

Poinsett Securities, LLC

Customer Relationship Summary

Form CRS, October 26, 2022

Item 1. Introduction:

Poinsett Securities, LLC is a Broker/Dealer firm that is registered with the Securities and Exchange Commission ("SEC") as broker-dealer. We are a member Financial Industry Regulatory Authority ("FINRA") and the Securities Investor Protection Corporation ("SIPC"). Brokerage and Investment Advisory services and fees differ. It is important for a retail investor to understand these differences. Free and simple tools are available to research firms and financial professionals at investor.gov/CRS, as well as, finra.org/investors, which also provides educational material about Broker/Dealers, Investment Advisers, and investing.

Item 2. Relationships and Services:

What investment services and advice can you provide me?

Our firm does not offer brokerage services or make any recommendations to retail investors. We provide the opportunity to acquire tax credit allocations that can offset tax liabilities for residents of the State of South Carolina. We do not make available, nor do we offer advice on the products and investments that we are approved to sell. The ultimate decision as to your purchase of a tax credit allocation will be yours. We do not monitor your brokerage account. Our firm does not maintain discretionary authority on any retail brokerage accounts. There are no account minimum requirements to open or maintain an account.

Conversation Starters you may wish to ask your financial professional:

What is your relevant experience, including your licenses, education, and other qualifications? What do these qualifications mean?

Item 3. Fees, Costs, Conflicts and Standard of Conduct:

What fees will I pay?

We do not charge any transaction-based fees, also known as a commission, for any tax credit allocations purchased on your behalf. In addition, there will be some fees and costs which are charged by third parties. We do receive a portion of fees charged by third parties. These could include transaction fees, safekeeping fees, account maintenance fees, transfer fees, or other fees associated with tax credit allocations you purchase.

Conversation Starters you may wish to ask your financial professional:

Help me understand how these fees and costs might affect my purchase of a tax credit allocation. If I pay \$10,000 to purchase a tax credit allocation, how much will go to fees and costs and how much will be available to offset taxes?

How do your financial professionals make money?

Your financial professional does not receive any compensation from the brokerage firm for any tax credit allocations you may purchase. There are therefore no conflicts of interests resulting from your decision to purchase a tax credit certificate. Our financial professionals may receive compensation from a related third party for services your financial professional renders to that related third party.

Item 4: Disciplinary History

Do you or your financial professionals have legal or disciplinary history?

No, neither the firm, nor its financial professionals have disclosed disciplinary events. You can learn about our legal and disciplinary history at investor.gov/CRS, and brokercheck.finra.org

Conversation Starters you may wish to ask your financial professional:

As a financial professional do you have any disciplinary history? For what type of conduct?

Item 5: Additional Information

We encourage you to call us for more information. You can contact us by phone at (864) 672-4842 to obtain an up-to-date, free of charge Customer Relationship Summary.

Conversation Starters you may wish to ask your financial professional:

Who is my primary contact person? Is he or she a representative of an investment adviser or a broker-dealer?

Who can I talk to if I have concerns about how this person is treating me?