

Velox Clearing LLC
Form CRS Client Relationship Summary
January 14, 2025

INTRODUCTION	<p>Velox Clearing LLC is a broker-dealer registered with the Securities and Exchange Commission (“SEC”), New York Stock Exchange and Financial Industry Regulatory Authority (“FINRA”) and a member of the Securities Investor Protection Corporation (SIPC). Brokerage services and investment advisory services are different, and it is important for you to understand how advisory and brokerage services and fees differ in order to determine which type of account, investment or service is right for you. There are free and simple tools available to research firms and financial professionals at www.investor.gov/CRS, which also provides educational materials about investment advisers, broker-dealers, and investing.</p>
WHAT INVESTMENT SERVICES AND ADVICE CAN YOU PROVIDE ME?	<p><i>We are a custody and clearing firm. Our services to retail investors are limited to clearance, settlement, custody, safekeeping and services under contracts and agreements with broker-dealers. We do not provide recommendations to retail investors.</i></p> <p>As a technology driven clearing and custodial firm, we provide back-office services that include stock loan, API integration, transaction execution, and other trading functions. We offer these services only to registered broker-dealers. We do not offer these services directly to retail investors. We have policies and procedures in place to periodically review your account and investments, and we remit confirmations and statements to you, but we do not serve as your financial professional and we do not offer a monitoring service. We do not offer or maintain any proprietary products or investments. All of our services are non-discretionary. That means that you, the investor, make the ultimate decision to enter into transactions and strategies. The registered broker-dealers that contract with us for our services are separately registered and licensed. They are not affiliated with us.</p> <p><u>CONVERSATION STARTERS:</u></p> <p><i>What is your relevant experience, including your licenses, education and other qualifications?</i></p> <p><i>What do these qualifications mean?</i></p>
WHAT FEES WILL I PAY?	<p><i>It is important that you read the disclosure information provided to you by your broker-dealer.</i> These documents contain very important information including the fees, costs and expenses associated with your account, and they include the costs and fees that we charge for our custody and clearance services. We earn transaction-based fees as well as account maintenance fees, such as the fees that you pay to maintain a retirement account with us. We also earn interest or charge other fees</p> <p>such as margin interest. These fees are described in the documentation you receive when you open your account. Please read these documents carefully. The fees and cost we charge will reduce any amount of money you make on your investments over time. You will pay fees and costs whether you make or lose money on your investments. Please make sure you understand what fees and costs you are paying. If you have any questions, please contact your financial professional.</p>

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	<p>Please make sure you understand what fees and costs you are paying. If you have any questions, please contact your financial professional.</p> <p><u>CONVERSATION STARTERS:</u> <i>Can you explain what fees I will be charged for your services.</i></p>
<p>WHAT ARE YOUR LEGAL OBLIGATIONS TO ME WHEN PROVIDING RECOMMENDATIONS?</p> <p>HOW ELSE DOES YOUR FIRM MAKE MONEY AND WHAT CONFLICTS OF INTEREST DO YOU HAVE?</p>	<p><i>We do not act as your financial professional. We are licensed and registered to provide our clearance and custodial services with regulatory agencies including the SEC, FINRA and the NYSE. We are required to comply with the rules of these regulators. Because we do not make recommendations to you, we encourage you to contact your financial professional regarding the steps he or she takes to protect your interests. The way we make money creates some conflicts with your interests. You should understand and ask us about these conflicts.</i></p> <p><i>Here are some examples to help you understand what this means:</i></p> <p>We are compensated for transactions. That means that we have an incentive to permit a high volume of trading in your account.</p> <p><i>We provide reports and information to your financial professional to protect you against these conflicts of interest.</i></p>
HOW DO VELOX'S FINANCIAL PROFESSIONAL MAKE MONEY?	<p>Our licensed representatives do not act as your financial professional. They are compensated by transactions, by salary or by other means not tied to your account.</p> <p><i>If you have any questions about the compensation we receive for transactions or for your type of account, please ask your financial professional for our schedule of fees, or please contact us at (949) 522-6127.</i></p>
DO YOU OR YOUR FINANCIAL PROFESSIONALS HAVE LEGAL OR DISCIPLINARY HISTORY?	<p>Yes - You can visit Investor.gov/CRS for a free and simple search tool to research our firm and financial professionals.</p> <p><u>CONVERSATION STARTERS:</u> <i>As a financial services firm, do you or your financial professionals have any disciplinary history? For what type of conduct?</i></p>
ADDITIONAL INFORMATION	<p>You can find additional information about our firm's licensure and registration on the SEC's website at www.brokercheck.finra.org by searching CRD #290215. You may also contact our firm at (949) 522-6127 to request a copy of this relationship summary and other up-to-date information.</p> <p><u>CONVERSATION STARTERS:</u> <i>Who can I contact for additional information About your firm and financial professionals?</i></p>