

Firm Name	Westfield Investment Group, Inc.		
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Westfield Investment Group, Inc. (referred to as “we” “us” or “our”), is registered with the U.S. Securities and Exchange Commission as a broker-dealer. As you have different choices when it comes to your investment solutions, we feel that it is important for you to understand our brokerage services and fees so you can carefully consider which types of accounts and services are right for you. To help you research firms and financial professionals, you can access free and simple tools available at Investor.gov/CRS, which also provides educational materials about broker-dealers and investing.

Although this summary provides a useful starting point for identifying important information about our products and services and associated fees and conflicts of interest, it should be used in conjunction with other standardized and/or specific disclosures provided by us and/or our product offerings to provide a more full disclosure of relevant information to assist you in making informed investment decisions.

What investment services and advice can you provide me?

As a broker-dealer, we are committed to providing you with recommendations that are in your best interest and that do not place our interests ahead of yours. Each of our financial professionals offer and recommend various mutual funds.

Our brokerage services include making recommendations for you to buy, sell, exchange or hold mutual funds as well as recommendations of account types and rollovers or transfers of assets. However, in most cases, we provide recommendations to you on specific mutual funds but you make the final investment decisions for your account.

We maintain a limited range of product offerings involving specific asset classes such as mutual funds which will generally limit your investment choices you have with us. We do not maintain any proprietary products such as investments that are issued, sponsored, or managed by us or our affiliates. Other limitations include those based on products that involve third-party arrangements such as revenue sharing and mutual fund service fees.

While we will take reasonable care in developing and making recommendations to you, securities involve risk, and you may lose money. There is no guarantee that you will meet your investment goals, or that our recommended investment strategy will perform as anticipated. Please consult any available offering documents for any security we recommend for a discussion of risks associated with the product. We can provide those documents to you or help you to find them.

Additional detailed information about our brokerage services can be found at FINRA’s BrokerCheck. You can also find more information about our brokerage services, fees, and related conflict of interest from the mutual fund prospectus provided upon your final investment decision.

What fees will I pay?

As part of your investment decision making process, we feel that it is important for you to know the fees and costs that you will incur for your brokerage services, including how frequently they are assessed and the conflicts of interest they create so you can carefully consider which types of accounts and services are right for you. Specific fee schedules for our brokerage services can be found from mutual fund prospectus provided.

Our Brokerage Fees

For investments in mutual funds we receive transaction-based fees from the investment product sponsor in the form of asset-based sales charges (call sales loads). Additional costs would include, for example, both costs associated with the purchase of the security, as well as any costs that may apply to the future sale or exchange of the security, such as deferred sales charges or liquidation costs. These fees are based on the amount invested in the product and, depending on the product, may be based on how long you hold the investment. When we receive asset-based sales loads, we have an incentive to recommend products or sponsors that include sales charges.

Other Fees and Costs

In addition to brokerage fees charged by us, you may also pay fees charged by the specific investment products in which you are invested such as mutual funds.

You will pay fees and costs whether you make or lose money on your investments. Fees and costs will reduce any amount of money you make on your investments over time. Please make sure you understand what fees and costs you are paying.

What are your legal obligations to me when providing recommendations? How else does your firm make money and what conflicts of interest do you have?

We receive compensation from third-parties derived from the sale of asset-based sales charges or service fees on mutual funds. This compensation includes ongoing distribution charges such as 12b-1 fees or trail payments which an investment product charges you and then pays to us. We also receive fees from investment products and/or their sponsors for administrative services we provide in relation to your investments. Similarly, we can receive different payments from different product providers (e.g., mutual funds) for a variety of reasons, such as payments for inclusion on our menu or platform of products offered (sometimes referred to as shelf space). These compensation arrangements give us an incentive to recommend certain products over other products for which we receive less compensation. When we recommend a particular fund, we will provide more specific detail about compensation arrangements associated with the specific fund family.

How do your financial professionals make money?

We have agreements in place with our financial professionals, who are employees of the firm, which determine what and how we pay them. Our financial professionals who provide brokerage services will receive a portion of the commissions received from your trades. We also pay our financial professionals different rates of compensation based on production, including payments based on the amount of assets they service or the types of products they sell. In some cases, as with our operations and/or support staff, we may also pay certain financial professionals a fixed hourly rate or salary depending on their position. Although your financial professionals must recommend investment products or manage your account in your best interest, these forms of compensation, such as brokerage commissions and other production related compensation models, create an incentive for them to recommend certain products, encourage you to trade more frequently or increase your investment account size.

We do not have sales contests, sales quotas, bonuses, and/or non-cash compensation that are based on the sale of specific securities or specific types of securities.

Do you or your financial professionals have legal or disciplinary history?

Yes, we have a regulatory element of disciplinary history. However, our financial professionals have no disciplinary history. If you would like more information about our firm and/or financial professionals, you may visit Investor.gov/CRS for a free and simple search tool to research additional information.

Additional Information

You can visit FINRA's BrokerCheck by searching by our name or by our CRD#45537. You can also contact us directly at (323) 264-2516 to talk with any one of our financial professionals or support staff or to request an up-to-date information or a copy of this relationship summary.