

DABBAH SECURITIES CORP August 11, 2025
FORM CRS RELATIONSHIP SUMMARY

1. INTRODUCTION

Dabbah Securities Corp is registered with the Securities & Exchange Commission as a broker-dealer that provides brokerage accounts and services-, it is also registered with Finra, CFTC and the NFA it also a member of SIPC . Brokerage and investment advisory services and fees differ; it is important for you to understand those differences. Free and simple tools are available to research firms and financial professionals at: www.investor.gov/CRS which also provides educational materials about broker-dealers, investment advisers, and investing.

2. WHAT INVESTMENT SERVICES AND ADVICE CAN YOU PROVIDE ME?

- The brokerage services we provide include buying and selling securities and (not currently available: recommending investments to retail investors). Our principal service is to execute customer orders in securities and securities options as well as executing customer orders in futures and options on futures. We also, at request of our investor clients, can buy and sell bonds and mutual funds. All type of accounts are offered including corporate accounts and retirement plans.
- We have requirements for opening an account, specifically a 100k minimum and that the investor is accredited specifically: you must either have a net worth exceeding \$1 million on your own or with a spouse excluding primary residence. Or you must earn an income surpassing \$250,000 (\$300,000 if combined with a spouse) during the last two years. You must also prove you can maintain this income status for the current year.
- We can offer you additional services to assist you in developing and executing your investment strategy and monitoring the performance of your account. We are not required to monitor your portfolio or investments on an ongoing basis unless agreed to in advance. Currently we are not offering the monitoring service but there would be no additional charge.
- **Steve Dabbah the Principal can be contacted at 646-464-4825 to inquire about any service.**

Conversation Starters:

- *Given my financial situation, should I choose a brokerage service? Why or why not?*
- *How will you choose investments to recommend to me?*
- *What is your relevant experience, including your licenses, education, and other qualifications? What do these qualifications mean?*

3. FEES, COSTS, CONFLICTS, AND STANDARD OF CONDUCT

3A. What Fees Will I Pay?

You will pay fees and costs whether you make or lose money on your investments. Fees and costs will reduce any amount of money you make on your investments over time. Please make sure you understand what fees and costs you are paying.

- The fee you pay is based on the specific transaction and not on the value of your account.
- -With stocks or exchange-traded funds, the fee is usually a separate commission. With other investments, such as bonds, this fee may be part of the price you pay for the investment (called a mark-up or mark-down). With mutual funds, a fee typically called a load reduces the value of your investment. Mutual funds also charge annual management fees which vary depending on the fund. With certain investments such as variable annuities, you may have to pay fees such as surrender charges to sell the investment, reducing the value of your investment.
- Our clearing broker Interactive Brokers, LLC -may charge you additional fees such as custodian fees, account maintenance fees, and account inactivity fees on an annual basis. We do not participate in those fees. Please contact your professional for details on those fees.
- The more transactions in your account, the more fees we will charge you. We therefore have an incentive to encourage you to engage in transactions.
- Commission rates are negotiated with customers on an account to account basis, generally agreed upon when new account is established. On trades of 1,000 shares or more we normally charge 3 cents/shares or less. Minimum charges are normally \$20-30 (including (including the \$20 ticket cost charged by Interactive Brokers, LLC). Option rates are usually \$3 per contract, \$30 is the minimum

(including a \$0.50 per contract(option) charge by Interactive Brokers LLC. Mutual funds are normally what the fund charges. (Can be Negotiated Down with Steve Dabbah)

- **Mutual Fund fees would be outlined in their prospectus documents available to the investor and will vary.**

Conversation Starters:

Help me understand how these fees and costs might affect my investments. If I give you \$10,000 to invest, how much will go to fees and costs, and how much will be invested for me? How do you determine what fee I will be charged?

3B. What are your legal obligations to me when providing recommendations? How else does your firm make money and what conflicts of interest do you have?

. WE CURRENTLY DO NOT PROVIDE RECOMMENDATIONS OR ADVICE. We outline below what policy would be if that were to change. When we provide you with a recommendation, we have to act in your best interest and not put our interest ahead of yours. At the same time, the way we make money creates some conflicts with your interests. The more transactions in your account the more fees we will charge you. We therefore have an incentive to encourage you to engage in transactions You should understand and ask us about these conflicts because they can affect the recommendations we provide you. Here are some examples to help you understand what this means.

- Proprietary products are investments that are issued, sponsored, or managed by our firm or our affiliates. We and our affiliates make money on proprietary products which may be similar to non-proprietary products which may cost less. Currently we do not offer proprietary products.
- We may buy and sell your investment through our own accounts (called “acting as principal”) and we can earn a profit on these trades. Currently all of our execution is done on an agency basis, so no markup is charged.
- We can make extra money by selling you certain investments either because they are managed by someone related to our firm or because they are offered by companies that pay our firm to sell their investments. Your financial professional also receives more money if you buy these investments. This is usually the case with mutual Fund so fees and conditions must be highlighted in detail by our professionals.

Conversation Starters:

How might your conflicts of interest affect me, and how will you address them?

3C. How do your financial professionals make money?

- **Our professionals are compensated on a cash basis on all type of transactions, specifically 60% of net commissions. The firm makes the 40% balance. Conflict of interest created are outlined in 3b above.**

4. DO YOU OR YOUR FINANCIAL PROFESSIONALS HAVE LEGAL OR DISCIPLINARY HISTORY?

Currently Yes. Dabbah Securities Corp does not have a disciplinary history, but RR Steve Dabbah CRD #2061068 has a current form U4 disclosure that meets the criteria requiring a “yes” disclosure on form CRS. Please Visit www.investor.gov/CRS for a free and simple search tool to research us and our financial professionals. For additional information about our brokers and services, visit <https://brokercheck.finra.org/>, and refer to your account agreement. **Our Form BD is also available upon request this will outline details about the firm.**

Conversation Starters:

As a financial professional, do you have any disciplinary history? For what type of conduct?

5. ADDITIONAL INFORMATION

- You may find additional information about our brokerage services by contacting your investment professional or please contact Steve Dabbah the Principal directly at 646-465-4825 or steve@dabbahsecurities.com
- You may request a copy of the Form CRS Relationship Summary by contacting your investment professional or Steve Dabbah the Principal at steve@dabbahsecurities.com. You may also call **212-697-9870** for a copy or to request up-to-date information.

Conversation starters:

Who is the primary contact person? Is he or she a representative of an investment adviser or broker-dealer? Who can I talk to if I have concerns about how this person is treating me?