

**WISDOMTREE SECURITIES, INC.**  
**Customer Relationship Summary | November 18, 2025**

WisdomTree Securities, Inc. (“WisdomTree,” “we,” or “us”) is registered with the U.S. Securities and Exchange Commission (“SEC”) as a broker-dealer and is a member of the Financial Industry Regulatory Authority (“FINRA”). Brokerage and investment advisory services and fees differ. It is important for you to understand those differences. Free and simple tools are available to research firms and financial professionals at [Investor.gov/CRS](https://www.investor.gov/crs), which also provides educational materials about broker-dealers, investment advisers, and investing.

***WHAT INVESTMENT SERVICES AND ADVICE CAN YOU PROVIDE ME?***

We offer brokerage services to retail investors with respect to limited investment products sponsored or managed by our affiliate. We facilitate the ability for retail investors to purchase and redeem shares of mutual funds managed by our affiliate, with digital representations of shares also recorded – or tokenized – on an applicable blockchain (“Digital Funds”) by our affiliate. We offer all of the Digital Funds on an “application-way” basis, which means we forward your investment application for the purchase or redemption of the Digital Fund’s shares to the fund directly. Your investment is held directly by the applicable Digital Fund, not in a brokerage account at our firm.

We do not monitor your investments on an ongoing basis. We also do not make recommendations. All brokerage activity is self-directed by you, which means that you are responsible for making the ultimate decision with respect to the purchase or redemption of shares of a Digital Fund.

There is no minimum to open an account with us. The Digital Funds have a minimum initial investment amount of \$25, except that the money market Digital Fund has a minimum initial investment amount of \$1.

***For more detailed information about our services:*** Please visit the WisdomTree Prime Mobile Phone Application (“App”).

***Conversation Starters:*** *Given my financial situation, should I choose a brokerage service? Why or why not? How will you choose investments to recommend to me? What is your relevant experience, including your licenses, education and other qualifications? What do these qualifications mean?*

***WHAT FEES WILL I PAY?***

Our firm may charge you a commission with respect to your transactions in Digital Fund shares. To the extent charged, these fees will be calculated as a percentage of the gross value purchased or sold and are disclosed and payable at the time of each transaction. Please see our basic brokerage fee schedule, which is set forth in the App, for more information.

The Digital Funds charge their own separate management fees and incur other costs that are indirectly payable by Digital Fund investors.

To the extent we are charging a commission, we have an incentive for you to transact more often and in larger amounts of Digital Fund shares because we will receive greater fees, which you should bear in mind as a self-directed investor.

You will pay fees charged and costs whether you make or lose money on your investments. Fees and costs will reduce any amount of money you make on your investments over time. Please make sure you understand what fees and costs you are paying.

***For more detailed information about our fees and costs:*** Please visit the App.

**Conversation Starter:** Help me understand how these fees and costs might affect my investments. If I give you \$10,000 to invest, how much will go to fees and costs, and how much will be invested for me?

### **WHAT ARE YOUR LEGAL OBLIGATIONS TO ME WHEN PROVIDING RECOMMENDATIONS? HOW ELSE DOES YOUR FIRM MAKE MONEY AND WHAT CONFLICTS OF INTEREST DO YOU HAVE?**

We **do not** provide recommendations. The way we make money creates some conflicts with your interests. You should understand and ask us about these conflicts because they can affect the services we provide you. Here are some examples to help you understand what this means:

**Proprietary Products:** We or our affiliates typically earn revenue when you transact with or invest in a product that we or one of our affiliates advise, manage or sponsor, such as a Digital Fund. This creates an incentive for us to facilitate your transactions in Digital Funds.

**Conversation Starter:** How might your conflicts of interest affect me, and how will you address them?

**For more detailed information about these conflicts:** Please visit the App.

### **HOW DO YOUR FINANCIAL PROFESSIONALS MAKE MONEY?**

Our financial professionals are compensated with a base salary and a discretionary bonus plan. There is no set formula for financial professionals' base salary or discretionary bonus compensation. Rather, base salaries are intended to be competitive and any discretionary bonus compensation is based on annual firm objectives, overall firm profitability, bottom line results, and each individual's contributions to the team. Our financial professionals therefore have incentives for you to invest in Digital Funds, for which we and/or our affiliates receive compensation.

### **DO YOU OR YOUR FINANCIAL PROFESSIONALS HAVE LEGAL OR DISCIPLINARY HISTORY?**

Yes. You can visit [Investor.gov/CRS](https://investor.gov/CRS) for a free and simple search tool to research us and our financial professionals.

**Conversation Starter:** As a financial professional, do you have any disciplinary history? For what type of conduct?

### **ADDITIONAL INFORMATION**

**Please contact us within the App or at (212) 801-2080 for additional information about our brokerage services and to request an up-to-date copy of this Relationship Summary free of charge.**

**Conversation Starters:** Who is my primary contact person? Is he or she a representative of an investment adviser or a broker-dealer? Who can I talk to if I have concerns about how this person is treating me?