

Rocket Dollar Capital, LLC

Form CRS (Customer Relationship Summary)

September 23, 2024

Rocket Dollar Capital, LLC is registered with the Securities and Exchange Commission ("SEC") as a broker-dealer and is a member of the Financial Industry Regulatory Authority (FINRA) and the Securities Investor Protection Corporation (SIPC). Brokerage and investment advisory services and fees differ, and it is important for you to understand the differences. Brokerage fees are transaction driven so the more transactions you do, the more commissions or transaction fees are generated. This Client Relationship Summary provides details about our brokerage services, fees, and other important information. Free and simple tools are available for investors to research firms and financial professionals at investor.gov/CRS, which also provides educational materials about broker-dealers, investment advisers, and investing.

What investment services and advice can you provide me?

Rocket Dollar Capital, LLC offers self-directed brokerage services to retail investors. All orders Rocket Dollar Capital accepts are unsolicited. Customers can place orders to buy and sell securities products including corporate equity securities and exchange listed securities. All customer accounts are self-directed, Rocket Dollar Capital, LLC does not make recommendations or provide investment advice to customers.

Monitoring. If you open a securities account with our firm, as part of our standard service we will not monitor your investments on a regular basis. A financial professional may voluntarily review account holdings from time to time, but these reviews are not an account monitoring service.

Investment Authority. In all instances, you have the ultimate decision regarding the purchase or sale of your investment.

Limited Investment Offerings. We do not offer proprietary products. Our offerings are limited to corporate equity securities and exchange listed securities including ETFs.

Account Minimums and Other Requirements. In general, there are no account minimums or other requirements to open or maintain a brokerage account with us.

Conversation Starters. Ask your financial professional these questions to learn more:

- "Given my financial situation, should I choose a brokerage service? Why or why not? How will you choose investments to recommend to me?"
- What is your relevant experience, including your licenses, education, and other qualifications? What do these qualifications mean?

What fees will I pay?

The principal fees and costs associated with engaging our firm for brokerage services include:

Commissions and charges on a per transaction basis in our brokerage accounts. Commissions based on the products sold. Fees are disclosed to you at account opening and yearly in our annual letter to you and will be provided to you upon request.

In addition to our principal fees and costs, there are other fees/costs related to our brokerage services that include: SEC fees, Transfer fees, Custody fees, Inactivity fees, and securities transactions charges.

The more transactions in your account, the more fees we charge you. We therefore have an incentive to encourage you to engage in transactions.

You will pay fees and costs whether you make or lose money on your investments. Fees and costs will reduce any amount of money you make on your investments over time. Please make sure you understand what fees and costs you are paying.

Conversation Starter:

- Help me understand how these fees and costs might affect my investments. If I give you \$10,000 to invest, how much will go to fees and costs, and how much will be invested for me?

What are your legal obligations to me when providing recommendations? How else does your firm make money and what conflicts of interest do you have?

We do not provide recommendations. The way we make money creates some conflicts with your interests. You should understand and ask us about these conflicts because they can affect the services we provide you. Here are some examples to help you understand what this means.

Here are some examples to help you understand what this means:

- Limited Investment Offerings: Our brokerage services cover a limited selection of investments. Other firms could provide a wider range of choices.
- For brokerage accounts, the more trades you place, the more commissions we charge, thus we have an incentive to encourage more trading.

Should you deem that a conflict of interest arises or is imminent—whether that be due to the Firm’s policies and procedures or an action by a Registered Representative, please let the Firm know about it immediately.

Conversation Starter:

- How might your conflicts of interest affect me, and how will you address them?

How do your financial professionals make money?

Registered representatives are compensated based on a percentage of commissions generated from account activity. A conflict of interest arises as they are incentivized to execute more trades thereby increasing their commissions. The commission amount can also vary significantly depending on the specific product sold. For instance, an equity trade could range from less than 1% to 5%.

You will pay fees and costs whether you make or lose money on your investments. Fees and costs will reduce any amount of money you make on your investments over time. Please make sure you understand what fees and costs you are paying.

Do you or your financial professionals have legal or disciplinary history? Yes.

You can visit investor.gov/CRS for a free and simple search tool to research our firm and financial professionals.

Conversation Starter:

- As a financial professional, do you have any disciplinary history? For what type of conduct?

For additional information about Rocket Dollar Capital, LLC or to request a copy of this relationship summary please contact your Registered Representative or call (855) 762-5383.

Conversation Starter:

- Who is my primary contact person?
- Is he or she a representative of an investment adviser or broker-dealer?
- Who can I talk to if I have concerns about how this person is treating me?