

BrokerCheck Report

PLANTE MORAN INSURANCE AGENCY

CRD# 127257

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When communicating online or investing with any professional, make sure you know who you're dealing with. [Imposters](#) might link to sites like BrokerCheck from [phishing](#) or similar scam websites, or through [social media](#), trying to steal your personal information or your money.

Please contact FINRA with any concerns.

About BrokerCheck®

BrokerCheck offers information on all current, and many former, registered securities brokers, and all current and former registered securities firms. FINRA strongly encourages investors to use BrokerCheck to check the background of securities brokers and brokerage firms before deciding to conduct, or continue to conduct, business with them.

- **What is included in a BrokerCheck report?**

- BrokerCheck reports for individual brokers include information such as employment history, professional qualifications, disciplinary actions, criminal convictions, civil judgments and arbitration awards. BrokerCheck reports for brokerage firms include information on a firm's profile, history, and operations, as well as many of the same disclosure events mentioned above.

- Please note that the information contained in a BrokerCheck report may include pending actions or allegations that may be contested, unresolved or unproven. In the end, these actions or allegations may be resolved in favor of the broker or brokerage firm, or concluded through a negotiated settlement with no admission or finding of wrongdoing.

- **Where did this information come from?**

- The information contained in BrokerCheck comes from FINRA's Central Registration Depository, or CRD® and is a combination of:
 - o information FINRA and/or the Securities and Exchange Commission (SEC) require brokers and brokerage firms to submit as part of the registration and licensing process, and
 - o information that regulators report regarding disciplinary actions or allegations against firms or brokers.

- **How current is this information?**

- Generally, active brokerage firms and brokers are required to update their professional and disciplinary information in CRD within 30 days. Under most circumstances, information reported by brokerage firms, brokers and regulators is available in BrokerCheck the next business day.

- **What if I want to check the background of an investment adviser firm or investment adviser representative?**

- To check the background of an investment adviser firm or representative, you can search for the firm or individual in BrokerCheck. If your search is successful, click on the link provided to view the available licensing and registration information in the SEC's Investment Adviser Public Disclosure (IAPD) website at <https://www.adviserinfo.sec.gov>. In the alternative, you may search the IAPD website directly or contact your state securities regulator at <http://www.finra.org/Investors/ToolsCalculators/BrokerCheck/P455414>.

- **Are there other resources I can use to check the background of investment professionals?**

- FINRA recommends that you learn as much as possible about an investment professional before deciding to work with them. Your state securities regulator can help you research brokers and investment adviser representatives doing business in your state.

• **Thank you for using FINRA BrokerCheck.**



Using this site/information means that you accept the FINRA BrokerCheck Terms and Conditions. A complete list of Terms and Conditions can be found at brokercheck.finra.org



For additional information about the contents of this report, please refer to the User Guidance or www.finra.org/brokercheck. It provides a glossary of terms and a list of frequently asked questions, as well as additional resources. For more information about FINRA, visit www.finra.org.



PLANTE MORAN INSURANCE AGENCY

CRD# 127257

SEC# 8-65976

Main Office Location

3000 TOWN CENTER
SUITE 100
SOUTHFIELD, MI 48075
Regulated by FINRA Chicago Office

Mailing Address

PO BOX 307
SOUTHFIELD, MI 48037-0307

Business Telephone Number

248-223-3312

Report Summary for this Firm

This report summary provides an overview of the brokerage firm. Additional information for this firm can be found in the detailed report.

Firm Profile

This firm is classified as a limited liability company.
This firm was formed in Michigan on 11/22/2002.
Its fiscal year ends in June.

Firm History

Information relating to the brokerage firm's history such as other business names and successions (e.g., mergers, acquisitions) can be found in the detailed report.

Firm Operations

This firm is registered with:

- the SEC
- 1 Self-Regulatory Organization
- 4 U.S. states and territories

Is this brokerage firm currently suspended with any regulator? **No**

This firm conducts 1 type of business.

This firm is affiliated with financial or investment institutions.

This firm has referral or financial arrangements with other brokers or dealers.

Disclosure Events

Brokerage firms are required to disclose certain criminal matters, regulatory actions, civil judicial proceedings and financial matters in which the firm or one of its control affiliates has been involved.

Are there events disclosed about this firm? **No**



Firm Profile

This firm is classified as a limited liability company.
This firm was formed in Michigan on 11/22/2002.
Its fiscal year ends in June.

Firm Names and Locations

This section provides the brokerage firm's full legal name, "Doing Business As" name, business and mailing addresses, telephone number, and any alternate name by which the firm conducts business and where such name is used.

