

TITAN PARTNERS SECURITIES LLC

Customer Relationship Summary

Effective February 2026

Item 1. Introduction

Titan Partners Securities LLC (“**Titan**”, “we”, “our”, or “us”) is registered with the U.S. Securities and Exchange Commission (the “**SEC**”) as a broker-dealer and is a member of the Financial Industry Regulatory Authority, Inc. (“**FINRA**”) and the Securities Investor Protection Corporation (“**SIPC**”). Brokerage and investment advisory services and fees differ, and it is important for you to understand the differences. Free and simple tools are available to research firms and financial professionals at [Investor.gov/CRS](https://investor.gov/CRS), which also provides educational materials about broker-dealers, investment advisers, and investing.

Item 2. Relationships and Services

What investment services and advice can you provide me?

We primarily provide brokerage services to institutional customers and to a lesser extent to sophisticated high net-worth retail investors. Our main service is the selling of publicly-offered and privately-placed securities. We do not provide investment advisory services. While we may offer investment recommendations, the final decision to make an investment rests solely with you. Our recommendations are generally limited to proprietary products, *i.e.*, those that we underwrite or otherwise sponsor.

If you qualify as an eligible investor, we may recommend investments in publicly-issued or privately-placed securities that we would sell to you as an underwriter or on an agency basis. We do not hold your cash or securities. We do not offer ongoing monitoring of your account. Additionally, some of our offerings require a minimum investment size. These requirements will be set out in the offering materials or prospectuses for each such investment.

We do not have an obligation to update recommendations; and we do not make implied hold recommendations to you. For information about the securities we are then offering, please request and review the offering documents or prospectuses for the applicable investment opportunity, including the applicable risk factors and conflicts of interest that relate to each such investment opportunity. Investments in the securities we offer are speculative, involve substantial risk, may be subject to minimum holding periods and/or resale restrictions, and are designed for institutional investors and only those sophisticated retail investors who can bear the economic risks and potential losses from these investments. There is no assurance that such investments will achieve your investment goals or objectives. You may lose money, and investment performance may be volatile. Material risks should be reviewed prior to making an investment.

Additional Information: Our financial professionals can recommend only products that we offer and for which the financial professional is properly licensed. For instance, if we do not offer, or your financial professional is not licensed to recommend certain types of investments for which additional licensing is required (such as municipal bonds), he or she cannot recommend these products, even if purchasing them is in your best interest. Accordingly, you should discuss with your financial professional whether she or he is licensed to provide recommendations appropriate for your financial needs. Additional information about us can be found on our website at https://titanpartnersgrp.com/policies-and-disclosures#regulation_anchor

Questions to Ask Us:

- *Given my financial situation, should I choose a brokerage service? Why or why not?*
- *How will you choose investments to recommend to me?*
- *What is your relevant experience, including your licenses, education and other qualifications? What do these qualifications mean?*

Item 3. Fees, Costs, Conflicts, and Standard of Conduct

What fees will I pay?

The main fees that retail investors will incur for our brokerage services are underwriting concessions and placement fees, and, to a lesser extent, commissions on all secondary market transactions, each of which is assessed on a per trade basis. You will be charged more as you purchase and/or sell more securities through us. We will receive (i) a concession (the spread between what we pay the issuer for the securities we directly purchase from them and the public offering price we sell them to you) when we serve as an underwriter, (ii) placement fees (usually paid by the issuer to us out of the sales we make), when we serve as a placement agent, and (iii) a commission on your sale of those securities you sell through us as well as on other secondary transactions on other securities you may purchase or sell through us.

Concessions, placement fees, and commissions vary depending on various factors, including the type of security, the capital commitment we have to make to purchase publicly underwritten securities, the size of the transaction, and other factors. As we only offer commission-based products, we receive compensation on every transaction you place with us, and the more you execute with us, the more compensation we make. We therefore have an incentive to encourage you to buy and sell often.

In addition to our primary fees and costs disclosed above you will pay, there are additional execution and clearing fees and costs that you will be charged by our clearing firm. For example, you may be charged a minimum ticket charge, account maintenance fees, transfer and electronic fund transfer fees, postage, deferred sales charges, and other fees and taxes on brokerage accounts and securities transactions as described in account agreements and other materials that will be provided to you.

You will pay fees and costs whether you make or lose money on your investments. Fees and costs will reduce any amount of money you make on your investments over time. Please make sure you understand what fees and costs you are paying. For information about fees and associated costs, refer to the offering materials and/or the prospectuses for the applicable investment, all of which we will provide to you, subject to your meeting the eligible investor criteria.

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Questions to Ask Us:

- *Help me understand how these fees and costs might affect my investments. If I give you \$10,000 to invest, how much will go to fees and costs, and how much will be invested for me?*

What are your legal obligations to me when providing recommendations? How else does your firm make money, and what conflicts of interest do you have?

When we provide you with a recommendation, we must act in your best interest and not put our interests ahead of yours. At the same time, the way we make money creates some conflicts with your interests. You should understand and ask us about these conflicts because they can affect the services we provide you. Here are some examples to help you understand what this means:

(a) **Principal Transactions:** When we serve as an underwriter, we purchase securities directly from the public issuer at a discount from the public offering price. When we do this, we are engaging in a principal transaction since we then sell those securities we just purchased directly to our customers at the (higher) public offering price. This creates an incentive for us to sell these securities to you when other securities we do not own may be available to you to purchase through other brokers on an agency basis.

(b) **Private Placement Fees:** When we serve as a private placement agent, we receive significantly greater compensation (fees and commissions) for offering and selling the securities of these private companies than if we were to sell existing, publicly traded securities (e.g., NYSE and Nasdaq listed securities). This creates an incentive for us to sell these securities to you when publicly traded securities are available to you at significantly lower commissions.

(c) **Commission Charges:** Our financial professionals receive a commission for each transaction that you make. As a result, they may be incentivized to recommend that you make larger investments or additional investments as their commissions will increase as the size and the number of your transactions increase.

Questions to Ask Us: *How might your conflicts of interest affect me, and how will you address them?*

For additional information about our conflicts of interest, refer to the then-current offering documents, operative agreements or prospectuses for the applicable investment, copies of which we will provide to you.

How do your financial professionals make money?

Generally, our financial professionals receive a salary plus a commission equal to a percentage of the product sales commission that you are charged; they also receive a discretionary bonus award which is based on factors such as individual performance, and our overall performance. Commissions create a conflict of interest, as our financial professionals may be incentivized to recommend that you make larger or additional investments as this will generate increased commission payments for them. There are times when our financial professionals may invest in a private placement that is also offered to you and our other customers at a reduced fee or with fees waived altogether.

Item 4. Disciplinary History

Do you or your financial professionals have legal or disciplinary history?

No. Please visit [Investor.gov/CRS](https://investor.gov/CRS) for a free and simple search tool to research us and our financial professionals.

Questions to Ask Us: *As a financial professional, do you have any disciplinary history? For what type of conduct?*

Item 5. Additional Information

You can find additional and up-to-date information about our brokerage services and request a copy of this Form CRS by contacting us at (929) 833-1246. You can also find additional information about Titan Partners Securities LLC on FINRA's BrokerCheck website (<https://brokercheck.finra.org/>) by clicking on the Firm tab and then searching CRD # 333575 .

Questions to Ask Us:

- *Who is my primary contact person? Is he or she a representative of an investment adviser or a broker-dealer? Who can I talk to if I have concerns about how this person is treating me?*

Titan Partners Securities LLC, Member FINRA/SIPC