

BrokerCheck Report

GUIDESTONE FINANCIAL SERVICES

CRD# 148249

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When communicating online or investing with any professional, make sure you know who you're dealing with. [Imposters](#) might link to sites like BrokerCheck from [phishing](#) or similar scam websites, or through [social media](#), trying to steal your personal information or your money.

Please contact FINRA with any concerns.

About BrokerCheck®

BrokerCheck offers information on all current, and many former, registered securities brokers, and all current and former registered securities firms. FINRA strongly encourages investors to use BrokerCheck to check the background of securities brokers and brokerage firms before deciding to conduct, or continue to conduct, business with them.

- **What is included in a BrokerCheck report?**

- BrokerCheck reports for individual brokers include information such as employment history, professional qualifications, disciplinary actions, criminal convictions, civil judgments and arbitration awards. BrokerCheck reports for brokerage firms include information on a firm's profile, history, and operations, as well as many of the same disclosure events mentioned above.

- Please note that the information contained in a BrokerCheck report may include pending actions or allegations that may be contested, unresolved or unproven. In the end, these actions or allegations may be resolved in favor of the broker or brokerage firm, or concluded through a negotiated settlement with no admission or finding of wrongdoing.

- **Where did this information come from?**

- The information contained in BrokerCheck comes from FINRA's Central Registration Depository, or CRD® and is a combination of:
 - o information FINRA and/or the Securities and Exchange Commission (SEC) require brokers and brokerage firms to submit as part of the registration and licensing process, and
 - o information that regulators report regarding disciplinary actions or allegations against firms or brokers.

- **How current is this information?**

- Generally, active brokerage firms and brokers are required to update their professional and disciplinary information in CRD within 30 days. Under most circumstances, information reported by brokerage firms, brokers and regulators is available in BrokerCheck the next business day.

- **What if I want to check the background of an investment adviser firm or investment adviser representative?**

- To check the background of an investment adviser firm or representative, you can search for the firm or individual in BrokerCheck. If your search is successful, click on the link provided to view the available licensing and registration information in the SEC's Investment Adviser Public Disclosure (IAPD) website at <https://www.adviserinfo.sec.gov>. In the alternative, you may search the IAPD website directly or contact your state securities regulator at <http://www.finra.org/Investors/ToolsCalculators/BrokerCheck/P455414>.

- **Are there other resources I can use to check the background of investment professionals?**

- FINRA recommends that you learn as much as possible about an investment professional before deciding to work with them. Your state securities regulator can help you research brokers and investment adviser representatives doing business in your state.

• **Thank you for using FINRA BrokerCheck.**



Using this site/information means that you accept the FINRA BrokerCheck Terms and Conditions. A complete list of Terms and Conditions can be found at brokercheck.finra.org



For additional information about the contents of this report, please refer to the User Guidance or www.finra.org/brokercheck. It provides a glossary of terms and a list of frequently asked questions, as well as additional resources. For more information about FINRA, visit www.finra.org.



GUIDESTONE FINANCIAL SERVICES

CRD# 148249

SEC# 8-67995

Main Office Location

5005 LBJ FREEWAY
STE. 2200
DALLAS, TX 75244-6152
Regulated by FINRA Dallas Office

Mailing Address

5005 LBJ FREEWAY
STE. 2200
DALLAS, TX 75244-6152

Business Telephone Number

214-720-6486

Report Summary for this Firm

This report summary provides an overview of the brokerage firm. Additional information for this firm can be found in the detailed report.

Firm Profile

This firm is classified as a corporation.
This firm was formed in Texas on 06/26/2008.
Its fiscal year ends in December.

Firm History

Information relating to the brokerage firm's history such as other business names and successions (e.g., mergers, acquisitions) can be found in the detailed report.

Firm Operations

This firm is registered with:

- the SEC
- 1 Self-Regulatory Organization
- 51 U.S. states and territories

Is this brokerage firm currently suspended with any regulator? **No**

This firm conducts 1 type of business.

This firm is affiliated with financial or investment institutions.

This firm does not have referral or financial arrangements with other brokers or dealers.

Disclosure Events

Brokerage firms are required to disclose certain criminal matters, regulatory actions, civil judicial proceedings and financial matters in which the firm or one of its control affiliates has been involved.

Are there events disclosed about this firm? **No**



Firm Profile

This firm is classified as a corporation.

This firm was formed in Texas on 06/26/2008.

Its fiscal year ends in December.

