

J.D. Seibert and Company, Inc.
Form CRS Relationship Summary
JUNE 2020

Introduction	J.D. Seibert and Company is registered with the Securities and Exchange Commission (SEC) as a broker-dealer and is a member of the Financial Industry Regulatory Authority (FINRA) and the Securities Investor Protection Corporation (SIPC). Brokerage and investment advisory services and fees differ and it is important for you to understand the differences. Free and simple tools are available to research firms and financial professionals at www.investor.gov/CRS , which also provides educational materials about broker-dealers, investment advisers and investing.
What investment services and advice can you provide me?	<p>We offer brokerage services to retail investors, including buying and selling securities at your direction.</p> <p>Products offered include domestic and international equities, options, fixed income securities, exchange traded funds, and nonproprietary mutual funds. We do not make recommendations, offer advice, monitor your account, or have investment authority. You make the ultimate decision regarding the purchase or sale of investments. However, we have independent registered representatives who will offer advice through loaded mutual funds.</p> <p><i>For additional information, please see SEC Regulation Best Interest</i> https://www.sec.gov/info/smallbus/secg/regulation-best-interest</p> <p><i>Conversation starters.</i> Ask your financial professional –</p> <ul style="list-style-type: none">• Given my financial situation, should I choose an investment advisory service? Why or why not?• How will you choose investments to recommend to me?• What is your relevant experience, including your licenses, education and other qualifications? What do these qualifications mean?
What fees will I pay?	<p>The principal fees and costs are transaction-based fees for securities trade you decide to enter into. Depending on the investment product you select, these fees vary by asset class (equities versus bonds) and can include up front commissions, sales charges such as 12b-1 fees, fees charged on an on-going basis for as long as you hold the investment and compensation from third parties that are disclosed to you.</p> <p>Other fees and costs associated with your brokerage services and investment might include custodian fees, account maintenance fees, fees related to mutual funds</p> <p><i>For additional information, please see SEC Regulation Best Interest</i> https://www.sec.gov/info/smallbus/secg/regulation-best-interest</p> <p><i>Conversation starter.</i> Ask your financial professional –</p> <ul style="list-style-type: none">• Help me understand how these fees and costs might affect my investments. If I give you \$10,000 to invest, how much will go to fees and costs, and how much will be invested for me?
What are your legal obligations to me when providing recommendations?	When we provide you with a recommendation, we have to act in your best interest and not put our interest ahead of yours. At the same time, the way we make money creates some conflicts with your interests. You should understand and ask us about these conflicts because they can affect the

<p>How else does your firm make money and what conflicts of interest do you have?</p>	<p>recommendations, we provide you. Here are some examples to help you understand what this means.</p> <p>Interest sharing Third-party payments</p> <p>Conversation starter. Ask your financial professional –</p> <ul style="list-style-type: none"> • How might your conflicts of interest affect me, and how will you address them? <p>For additional information, please see SEC Regulation Best Interest https://www.sec.gov/info/smallbus/secg/regulation-best-interest</p>
<p>How do your financial professionals make money?</p>	<p>Our brokers are compensated by means of a salary or commissions generated through mutual fund sales charges and 12b-1 fees.</p>
<p>Do you or your financial professionals have legal or disciplinary history?</p>	<p>No.</p> <p>Visit www.investor.gov/CRS for a free and simple search tool to research us and your financial professionals.</p> <p>Conversation Starter. Ask your financial professional –</p> <ul style="list-style-type: none"> • As a financial professional, do you have any disciplinary history? For what type of conduct?
<p>Additional Information</p>	<p>If you would like additional or up-to-date information or a copy of this disclosure, please call 513.241.8888.</p> <p>Conversation starter. Ask your financial professional –</p> <ul style="list-style-type: none"> • Who is my primary contact person? Is he or she a representative of an investment adviser or a broker-dealer? Who can I talk to if I have concerns about how this person is treating me?