker-dealer and is a member of the Financial Industry Regulatory Authority (FINRA) and the Securities Investor Protection Corporation (SIPC). Brokerage and investment advisory services and fees differ, and it is important for you to understand these differences. Free and simple tools are available to research firms and financial professionals at [Investor.gov/CRS](https://www.investor.gov/crs), which also provides educational materials about broker-dealers, investment adviser, and investing.

WHAT INVESTMENT SERVICES AND ADVICE CAN YOU PROVIDE ME?

Our **brokerage** services include buying and selling securities. We may offer recommendations; however, we do not have discretionary authority over your brokerage account. This means that you, the client, makes the ultimate decision regarding the purchase or sale of investments. The recommendations we make available or offer advice on are not solely provided with respect to proprietary products or a limited menu of products or types of investments. ACPS does not have any material limitations on the type of products it can recommend. Clients are able to transact different products, including stocks, bonds, mutual funds, ETFs, options, and alternatives products. ACPS also offers institutional trading capabilities on equities, fixed income and structured notes. ACPS does not offer account monitoring services unless the retail investor requires it. From time to time, ACPS voluntarily reviews clients' accounts to determine whether our recommendation continues to be in clients' best interest. ACPS targets account minimums of \$500,000, but we may reduce or waive this minimum in some circumstances.

ACPS SERVICES				
Type of Account/Relationship	Buy Securities	Sell Securities	Securities Recommendations	Account Monitoring
Traditional Brokerage	Yes	Yes	Yes	No
Introduced Brokerage	Yes	Yes	No	No

For additional information, please see the Firm's Regulation Best Interest Disclosures and other applicable documents. Please also visit our website at <https://www.acp-group.com/> or visit www.sec.gov / www.finra.org. Please ask us for more information.

Conversation Starters. Ask your financial professional –

- **Why or why not?**
- **How will you choose investments to recommend to me?**
- **What is your relevant experience, including your licenses, education and other qualifications? What do these qualifications mean?**

WHAT FEES WILL I PAY?

The principal and main costs retail investors will incur for brokerage services are commissions charged to each transaction performed in the account. Every time the client buys or sells a security there will be a commission assessed on the transaction. The more transactions placed on an account, the more commissions are generated and therefore, the firm has an incentive to encourage you to trade often.

ACPS will mark up the price when we sell you a security, and ACPS will mark down the price when we buy a security from you. There are other fees and costs involved in a brokerage account and when dealing with a brokerage house which include but are not limited to: custodian fees, service fees, account maintenance fees, fees related to mutual funds or alternative investments and other transactional and product level fees.

You will pay fees and costs whether you make or lose money on your investments. Fees and costs will reduce any amount of money you make on your investments over time. Please make sure you understand what fees and costs you are paying.

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Conversation Starters. Ask your financial professional –

Help me understand how these fees and costs might affect my investments. If I give you \$10,000 to invest, how much will go to fees and costs, and how much will be invested for me?

WHAT ARE YOUR LEGAL OBLIGATIONS TO ME WHEN PROVIDING RECOMMENDATIONS? HOW ELSE DOES YOUR FIRM MAKE MONEY AND WHAT CONFLICTS OF INTEREST DO YOU HAVE?

When we provide you with a recommendation, we have to act in your best interest and not put our interest ahead of yours. At the same time, the way we make money creates some conflicts with your interests. You should understand and ask us about these conflicts because they can affect the recommendations, we provide you. Some examples include:

Proprietary Products: Proprietary products are investments that are issued, sponsored, or managed by us or our affiliates. We offer proprietary products which may result in additional compensation for us or our affiliates which can create an incentive to recommend proprietary products over other types of investments.

Third-Party Payments: Certain third parties pay us additional compensation to cover things like the costs of marketing, this creates an incentive for us to recommend these over other types of securities.

Revenue Sharing: Certain third parties, such as mutual fund sponsors, may share revenue with us that they receive when you purchase an investment. This also creates an incentive for us to recommend products that pay us more.

Principal Trading: At times we may buy or sell certain securities from you directly from our own accounts. This may result in additional compensation and in such cases creates an incentive to recommend such investments to you. We may also be active in the same markets at the same time and have an incentive to maximize our own returns.

If there are any situations where we might have a conflict of interest when recommending services, products, or professionals to you, we'll let you know promptly. We have rules in place to review on these potential conflicts we've mentioned. We give guidance to clients without any bias or favoritism, and our recommendations for investments are based on what's best for your financial situation and goals.

For additional information on these and other conflicts, visit: https://www.acp-group.com/regulatory_disclosure_and_legal/

Ask your Financial Professional (if applicable)

- *How might your conflicts of interest affect me, and how will you address them?*

HOW DO YOUR FINANCIAL PROFESSIONALS MAKE MONEY?

ACPS' financial professionals are compensated a percentage of the total revenues generated in each account or client. There could be instances where certain products (i.e., mutual funds or ETPs or private investments) offer upfront commissions depending on total amounts invested and would also offer a back-end commission depending on performance of the investment. The Firm has the discretion to compensate the financial professionals with a discretionary bonus based on performance be it of total assets under management or supervision or based on total revenues generated for a certain period of time. Our representatives can get increased compensation based on higher production. This represents a conflict of interest since the more commissions they generate, the more they earn. Certain products pay ongoing compensation (trailers), therefore our financial professionals (some of which may be dually registered) may be incentivized to recommend products that have higher fees and/or ongoing payments.

For more information on types of compensation, visit: https://www.acp-group.com/regulatory_disclosure_and_legal/

DO YOU OR YOUR FINANCIAL PROFESSIONALS HAVE LEGAL OR DISCIPLINARY HISTORY?

Yes. ACPS has legal or disciplinary history that is currently disclosed on Form BD Item 11.E and is publicly available through Broker Check following the link: <https://brokercheck.finra.org/firm/summary/139049>. Our financial professionals do have legal or disciplinary history. Please use FINRA Broker Check to review any disciplinary history of our financial professionals. <https://brokercheck.finra.org/>

Ask your Financial Professional (if applicable)

- *As a financial professional, do you have any disciplinary history? For what type of conduct?*

For additional information about our brokerage or investment advisory services or to request a copy of the relationship summary, please contact us at info@acpsecurities.com. If you would like additional, up-to-date information or a copy of this disclosure, please call 305- 670-4180.

Ask your Financial Professional (if applicable)

- *Who is my primary contact person? Who can I talk to if I have concerns about how this person is treating me?*