



BOLT-X LLC

Customer Relationship Summary

BOLT-X LLC (“Bolt”) is a broker-dealer registered with the Securities and Exchange Commission (“SEC”) and is a member of the Financial Industry Regulatory Authority (“FINRA”) and the Securities Investor Protection Corporation (SIPC). Brokerage and investment advisory services and fees differ, and it is important for you to understand the differences. Free and simple tools are available to research firms and financial professionals at <https://www.investor.gov/CRS> which also provides educational materials about broker-dealers, investment advisers, and investing.

What investment services and advice can you provide me?

Bolt offers investors the ability to buy and sell investment products like stocks, options, and futures in a brokerage account. The investor is responsible for opening their clearing account with a registered clearing firm that will clear all of your transactions and safekeep your funds. Bolt acts in an agency broker-dealer capacity and does not make investment recommendations. All trading at Bolt is directed by you or your self-selected authorized agent. Bolt employs registered representatives for the sole purpose of servicing clients’ accounts, supporting Bolt’s operations, and introducing new clients. Bolt registered representatives are prohibited from making recommendations to retail investors.

Bolt does not provide or support discretionary accounts, nor does the Firm have account minimums.

Bolt does not employ "brokers" or "advisors" to manage your account. We do not monitor the performance of your account for purposes of recommending changes in investments or make recommendations as to whether you should buy, sell or hold investment products. At all times you will make the ultimate decision regarding the purchase or sale of any investment. We do monitor your account for other purposes such as compliance and to ensure that your account does not present undue risk to Bolt.

We do not offer the general public the ability to open brokerage accounts with us. Generally, we will only open brokerage accounts for investors who meet the definition of “institutional account” under FINRA rule 4512(c). Additional information about the services we offer investors is available at <https://bolt-x.io/>. Note that certain services and investments described therein may not be available to you.

Questions to Ask Us:

- Given my financial situation, should I choose a brokerage service? Why or why not?
- How will you choose investments to recommend to me?
- What is your relevant experience, including your licenses, education, and other qualifications? What do these qualifications mean?

What fees will I pay?

As a broker-dealer, we solely effect transactions on an agency basis and typically charge you a disclosed commission for each transaction.

As our fees are transaction-based (that is, we only assess a fee when you trade), we have an incentive to encourage you to trade. Further, as we incur certain costs in connection with executing your orders on third-party platforms, we may pass through fees associated with your trades that may include clearing fees, regulatory fees, trading center fees and trade reporting fees, if applicable.

Description of other fees and costs



We may offer investors both “all-in” and “pass-through” pricing models. If you select all-in pricing, we will charge you a fee that covers the majority of exchange and regulatory fees, although certain regulatory fees may still be passed-through to you. If you elect pass-through pricing, we will charge you a fee and we will pass-through market center, exchange and regulatory fees and rebates charged or credited to us, either in whole or in part. We separately charge fees for clearance and settlement, and you may be responsible for such fees.

You will pay fees and costs whether you make or lose money on your investments. Fees and costs will reduce any amount of money you make on your investments over time. Please make sure you understand what fees and costs you are paying.

Questions to Ask Us:

- Help me understand how these fees and costs might affect my investments. If I give you \$10,000 to invest, how much will go to fees and costs, and how much will be invested for me?
- What are your legal obligations to me when providing recommendations?
- How else does your firm make money and what conflicts of interest do you have?

The way we make money may create some conflicts with your interests. You should understand and ask us about these conflicts. Here are some examples to help you understand what this means. We do not provide recommendations. The way we make money creates some conflicts with your interests. You should understand and ask us about these conflicts because they can affect the services we provide to you. Here are some examples to help you understand what this means:

- Payment for Order Flow. Certain market centers may provide us compensation in the form of rebates or similar payments for orders routed to such market centers. Dependent on your pricing model, we may receive payment from the market center where you routed your order.

Questions to Ask Us: How might your conflicts of interest affect me, and how will you address them?

How do your financial professionals make money?

Our financial professionals receive a base salary and periodic bonuses. Compensation, including the amount of any bonus, is based on a number of factors, including overall performance of the firm and the business unit in which the employee works, as well as an evaluation of the employee’s performance by the employee’s supervisors and senior management.

Do you or your financial professionals have legal or disciplinary history?

Questions to Ask Us: Does your Firm have any disciplinary history? For what type of conduct? Yes, our Firm has a disciplinary history.

Do any of your financial professionals have any disciplinary history? For what type of conduct? Yes, an individual with the Firm has a past disciplinary history.

Please visit [Investor.gov/CRS](https://investor.gov/CRS) for a free and simple search tool to research us and our financial professionals.

Additional Information

Additional information about our brokerage services are available on FINRA’s BrokerCheck website (<https://brokercheck.finra.org/>) and at <https://bolt-x.io/>. To request up-to-date information on our firm, including an up-to-date copy of this relationship summary, please contact your sales representative or call 312-724-7176.



Questions to Ask Us: Who is my primary contact person? Is he or she a representative of an investment adviser or a broker-dealer? Who can I talk to if I have concerns about how this person is treating me?