



## Form CRS

2020-06-29

### Introduction

HEDGE CO SECURITIES, LLC is registered with the Securities and Exchange Commission as a broker-dealer and is a member FINRA / [SIPC](#). Fees for brokerage and investment advisory services differ among broker-dealers and investment advisers and it is important that you understand the differences. Free and simple tools are available to research firms and financial professionals at [Investor.gov/CRS](#), which also provides educational materials about broker-dealers, investment advisers, and investing.

You may obtain information about SIPC, including the SIPC brochure, by contacting SIPC. Securities in your account are protected up to \$500,000. For details, please see [www.sipc.org](#).

### What investment services and advice can you provide me?

While we are a licensed broker-dealer, we offer only limited brokerage services to retail investors. It is important that you discuss your options with your financial adviser to determine if our services are appropriate for you.

We do not require a minimum account size or investment amount to establish a brokerage account.

We have a limited menu of products or types of investments, which currently is access to private placement offerings and information.

The principal types of brokerage services we engage in for retail investors includes:

Since we currently do not carry any customer accounts, we offer only access and information on private placements of securities to accredited individuals; and generally, as a firm we provide referrals to prime brokers for institutional accounts, such as hedge funds. We provide a list of active private placements on company's seeking subscriptions. We do not make recommendations of which securities to buy or sell. We then refer any indications of interest in any of these companies to their sponsors. We do not monitor your account. This means you are responsible for monitoring the investments in your account.

To help you better understand the types of services we offer, we are available to discuss the following questions with you:

*Given my financial situation, should I choose a brokerage service? Why or why not? How will you choose investments to recommend to me? What is your relevant experience, including your licenses, education and other qualifications? What do these qualifications mean?*

### What fees will I pay?

We receive a percentage of the subscription fees paid when someone invests with the sponsor in one of the private placements referred from our list of available deals. In the normal course of any brokerage referrals we make, we receive a portion of the transaction fees charged by the prime broker to which the referral was made.

To help you better understand the impact of fees and costs, we are available to discuss the following question with you:

*Help me understand how these fees and costs might affect my investments. If I give you \$10,000 to invest, how much will go to fees and costs, and how much will be invested for me?*

## **What are your legal obligations to me when providing recommendations? How else does your firm make money and what conflicts of interest do you have?**

**We *do not* provide recommendations. The way we make money creates some conflicts with your interests. You should understand and ask us about these conflicts because they can affect the services, we provide you. Here are some examples to help you understand what this means:**

- **Revenue Sharing:** We receive a portion of the revenue that certain product sponsors and third-party money managers earn for investing client assets in their products/programs. This means we have an incentive to offer and make available certain investments and money managers to you.

To help you better understand the conflicts of interest we have with you, we would like to discuss the following question:

*How might your conflicts of interest affect me, and how will you address them?*

How do your financial professionals make money? Our financial professionals are paid a salary and earn a commission on any referral relationships they make and/or fees the firm receives from subscriptions as a result of their referrals.

## **Do you or your financial professionals have legal or disciplinary history?**

No

Please visit [Investor.gov/CRS](https://www.investor.gov/CRS) for a free and simple search tool to research our firm and your financial professional.

To help you better understand our disciplinary history, we would like to discuss the following question with you: *As a financial professional, do you have any disciplinary history? For what type of conduct?*

## **Additional Information**

**You can find additional up-to-date information about our brokerage services and request a copy of our Relationship Summary by contacting us at Evan Rapoport, the CEO, or Lou Milano the CCO at 561-835-8690**

To help you better understand who to contact with any questions or complaints, we would like to discuss the following question with you: *Who is my primary contact person? Is he or she a representative of an investment adviser or a broker-dealer? Who can I talk to if I have concerns about how this person is treating me?*

Also, our Affiliate's Relationship Summary may be requested by contacting us at the same phone number and email. Please contact either of the firm's principals; Evan Rapoport, the CEO, or Lou Milano the CCO at 561-835-8690 or email at [lmilano@hedgecosecurities.com](mailto:lmilano@hedgecosecurities.com), for more information on the firm and any of its affiliations.