PLANTE MORAN INSURANCE AGENCY SERVICES, LLC
Doing business as PLANTE MORAN INSURANCE AGENCY
CRD# 127257
SEC# 8-65976

Main Office Location

3000 TOWN CENTER
SUITE 100
SOUTHFIELD, MI 48075

Regulated by FINRA Chicago Office

Mailing Address

PO BOX 307
SOUTHFIELD, MI 48037-0307

Business Telephone Number

248-223-3312

Other Names of this Firm

Name	Where is it used
PLANTE MORAN INSURANCE AGENCY	MI, OH



Firm Profile

This section provides information relating to all direct owners and executive officers of the brokerage firm.

Direct Owners and Executive Officers

Legal Name & CRD# (if any): P&M HOLDING GROUP, LLP

Is this a domestic or foreign entity or an individual? Domestic Entity

Position OWNER

Position Start Date 11/2002

Percentage of Ownership 75% or more

Does this owner direct the management or policies of the firm? Yes

Is this a public reporting company? No

Legal Name & CRD# (if any): BARBER, PAMELA JOY

5489840

Is this a domestic or foreign entity or an individual? Individual

Position CHIEF FINANCIAL OFFICER, FINOP/PRINCIPAL FINANCIAL OFFICER, PRINCIPAL OPERATIONS OFFICER

Position Start Date 07/2024

Percentage of Ownership Less than 5%

Does this owner direct the management or policies of the firm? Yes

Is this a public reporting company? No

Legal Name & CRD# (if any): BUCHALSKI, NATHAN SCOTT

8131190

Is this a domestic or foreign entity or an individual? Individual

Position BOARD MEMBER

Position Start Date 07/2025



Firm Profile

Direct Owners and Executive Officers (continued)

Percentage of Ownership Less than 5%

Does this owner direct the management or policies of the firm? Yes

Is this a public reporting company? No

Legal Name & CRD# (if any): DOYLE, DAVID TIMOTHY
2552281

Is this a domestic or foreign entity or an individual? Individual

Position CHIEF COMPLIANCE OFFICER

Position Start Date 04/2019

Percentage of Ownership Less than 5%

Does this owner direct the management or policies of the firm? Yes

Is this a public reporting company? No

Legal Name & CRD# (if any): GIBSON, STEVEN JAMES
4022300

Is this a domestic or foreign entity or an individual? Individual

Position PRESIDENT, PRINCIPAL

Position Start Date 07/2020

Percentage of Ownership Less than 5%

Does this owner direct the management or policies of the firm? Yes

Is this a public reporting company? No

Legal Name & CRD# (if any): JINSKY, DAWN REBECCA

Firm Profile



Direct Owners and Executive Officers (continued)

	5594612
Is this a domestic or foreign entity or an individual?	Individual
Position	DIRECTOR
Position Start Date	07/2023
Percentage of Ownership	Less than 5%
Does this owner direct the management or policies of the firm?	Yes
Is this a public reporting company?	No
Legal Name & CRD# (if any):	LESSER, JOHN JAMES 4454195
Is this a domestic or foreign entity or an individual?	Individual
Position	BOARD MEMBER
Position Start Date	07/2017
Percentage of Ownership	Less than 5%
Does this owner direct the management or policies of the firm?	Yes
Is this a public reporting company?	No

Firm Profile

This section provides information relating to any indirect owners of the brokerage firm.

Indirect Owners

No information reported.



Firm History

This section provides information relating to any successions (e.g., mergers, acquisitions) involving the firm.

No information reported.





Firm Operations

Registrations

This section provides information about the regulators (Securities and Exchange Commission (SEC), self-regulatory organizations (SROs), and U.S. states and territories) with which the brokerage firm is currently registered and licensed, the date the license became effective, and certain information about the firm's SEC registration.

This firm is currently registered with the SEC, 1 SRO and 4 U.S. states and territories.

Federal Regulator	Status	Date Effective
SEC	Approved	11/24/2003

SEC Registration Questions

This firm is registered with the SEC as:

A broker-dealer: Yes

A broker-dealer and government securities broker or dealer: No

A government securities broker or dealer only: No

This firm has ceased activity as a government securities broker or dealer: No

Self-Regulatory Organization	Status	Date Effective
FINRA	Approved	11/24/2003

Firm Operations



Registrations (continued)

U.S. States & Territories	Status	Date Effective
Colorado	Approved	11/30/2023
Illinois	Approved	01/03/2005
Michigan	Approved	03/25/2004
Ohio	Approved	03/24/2004



Firm Operations

Types of Business

This section provides the types of business, including non-securities business, the brokerage firm is engaged in or expects to be engaged in.

