

Form CRS (Client Relationship Summary)
First Georgetown Securities, Inc.
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Introduction:

First Georgetown Securities, Inc. is a Broker-dealer in Alexandria, Virginia registered with The Securities and Exchange Commission ("**SEC**") and is a member of the Financial Industry Regulatory Authority ("**FINRA**") and the Securities Investor Protection Corporation ("**SIPC**"). Free and simple tools are available to research firms and financial professionals at Investor.gov/CRS

Services: How will you choose investments to recommend to me?

We offer brokerage services to retail investors, including buying and selling securities. We offer all types of individual and joint accounts, Trusts, UTMA's and retirement plans. Among the products we offer are stocks, bonds, mutual funds, options, and CD's and money market instruments. Retail investment accounts are monitored on a weekly basis. You may select investments for your account, or we may occasionally recommend investments for your account, but the ultimate investment decision for your investment strategy and the purchase or sale of investments will be yours. There is no minimum account requirement.

Questions for retail investors:

- ***Given my financial situation, should I choose a brokerage service? Why or why not?***
- ***How will you choose investments recommended to me?***
- ***What is your relevant experience, including your licenses, education, and other qualifications? What do those qualifications mean?***
- ***How will you choose investments to recommend to me?***

What fees will I pay?

Commissions are charged on buy and sell transactions of stocks, bonds, options, and mutual funds. There are no commissions charged for CD's or Money Market Funds. Please note that a retail investor may be charged more when there are more trades in his or her account, and the firm may therefore have an incentive to encourage a retail investor to trade more. Other fees may be charged for the following transactions: Annual Custody Fee \$25, Outgoing Transfer of Assets \$30.00, Trade Extension \$12.00, Bounced Check \$25.00, Legal Transfer \$150.00, DRS Transfer and Ship \$15.00, Mailgram \$10.00, Physical Reorganization \$150.00, Legal Return \$75.00, Fed Funds Wire \$15.00.

You will pay fees and costs whether you make or lose money on your investments. Fees and costs will reduce any amount of money you make on your investments over time. Please make sure you understand what fees and costs you are paying.

Question for retail investors:

- ***Help me understand how these fees and costs might affect my investment. If I give you \$10,000 to invest, how much will go to fees and costs, and how much will be invested for me?***

What are your legal obligations to me when providing recommendations?

When we provide you with a recommendation, we have to act in your best interest and not put our interest ahead of yours. At the same time, the way we make money creates some conflicts with your interests. You should understand and ask us about these conflicts because they can affect the recommendations and investment advice, we provide you. You should understand and ask us about these conflicts because they can affect the recommendations and investment advice, we provide you

Here are some examples to help you understand what this means:

- Third party payments: Compensation we receive from third parties when we recommend or sell certain investments. Example: Mutual Fund 12-b 1 fees.
- Revenue Sharing: Investments where the manager or sponsor of those investments or another third-party shares with you the revenue it earns on those investments. Example: Money Market Funds, Bank Deposit Sweep Program.

Questions for the retail investor:

- ***How might your conflicts of interest affect me, and how will you address them?***

How do your financial professionals make money?

Registered reps are compensated by salary. They are also compensated based on the commissions and other types of transaction fees and service charges related to transactions in your Account. The level of compensation is based on what we charge you for trade execution or what we receive from products sponsors for the sale of their products. Certain products have higher transaction fees and service than other products. A registered rep will receive more compensation for selling certain products over other products.

Do you or your Financial Professionals have legal or disciplinary history?

Yes. Visit Investor.gov for a free and simple search tool to research us and our financial professionals.

Question for the retail investor:

- ***As a financial professional do you have any disciplinary history? For what type of conduct?***

Additional Information

For additional information please visit the following: www.firstgeorgetown.com , From the main page click on customer disclosures. You may contact the Chief Compliance Officer at any time to request a current copy of our relationship summary. Our Chief Compliance Officer can be reached by phone at (703)-519-7700.