Remsenburg Securities, LLC Customer Relationship Summary (Form CRS)

Remsenburg Securities, LLC is registered with the Securities and Exchange Commission (SEC) as a broker-dealer and is a member of the Financial Industry Regulatory Authority (FINRA) and the Securities Investor Protection Corporation (SIPC). Brokerage and investment advisory services and fees differ, and it is important for you to understand these differences. Free and simple tools are available to research firms and financial professionals at www.investor.gov/CRS, which also provides educational materials about broker-dealers, investment advisers, and investing.

What investment services and advice can you provide me?

We offer brokerage services to retail investors, including buying and selling corporate equities (OTC), corporate debt securities, and participating in firm commitment underwritings. We also offer services involving private placements, M&A advisory, and arranging transactions in listed securities by exchange members. We do not provide discretionary investment authority or ongoing investment monitoring.

Fees, Costs, Conflicts, and Standard of Conduct

You will pay commissions on each transaction. These fees are charged when trades are executed and vary depending on the transaction type. The more trades you make, the more commissions we may earn, which could create an incentive for us to encourage more trading. You will pay fees and costs whether you make or lose money on your investments. Fees and costs will reduce any amount of money you make on your investments over time. Please make sure you understand what fees and costs you are paying.

What are your legal obligations to me when providing recommendations?

We do not make recommendations. We offer execution-only services. We do not offer advice or monitoring of your investments. However, you should understand that transaction-based compensation presents potential conflicts of interest because we benefit from higher trading volumes.

How do your financial professionals make money?

Our only compensation comes from commissions charged on transactions. This creates a potential conflict of interest because we may earn more when you trade more.

Do you or your financial professionals have legal or disciplinary history?

No. Visit <u>www.investor.gov/CRS</u> for a free and simple search tool to research us and our financial professionals.

Additional Information

For additional information about our services, please contact us at:

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You can also find more information at https://brokercheck.finra.org