

Kiley Partners, Inc. June 30, 2020
Form Customer Relationship Summary

Introduction	<p>Kiley Partners, Inc. is registered with the Securities and Exchange Commission (SEC), the Financial Industry Regulatory Authority (FINRA) and the Securities Investor Protection Corporation (SIPC) as a broker-dealer. Brokerage and investment advisory services and fees differ, and that it is important for you to understand these differences. Free and simple tools are available to research firms and financial professionals at Investor.gov/CRS, which also provides educational materials about broker-dealers, investment advisors, and investing.</p>
What Investment Services and advice can you provide me?	<p>Kiley Partners can assist you with securities brokerage services. Our brokerage services include, but are not limited to, buying and selling securities, including stocks and bonds, exchange traded funds, alternative investment products and unit investment trusts. We transact brokerage services by using a clearing firm. Transactions are executed through the clearing firm and assets are custodied there as well.</p> <p>For additional information, please see our Form BD available at brokercheck.finra.org.</p> <p>Conversation Starters - Ask your financial professional.</p> <ul style="list-style-type: none"> • Given my financial situation, should I choose an investment advisory service? Why or why not? • How will you choose investments to recommend to me? <p>What is your relevant experience, including your licenses, education and other qualifications? What do these qualifications mean?</p>
What fees will I pay?	<p>For brokerage services, you are charged fees and costs on your transactions through Kiley Partners, Inc in the form of:</p> <ul style="list-style-type: none"> • Commissions: a service charge assessed by us for handling purchases and sales of securities, a portion of which is paid to your financial professional • Transaction fees: a fee we charge per transaction which varies based on the type of transaction, among other factors • Ticket charges: a fee we charge for buying, selling or exchanging a security which varies based on the type of product, size of purchase/sale, among other factors <p>Because you are charged for each trade in your account, we have an incentive to encourage you to trade often. In addition, you will be assessed fees and costs on your account. These include, but are not limited to: custodian fees, account maintenance fees, fees related to exchange traded funds and other transactional and product-level fees.</p> <p>You will pay fees and costs whether you make or lose money on your investments. Fees and costs will reduce any amount of money you make on your investments over time. Please make sure you understand what fees and costs you are paying</p> <p>Conversation Starters – Ask your financial professional.</p> <p>Help me understand how these fees and costs might affect my investments. If I give you \$10,000 to invest, how much will go to fees and costs, and how much will be invested for me?</p>

<p>What are your legal obligations to me when acting as my broker? How else does your firm make money and what conflicts of interest do you have?</p>	<p>When we provide you with a recommendation as your broker-dealer, we have to act in your best interest and not put our interest ahead of yours. At the same time, the way we make money creates some conflicts with your interests.</p> <p>The way we are compensated may create potential conflicts of interest and it is important for you to evaluate potential conflicts of interest. An example would be certain products or services which provide revenue to us could indirectly provide incentives to financial professionals to recommend such products over similar products or services which do not provide revenue to us.</p> <p>Additionally, commissions or other compensation related to one financial service provider, product, investment, or service may be higher than commissions or other compensation related to a comparable provider, product, or service. Those higher rates of compensation could provide incentives to us (and our financial professionals) to recommend certain providers, products, or services over those with lower rates of compensation.</p> <p>It is important to note that while we will take reasonable care in developing and making recommendations to you, securities involve risk, and you may lose money. There is no guarantee that you will meet your investment goals, or that our recommended investment strategy will perform as anticipated.</p> <p>Conversation Starter - Ask your financial professional. How might your conflicts of interest affect me, and how will you address them?</p>
<p>How do your Financial Professionals make money?</p>	<p>Our financial professionals are compensated solely through commissions. They can earn more or less if a certain product(s) are recommended.</p> <p>In their day-to-day businesses, it is not uncommon for our financial professionals to face decisions about whether a particular recommendation constitutes a conflict of interest. While many conflicts can be avoided, there are some conflicts that are unavoidable. Since our financial professionals are compensated for the services they provide, this presents an inherent conflict of interest.</p>
<p>Do your financial professionals have legal or disciplinary history?</p>	<p>Yes.</p> <p>Visit Investor.gov/CRS for a free and simple search tool to research us and our financial professionals.</p> <p>Conversation Starter – Ask your financial professional As a financial professional, do you have any disciplinary history? For what type of conduct?</p>
<p>Additional Information</p>	<p>For additional information about our services, please request a copy of our Form BD If you would like additional, up-to-date information or a copy of this disclosure, please call 561-630-3200.</p> <p>Conversation Starter – Ask your financial professional. Who is my primary contact person? Is he or she a representative of an investment-adviser or a broker-dealer? Who can I talk to if I have concerns about how this person is treating me?</p>