This firm currently conducts 1 type of business.

Types of Business

Other - BROKER RECEIVES TRANSACTION-BASED COMPENSATION (WITH THE EXCEPTION OF OPTIONS AND MUNICIPAL SECURITIES) IN THE FORM OF REFERRAL FEES FROM ANOTHER REGISTERED SECURITIESBROKER/DEALER, WITH WHICH PLANTE MORAN INSURANCE AGENCY SERVICES, LLC'S REGISTERED PERSONNEL ARE LICENSED AND THROUGH WHICH ALL SECURITIES ARE EFFECTED INCLUDING SALE OF VARIABLE INSURANCE CONTRACTS. REGISTERED REPRESENTATIVES PAID A SALARY, RATHER THAN SECURITIES TRANSACTION OR SECURITIES-BASED COMMISSIONS.

Other Types of Business

This firm does not effect transactions in commodities, commodity futures, or commodity options.

This firm does engage in other non-securities business.

Non-Securities Business Description: APPLICANT IS LICENSED AS AN INSURANCE AGENCY IN THE STATES OF MICHIGAN, ILLINOIS, AND OHIO. APPLICANT MAY SELL FIXED INSURANCE PRODUCTS INCLUDING ACCIDENT, LIFE AND HEALTH.

Firm Operations



Clearing Arrangements

This firm does not hold or maintain funds or securities or provide clearing services for other broker-dealer(s).

Introducing Arrangements

This firm does refer or introduce customers to other brokers and dealers.

Name:	VALMARK SECURITIES, INC.
CRD #:	31243
Business Address:	3690 ORANGE PLACE #310 BEACHWOOD, OH 44122
Effective Date:	05/05/2003
Description:	APPLICANT WILL NOT INITIALLY HAVE ITS OWN CLIENTS BUT INSTEAD DUALY REGISTERED REPRESENTATIVES WILL MAKE REFERRALS TO VALMARK SECURITIES, INC., PRIMARILY FOR THE PURCHASE OF VARIABLE INSURANCE PRODUCTS AND OCCASSIONALLY FOR THE PURCHASE OR SALE OF OTHER SECURITIES OR BROKERAGE SERVICES.

Firm Operations

Industry Arrangements



This firm does have books or records maintained by a third party.

Name:	P&M HOLDING GROUP, LLP
Business Address:	3000 TOWN CENTER DR. SUITE 100 SOUTHFIELD, MI 48075
Effective Date:	11/14/2002
Description:	P&M HOLDING GROUP, LLP, A RELATED ENTITY, PERFORMS ALL ACCOUNTING SERVICES FOR THE APPLICANT.

This firm does not have accounts, funds, or securities maintained by a third party.

This firm does not have customer accounts, funds, or securities maintained by a third party.

Control Persons/Financing

This firm does not have individuals who control its management or policies through agreement.

This firm does not have individuals who wholly or partly finance the firm's business.



Firm Operations

Organization Affiliates

This section provides information on control relationships the firm has with other firms in the securities, investment advisory, or banking business.

This firm is, directly or indirectly:

- in control of
 - controlled by
 - or under common control with
- the following partnerships, corporations, or other organizations engaged in the securities or investment advisory business.

PLANTE MORAN REAL ESTATE INVESTMENT ADVISORS is under common control with the firm.

CRD #:	323718
Business Address:	3000 TOWN CENTER DR. SOUTHFIELD, MI 48075
Effective Date:	01/12/2023
Foreign Entity:	No
Country:	
Securities Activities:	No
Investment Advisory Activities:	Yes
Description:	UNDER COMMON OWNERSHIP. BOTH ENTITIES ARE OWNED BY P&M HOLDING GROUP, LLP.

PLANTE MORAN FINANCIAL ADVISORS is under common control with the firm.

CRD #:	112158
Business Address:	3000 TOWN CENTER SUITE 100 SOUTHFIELD, MI 48075
Effective Date:	11/14/2002
Foreign Entity:	No
Country:	
Securities Activities:	No
Investment Advisory Activities:	Yes
Description:	UNDER COMMON OWNERSHIP. BOTH ENTITIES ARE OWNED BY P&M

Firm Operations



Organization Affiliates (continued)

HOLDING GROUP, LLP.

This firm is not directly or indirectly, controlled by the following:

- bank holding company
- national bank
- state member bank of the Federal Reserve System
- state non-member bank
- savings bank or association
- credit union
- or foreign bank

End of Report



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