

Freedom Investments Inc. (“Freedom”) is a broker-dealer registered with the Securities and Exchange Commission (“SEC”) and a member of the Financial Industry Regulatory Authority, Inc. (“FINRA”). Free and simple tools are available to research firms and financial professionals at [Investor.gov/CRS](https://www.investor.gov/CRS), which also provides educational materials about broker-dealers, investment advisers and investing. This summary is provided, as required by SEC Rules, as part of discussions that may encompass a variety of accounts and account types. Please consider this summary, and the more detailed information you are provided, as part of these discussions.

WHAT INVESTMENT SERVICES AND ADVICE CAN YOU PROVIDE ME?

Our goal is to provide the financial solutions that enable you to achieve your personal financial goals. Our Brokerage service offers retail clients a self-directed alternative to buy and sell equity securities and options. We do employ financial professionals, however, they do not provide investment advice, make recommendations or solicit the opening of any accounts. We do not monitor the holdings in your brokerage account as part of our brokerage services and there are no minimum account size requirements to open an account. See [Reg BI](#).

Our firm is an introducing firm and it does not perform any type of clearing function for itself or others. Furthermore, we do not hold customer funds or securities. We accept and enter orders on behalf of our clients. Freedom Investments sends equity orders to Oppenheimer & Co., Inc. (“OPCO”), which executes the orders, compares them, allocates them, clears and settles them. (“OPCO”), our clearing firm also maintains our customers’ accounts, can grant customers access to them, and delivers funds and securities. Our firm services only retail customers and we do not engage in any private placements.

You can obtain additional information about our brokerage services and review this Customer Relationship Summary on our [Home Page](#), [FI CRS Form](#) and [About Freedom Investments](#) and [Disclosure Page](#).

Ask your financial professional:

- Given my financial situation, should I choose a brokerage service? Why or why not?
- How will you choose investments to recommend to me?
- What is your relevant experience, including your licenses, education and other qualifications? What do these qualifications mean?

We do employ financial professionals, however, while they are registered they do not provide investment advice, make recommendations or solicit the opening of any accounts. Please visit [Investor.gov/CRS](https://www.investor.gov/CRS) for a free and simple search tool to research our financial institution and financial professionals.

WHAT FEES WILL I PAY?

If you open a brokerage account, you will pay a transaction based fee, generally called a commission, every time you buy or sell an investment the fees and costs will reduce any amount of money you make on your investment over time. Please make sure you understand what fees and costs you are paying. These transaction fees are paid whether you make or lose money on your investment. You will also pay fees for custodial, administrative, and other available services when and if applicable. Please [click here](#) for our fee schedule.

Ask your financial professional:

- Help me understand how these fees and costs might affect my investment. If I give you \$10,000 to invest, how much will go to fees and costs, and how much will be invested for me?

The amount of your investment will be reduced by the flat commission amount charged, which will reduce the amount of money you make over time. Please make sure you understand the fees and costs you are paying. Please [click here](#) for our fee schedule.

What are your legal obligations to me when providing recommendations? How else does your firm make money and what conflicts of interest do you have?

We do not provide investment advice, make recommendations or solicit the opening of any accounts. We only make money from the flat commissions and other available services when applicable. Please [click here](#) for our fee schedule. A general conflict that exists is related to fees and service costs.

How might your conflicts affect me, and how will you address them?

A general conflict that exists is related to fees and service costs. They affect you by reducing the amount of money you make over time. We offer a flat commission charge and limit our fees and costs as much as possible to limit their impact to you.

How do your financial professionals make money?

Our financial professionals are salaried employees and they do not share any part of the commissions charged to your investment transactions.

Do you or your financial professionals have any legal or disciplinary history?

Yes. Please visit [Investor.gov/CRS](https://www.investor.gov/CRS) for a free and simple search tool to research the disciplinary history of our financial institution and financial professionals.

Ask your financial professional:

As a financial professional, do you have any legal or disciplinary history? For what type of conduct?

Who is my primary contact person? Is he or she a representative of an investment adviser or a broker-dealer? Who can I talk to if I have concerns about how this person is treating me?

Please visit our [Contact Us](#) page for information on how to get in touch with us regarding this notice, additional information or any concerns you may have related to your account or the firm. Your contacts are representatives of a broker-dealer that can be reached at 1(800) 944-4033. We do not offer investment advice or make recommendations.

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