



TECHSPEED CLEARING

Form CRS- Client Relationship Summary

TechSpeed Clearing, LLC, is a broker-dealer registered with the U.S. Securities and Exchange Commission and a member of The Financial Industry Regulatory Authority, Inc. and the Securities Investor Protection Corporation. Brokerage and investment advisory services and fees differ, and it is important for you to understand these differences. The firm provides securities brokerage services to retail and institutional customers. Free and simple tools are available to research firms and financial professionals at investor.gov/CRS, which also provides educational materials about broker-dealers, investment advisers, and investing.

What investment services and advice can you provide me?

Services: We offer brokerage services to retail investors, including buying and selling securities, through a wide selection of investment vehicles, such as equities, corporate bonds and exchange-traded funds, on an unsolicited basis.

Account Monitoring / Investment Authority: We do not monitor your investments on an ongoing basis other than for regulatory purposes. We do not offer discretionary services and do not have investment authority over your account. You make the ultimate decision regarding the purchase and sale of your investments.

Limited Investment Offerings: We offer access to many publicly traded securities but offer limited types and selections of private offerings. We do not offer proprietary products.

Account Minimums: We do not require any account minimums or investment minimums for retail investors.

Questions to Ask:

- ***Given my financial situation, should I choose a brokerage service? Why or why not?***
- ***How will your firm choose investments to recommend to me?***
- ***What is the firm's relevant experience, including its licenses, education, and other qualifications? What do these qualifications mean?***

You may find further information at www.techspeedclearing.com

What fees will I pay?

Principal Fees and Costs: You will incur transaction-based commissions that are negotiated with your broker or as stated in the fee schedule on the firm's website. You will be charged more when there are many transactions in your account, creating an incentive for us to encourage frequent trading, which is a conflict of interest.

Other Fees & Costs: In addition to commissions, you typically will pay other costs and charges in connection with your account and transactions, including maintenance fees, charges for executing trades, exchange fees, clearing fees, and other fees, as detailed in our fee schedule. Also, some investment products (such as exchange-traded funds) may charge additional fees. All fees and commissions reduce the value of your investment over time.

Questions to Ask Us

- ***Help me understand how these fees and cost might affect my investments.***
- ***If I give your firm \$10,000 to invest, how much will go to fees and costs, and how much will be invested for me?***

You will pay fees and costs whether you make or lose money on your investments. Fees and costs will reduce any amount of money you make on your investment over time. Please make sure you understand what fees and costs you are paying.

What are your firm's legal obligations to me when providing recommendations? How else does your firm make money, and what conflicts of interest does it have?

16479 Dallas Parkway, Suite #135
Addison, TX 75001 www.techspeedclearing.com

Standard of Conduct: When we provide you with a recommendation, we have to act in your best interests and not put our interests ahead of your interests. At the same time, the way we make money creates some conflicts with your interests. You should understand and ask us about these conflicts because they can affect the recommendations we provide. Here are examples to help you understand what this means.

Questions to Ask Us

- ***How might your firm's conflicts of interest affect me, and how will your firm address them?***

Examples of Ways We Make Money and Conflicts of Interest:

- We are paid a transaction-based commission for each investment, which is a percentage of the amount you invest. Therefore, we have an incentive for you to invest more. Additionally, our financial professionals are compensated through the commissions they earn. We collect the commissions you pay and then pay a portion to your broker. Brokers may receive a higher payout if the commissions they earn in a given period achieve a certain threshold. This conflict of interest is important because the broker may attempt to generate more commissions to achieve the higher payout threshold.
- Sometimes we act as a principal on certain trades, meaning that we may buy or sell securities to you from our own account. We do not take a commission on these trades, but we do earn money by assessing a mark-up or a mark-down. Buying and selling securities to customers on our behalf is a conflict because it can create an incentive for us to encourage customers to buy securities for our own gain.
- We engage in revenue sharing agreements with other unaffiliated registered entities for services we cannot provide our clients. We receive a portion of revenue from these unaffiliated firms when referring our clients to them. Potential conflicts may arise if services are referred to other entities for the purpose of generating revenue. We will disclose these types of revenue sharing agreements to you prior to allowing you to transact in any purchase or sale that may be affected by such an agreement.
- We receive rebates from some market centers to which we route orders. We mitigate this conflict of interest by selecting market centers based first on the benefit to the client rather than to our firm.
- We offer sweep account options, in which the excess cash balances of your securities account are swept daily into an interest-paying account. We encourage customers to select the deposit sweep account option because it offers the greatest flexibility to quickly access cash reserves for the purchase of securities or withdrawal of funds. The sweep programs are offered by nonaffiliated banks and broker-dealers that keep a portion of the interest earned in the account. The sponsors of these programs share a portion of that revenue with us, which can create a potential conflict. Specific information about this program can be found in your account agreement with us.

You should understand and ask us about these conflicts. You may find further information at:

www.sec.gov/tm/faq-regulation-best-interest

How do your financial professionals make money?

Our financial professionals serving retail accounts are paid a portion of the transaction-based commissions generated by the transactions they facilitate. This transaction-based fee is a percentage of the amount you invest. Therefore, our financial professionals have an incentive for you to invest more.

Questions to Ask Us

- ***As a financial professional, does your firm have any disciplinary history? For what type of conduct?***

Do you or your financial professionals have legal or disciplinary histories?

Financial professionals have legal and disciplinary histories. Visit investor.gov/CRS or brokercheck.finra.org for a free search tool to research the legal and disciplinary histories of the firm and its financial professionals.

Additional Information

You may find additional information about us and our services at <http://www.techspeedclearing.com>. You may request a copy of Form CRS by contacting your registered representative. You may also call (805) 709-3990 to request a copy of up-to-date information.

Questions to Ask Us

- ***Who is my primary contact person? Is he/she a representative of an investment adviser or broker dealer? Who can I talk to if I have concerns about how this person is treating me?***