



CAPITAL MANAGEMENT
PARTNERS, INC.

Capital Management Partners, Inc.--Relationship Summary

Updated: December 17, 2021

Introduction

Capital Management Partners, Inc. (CMP), is registered with the Securities and Exchange Commission (SEC) as an introducing broker-dealer and is a member of the Financial Industry Regulatory Authority (FINRA) and the Securities Investor Protection Corporation (SIPC).

CMP acts as a placement agent for selected private placement offerings. The issuers (Issuers) of these offerings are generally limited partnerships (LPs) or limited liability corporations (LLCs), and our clients invest in these directly rather than establishing brokerage accounts with us. CMP therefore does not recommend any account types. This document summarizes the services CMP provides and how CMP is compensated for those services.

Free and simple tools are available to research firms and financial professionals at Investor.gov/CRS, which also provides educational material about broker-dealers, investment advisers and investing.

What investment services and advice can you provide me?

CMP introduces its clients to recommended Issuers that manage private investments such as hedge funds and real estate funds. CMP only provides services to accredited investors who meet certain income or net worth requirements.

Based on CMP's discussions with a client regarding the client's investment strategy and objectives, CMP may present different investments for the client's consideration.

CMP only introduces potential investments for a client to review. Any decision to participate in an investment is always the client's decision. We do not offer managed or discretionary accounts or services and do not exercise discretionary authority for any investment of a client.

The minimum investment amount depends upon each Issuer and its specific investment requirements. For example, one fund may accept a minimum investment as low as \$25,000, while another fund may have as a minimum as high as \$250,000.

As part of our services, we monitor your investments on a monthly or quarterly basis using the performance information provided by an Issuer or investment fund, and we also facilitate communication with investment fund managers as requested.

Given my financial situation, should I choose a brokerage service? Why or why not?

The field of alternative investments is vast, so it may benefit you to choose a brokerage firm such as ours that specializes in one primary class of assets.

On the other hand, we offer only a limited number of private placement investments. There may be other potentially more suitable or appropriate investments available for you that we do not offer.

Who is my primary contact person?

Your primary contact person is the CMP registered representative who introduces you to the fund in which you originally invest.

Is he or she a representative of an investment adviser or a broker-dealer?

Your CMP registered representative is a representative of a broker-dealer and not of an investment adviser.

Who can I talk to if I have concerns about how this person is treating me?

Peter Ecob is Chief Financial Officer and Chief Compliance Officer of CMP and should be contacted if there are any concerns about how your registered representative is treating you.

Fees, Costs, Conflicts and Standard of Conduct

What fees will I pay?

Each investment fund we offer has a specific fee structure. It may be a management fee, a performance-based incentive fee, or a combination of the two. A fund may deduct fees monthly, quarterly, or annually.

You will pay fees and costs to the manager whether you make or lose money on your investments. Fees and costs will reduce any amount of money you make on your investments over time. Please make sure you understand what fees and costs you are paying.

CMP does not charge any fees to our clients. Instead, CMP is compensated by the Issuer or fund manager on either a limited or continuing basis from amounts charged by the manager to the fund. The cost of our involvement is borne by the fund manager and is not passed on to a client. There is no increase in or extra fees for investing in a fund through our firm.

CMP is obligated to have a reasonable basis to believe that introductions or recommendations we make to you for potential investments are in your best interest based on your investment profile and the potential risks, benefits and costs associated with such investments. CMP strives to meet this obligation by exercising reasonable diligence, care and skill through a combination of steps, including i) providing you either at the time of making a recommendation or introduction or shortly thereafter with a written description of the material facts and risks of a potential investment as furnished to us by the Issuer or fund manager, ii) performing reasonable diligence to understand any potential investment we recommend or introduce, and iii) maintaining and enforcing written policies and procedures reasonably designed to, among other things, identify and eliminate or mitigate conflicts of interest involving CMP and comply with applicable laws and regulations.

Help me understand how these fees and costs might affect my investments. If I give you \$10,000 to invest, how much will go to fees and costs, and how much will be invested for me?

Each fund CMP introduces to clients charges its own unique fees and costs as described in the offering documentation for the fund. Make sure you understand what fees and costs you are paying for any investment.

What are your legal obligations to me when providing recommendations? How else does your firm make money and what conflicts of interest do you have?

CMP has an obligation to act in your best interest and put your interests ahead of ours. CMP introduces or recommends investments on the basis of a client's risk profile, liquidity and long-term objectives--not based on the fees that we receive.

CMP's compensation is dependent upon the amount of assets our customers have invested and performance of the funds. Our fees increase when the amount of money invested in funds we recommend or introduce is larger, and we may therefore have an incentive to encourage retail investors to increase the amounts of their investments.

How do your financial professionals make money?

CMP's registered representatives are compensated from the fees we receive from the investment funds we introduce to our clients. In general, the larger the amount of client assets in a fund, the greater the compensation our representatives would receive.

Do you or your financial professionals have legal or disciplinary history?

No. CMP has no legal or disciplinary disclosures, and there are no corresponding disclosures for any of our registered representatives. You may access the FINRA BrokerCheck website at brokercheck.finra.org for additional information. Visit Investor.gov/CRS for a free and simple tool to research our firm and our financial professionals.

Additional Information

To request a copy of this relationship summary, please call 800-621-2520.