



TICKRS CLEARING LLC

Customer Relationship Summary

Effective July 15, 2024

1. Introduction

Tickrs Clearing, LLC is registered with the U.S. Securities and Exchange Commission (www.SEC.gov) as a Broker Dealer and is a member of the Financial Industry Regulatory Authority (www.FINRA.org); and the Securities Investor Protection Corporation (www.sipc.org).

Brokerage and investment advisory services and fees differ, and it's important for you to understand the differences. Free and simple tools are available for you to research firms and financial professionals at investors.gov/CRS which also provides educational materials about broker-dealers, investment advisers and investing.

This Client relationship summary is to provide you information to help make an informed decision about whether to open an account with us.

2. What investment services and advice can you provide me?

Tickrs Clearing, LLC offer brokerage services. Our primary business is providing retail customers with a commission free app-base brokerage platform("App") to place orders to buy and sell stocks, exchange -traded funds ("ETF"), and other securities, or investment strategies such as trading on Margin or using certain options strategies. We offer access to NYSE and NASDAQ listed stocks and ETFs.

Regulation Best Interest Disclosure: Tickrs Clearing, LLC buys and sells securities only at your direction. We do not make recommendations; our clients make their own investment decisions. We do not have any requirements for retail investors to open or maintain an account or establish a relationship, such as minimum account size or investment amount.

We do not monitor the performance of your account or make recommendations as to whether you should buy, sell or hold investment products. We do provide tools to assist you with monitoring your own account. We do monitor your account for other purposes such as compliance and to ensure that your account does not present undue risk to Tickrs Clearing.

Tickrs Clearing, LLC is an Introducing broker-dealer. Your cash and securities will be custodied by "Velocity Clearing LLC ("the Clearing Firm")", which services your account by executing, clearing, and settling your trades. This includes preparing and distributing your account statements and trade confirmations; and extending credit margin account.

Conversation Starters: *Given my financial situation, should I choose a brokerage service? Why or Why not?*

3. What fees will I pay?

Tickrs Clearing, LLC does not charge commissions for executing buy and sell orders. The primary fees you could expect to pay includes:

Margin Interest: Fees on funds you borrow to purchase securities in a Margin account. Other Fees and cost: Regulatory fees: Administration fees, such as regulatory and exchange fees. Fee associated with outbound Wire Cash transfers.

You will pay fees and cost whether you make or lose money on your investments. Fees and cost will reduce any amount of money you make on your investments overtime. Please make sure you understand what fees and cost you are paying.

Conversation Starters: *Help me understand how these fees and cost might affect my investments. If I give you \$10,000 to invest, how much will be invested for me?*

4. What are your legal obligations to me when providing recommendations? How else does your firm make money and what conflicts of interest do you have?

We do not provide recommendations. The way we make money creates some conflicts with your interest. You should understand and ask us about these conflicts because they can affect the services, we provide you. How are some examples to help you understand what this means.

Payment for Order Flow: Tickrs Clearing earns revenue from your trade activity and therefore has a monetary incentive for you to trade more. Specifically, we earn revenue from third-party market centers to which we route customer orders, so we have an incentive to route orders to a market center that pays or rebates us for such order, subject to our best execution requirements. Each market center to which we route orders pays rebates at the same rate and so we do not distinguish between these markets on the basis of rebates. We seek best execution for every order and leverage firm governance to oversee and supervise regular and rigorous reviews of trade execution independent of payment for order flow revenue.

Examples of other Revenue Tickrs Clearing receives:

- Interest on uninvested cash deposited
- Stock loan income from lending the stocks you purchase on margin
- Payment for services rendered in distributing new securities issues.

Conversation Starters: *How might your conflicts of interest affect me, and how will you address them?*

5. How do your financial professional make money?

Tickrs Clearing's financial professionals do not receive commissions or other compensation related to client assets or clients' investment activities. Our financial professionals are paid a salary.

6. Do you or your financial professionals have legal or disciplinary history?

Yes. Visit investors.gov/CRS for free and simple search tools to research Tickrs Clearing and their financial professionals. You can also find additional information about our financial professional and the firm at <https://brokercheck.FINRA.org>. Tickrs Clearing, LLC's CRD number is 312531.

Conversation Starters: *As a financial professional, do you have any disciplinary history? For what type of conduct?*

7. Additional information

For more information about our services or financial professionals or to request an up-to-date version of this form please visit www.tickrsclearing.com or call (949) 214-4856

Conversation Starters: *Who is my primary contact person? Is he or she a representative of an investment adviser or a broker-dealer? Who can I talk to if I have concerns about this person is treating me? Please contact our compliance department at compliance@tickrsclearing.com*