

Brookwood Securities Partners, LLC
Customer Relationship Summary (“CRS”)
April 13, 2023

Brookwood Securities Partners, LLC (“Brookwood Securities,” “we,” “us,” or “our”) is a broker-dealer registered with the Securities and Exchange Commission (“SEC”) and a member of the Financial Industry Regulatory Authority, Inc. (“FINRA”). Brookwood Securities is a wholly-owned subsidiary of Brookwood Financial Partners, LLC (“Brookwood Financial,” and, together with Brookwood Securities, “Brookwood”).

Brokerage and investment advisory services and fees differ. It is important for you, a retail investor,¹ to understand the differences. Free and simple tools are available to research firms and financial professionals at [Investor.gov/CRS](https://www.investor.gov/crs), which also provides educational materials about broker-dealers, investment advisers, and investing.

What investment services and advice can you provide me?

We only provide brokerage services by marketing interests in privately offered funds managed by Brookwood Financial and its affiliated entities (the “Brookwood Funds”). We do not make recommendations to potential investors in the Brookwood Funds. We act as an agent on behalf of the Brookwood Funds, marketing the Brookwood Funds and helping to ensure that potential investors are eligible to invest in the Brookwood Funds. Our investment offerings are limited to the Brookwood Funds, and each Brookwood Fund has its own investment minimums, as disclosed in its private placement memorandum or other offering document.

We do not maintain customer accounts for, or maintain custody of the funds or securities of, investors in the Brookwood Funds. Our services are nondiscretionary, meaning that only you decide whether to buy or sell an interest in a Brookwood Fund. Because we do not make recommendations, you should consult with your own financial and legal professionals before deciding to invest in a Brookwood Fund. While we communicate with you regularly about your investments, we do not “monitor” your investments unless we state otherwise in writing.

For additional information about our services, please see the contact information provided in the Additional Information section below.

Conversation Starters: Given my financial situation, should I choose a brokerage service? Why or why not? How will you choose investments to recommend to me? What is your relevant experience, including your licenses, education and other qualifications? What do these qualifications mean?

What fees will I pay?

The sales charge and other fees you pay depend on the Brookwood Fund you purchase, the amount you invest, and whether you qualify for any available sales charge discount, such as when you invest as part of a group of investors represented by the same agent or other advisor, such as an SEC-registered investment adviser, that, in aggregate, achieves certain minimum amounts. Sales charges and fees are disclosed in the applicable Brookwood Fund’s private placement memorandum or other offering document.

For Brookwood Funds offered to accredited investors, the sales charge (up to 6% of the commitment amount) is paid out of your initial investment amount. These Brookwood Funds will also pay to Brookwood Financial an acquisition fee (up to 6% of the commitment amount) to select, acquire, and finance real estate or other assets. For Brookwood Funds offered to qualified purchasers who meet certain investment amount thresholds, there are no sales or acquisition charges. Brookwood Funds offered to accredited investors may also pay a disposition fee (up to 1% of sale proceeds) to Brookwood Financial for its services in selling real estate or other assets, which

¹ Form CRS defines “retail investor” as a natural person, or the legal representative of a natural person, who receives or seeks to receive services primarily for personal, family, or household purposes. You are not a retail investor if you use our services primarily for purposes other than personal, family, or household purposes.

will be paid out of sale proceeds. You also will pay your pro rata share of a Brookwood Fund's management fees and expenses.

You will pay fees and costs whether you make or lose money on your investments. Fees and costs will reduce any amount of money you make on your investments over time. Please make sure you understand what fees and costs you are paying.

For additional information regarding fees for a specific Brookwood Fund, please refer to the private placement memorandum of the Brookwood Fund in which you are considering investing.

Conversation Starters: Help me understand how these fees and costs might affect my investments. If I give you \$10,000 to invest, how much will go to fees and costs, and how much will be invested for me?

What are your legal obligations to me when providing recommendations? How else does your firm make money and what conflicts of interest do you have?

We *do not* provide recommendations. The way we make money creates some conflicts with your interests. You should understand and ask us about these conflicts because they can affect the services we provide you. Here are some examples to help you understand what this means.

Proprietary Products: We only offer Brookwood Funds. We earn sales charges when you purchase Brookwood Funds, and Brookwood Financial earns fees for sponsoring or managing these Funds, as well as acquisition and disposition fees related to the Funds' investments. We have an incentive to encourage you to invest more in our Funds because our fee income will increase the more you invest.

Conversation Starters: How might your conflicts of interest affect me, and how will you address them?

For additional information on our conflicts of interest, please review the private placement memorandum of the Brookwood Fund in which you are considering investing.

How do your financial professionals make money?

Some of our financial professionals are paid a salary and commissions based on sales of interests in the Brookwood Funds, and others receive a fixed draw and commissions based on sales of interests in the Brookwood Funds. Draws and commissions create an incentive for the financial professionals to sell the Brookwood Funds. Financial professionals may also receive an annual discretionary bonus.

Do you or your financial professionals have legal or disciplinary history?

Yes. Visit [Investor.gov/CRS](https://www.investor.gov/crs) for a free and simple search tool to research us and our financial professionals.

Conversation Starters: As a financial professional, do you have any disciplinary history? For what type of conduct?

Additional Information – For additional information about our services and to request an up-to-date copy of the Form CRS relationship summary, please contact us at (978) 720-7500 or crsinfo@brookwoodfinancial.com.

Conversation Starters: Who is my primary contact person? Is he or she a representative of an investment adviser or a broker-dealer? Whom can I talk to if I have concerns about how this person is treating me?