

Fund Investors, Inc.
Relationship Summary
August 31, 2021

Introduction	Fund Investors, Inc. is registered with the Securities and Exchange Commission (SEC) as a broker-dealer and is a member of the Financial Industry Regulatory Authority (FINRA). Brokerage and investment advisory services and fees differ and it is important for you to understand these differences. Free and simple tools are available to research firms and financial professionals at Investor.gov/CRS , which also provides educational materials about broker-dealers, investment advisers, and investing.
What investment services and advice can you provide me?	<p>We offer brokerage services to retail investors, including buying and selling securities.</p> <p>We provide recommendations as to overall asset allocation using mutual funds. We will not recommend any other specific securities or investment strategies. We will confirm the retail customer's investment profile on at least an annual basis, but does not provide any ongoing monitoring.</p> <p>We have no discretionary authority, and the retail customer makes the ultimate decision regarding the purchase or sale of investments.</p> <p><i>We do not limit our investment offerings. In addition, we do not have any requirements to establish a relationship. There is no minimum size or investment amount.</i></p> <p>Conversation Starters. Ask your financial professional—</p> <ul style="list-style-type: none">-Given my financial situation, should I choose a brokerage service? Why or why not?-How will you choose investments to recommend to me?-What is your relevant experience, including your licenses, education and other qualifications? What do these qualifications mean?
What fees will I pay?	<p>Retail customers will be charged a sales load at the time of purchase of a security. The load can be from 0-5.75%, depending on the amount invested and break points obtained.</p> <p>In addition, for certain custodial accounts, an annual amount up to \$20 per account may be charged by the custodian.</p> <p>You will pay fees and costs whether you make or lose money on your investments. Fees and costs will reduce any amount of money you make on your investments over time. Please make sure you understand what fees and costs you are paying.</p>

	<p>Conversation Starter. Ask your financial professional—</p> <p>-Help me understand how these fees and costs might affect my investments. If I give you \$10,000 to invest, how much will go to fees and costs, and how much will be invested for me?</p>
<p>What are your legal obligations to me when providing recommendations? <i>How else does your firm make money and what conflicts of interest do you have?</i></p>	<p>When we provide you with a recommendation, we have to act in your best interest and not put our interest ahead of yours. At the same time, the way we make money creates some conflicts with your interests. You should understand and ask us about these conflicts because they can affect the recommendations we provide you. Here is an example to help you understand what this means.</p> <p>In general, in their role as broker-dealer representatives, our representatives are compensated through sales commissions on the revenues generated by their customers, which creates an incentive to sell products. As revenue differs by product, this creates an incentive for them to promote particular products that generate higher revenue.</p> <p>Conversation Starters. Ask your financial professional—</p> <ul style="list-style-type: none"> • How might your conflicts of interest affect me, and how will you address them?
<p>How do your financial professionals make money?</p>	<p>Our representatives are paid a commission on the products they sell.</p>
<p>Do you or your financial professionals have legal or disciplinary history</p>	<p><i>Yes. Visit www.investor.gov/CRS for a free and simple search tool to research us and our financial professionals.</i></p> <p>Conversation Starters. Ask your financial professional—</p> <ul style="list-style-type: none"> • As a financial professional, do you have any disciplinary history? For what type of conduct?
<p>Additional Information</p>	<p>If you would like additional, up-to-date information or a copy of this disclosure, please call 814-472-9317.</p> <p>Conversation Starters. Ask your financial professional—</p> <ul style="list-style-type: none"> • Who is my primary contact person? Is he or she a representative of the broker dealer? Who can I talk to if I have concerns about how this person is treating me?