Firm Names and Locations

This section provides the brokerage firm's full legal name, "Doing Business As" name, business and mailing addresses, telephone number, and any alternate name by which the firm conducts business and where such name is used.

GUIDESTONE FINANCIAL SERVICES

Doing business as GUIDESTONE FINANCIAL SERVICES

CRD# 148249

SEC# 8-67995

Main Office Location

5005 LBJ FREEWAY
STE. 2200
DALLAS, TX 75244-6152

Regulated by FINRA Dallas Office

Mailing Address

5005 LBJ FREEWAY
STE. 2200
DALLAS, TX 75244-6152

Business Telephone Number

214-720-6486



Firm Profile

This section provides information relating to all direct owners and executive officers of the brokerage firm.

Direct Owners and Executive Officers

Legal Name & CRD# (if any):	GUIDESTONE FINANCIAL RESOURCES OF THE SOUTHERN BAPTIST CONVENTION
Is this a domestic or foreign entity or an individual?	Domestic Entity
Position	MEMBER
Position Start Date	06/2008
Percentage of Ownership	75% or more
Does this owner direct the management or policies of the firm?	Yes
Is this a public reporting company?	No

Legal Name & CRD# (if any):	ALDERMAN, RALPH WARREN JR 8333619
Is this a domestic or foreign entity or an individual?	Individual
Position	DIRECTOR
Position Start Date	07/2026
Percentage of Ownership	Less than 5%
Does this owner direct the management or policies of the firm?	No
Is this a public reporting company?	No

Legal Name & CRD# (if any):	BAILEY, CHAD MICHAEL 5567481
Is this a domestic or foreign entity or an individual?	Individual
Position	PRESIDENT
Position Start Date	12/2016



Firm Profile

Direct Owners and Executive Officers (continued)

Percentage of Ownership Less than 5%

Does this owner direct the management or policies of the firm? Yes

Is this a public reporting company? No

Legal Name & CRD# (if any): COOK, ROBERT SCOTT
5587943

Is this a domestic or foreign entity or an individual? Individual

Position VICE PRESIDENT AND SECRETARY

Position Start Date 08/2017

Percentage of Ownership Less than 5%

Does this owner direct the management or policies of the firm? Yes

Is this a public reporting company? No

Legal Name & CRD# (if any): EMFINGER, GLENN DUDLEY
8324235

Is this a domestic or foreign entity or an individual? Individual

Position DIRECTOR

Position Start Date 07/2026

Percentage of Ownership Less than 5%

Does this owner direct the management or policies of the firm? No

Is this a public reporting company? No

Legal Name & CRD# (if any): HALPIN, JEREMY LOWELL

Firm Profile



Direct Owners and Executive Officers (continued)

	6560503
Is this a domestic or foreign entity or an individual?	Individual
Position	FINOP/CFO/TREASURER
Position Start Date	08/2016
Percentage of Ownership	Less than 5%
Does this owner direct the management or policies of the firm?	Yes
Is this a public reporting company?	No
Legal Name & CRD# (if any):	MOLINA, STEPHANIE JEAN 5115805
Is this a domestic or foreign entity or an individual?	Individual
Position	CHIEF COMPLIANCE OFFICER
Position Start Date	03/2026
Percentage of Ownership	Less than 5%
Does this owner direct the management or policies of the firm?	No
Is this a public reporting company?	No
Legal Name & CRD# (if any):	POWELL, KEITH ALLEN 8328076
Is this a domestic or foreign entity or an individual?	Individual
Position	DIRECTOR
Position Start Date	07/2026
Percentage of Ownership	Less than 5%

Firm Profile



Direct Owners and Executive Officers (continued)

Does this owner direct the management or policies of the firm? No

Is this a public reporting company? No

Legal Name & CRD# (if any): TUCKER, JASON
8141526

Is this a domestic or foreign entity or an individual? Individual

Position DIRECTOR

Position Start Date 07/2025

Percentage of Ownership Less than 5%

Does this owner direct the management or policies of the firm? No

Is this a public reporting company? No

Firm Profile

This section provides information relating to any indirect owners of the brokerage firm.



Indirect Owners

Legal Name & CRD# (if any):	SOUTHERN BAPTIST CONVENTION
Is this a domestic or foreign entity or an individual?	Domestic Entity
Company through which indirect ownership is established	GUIDESTONE FINANCIAL RESOURCES OF THE SOUTHERN BAPTIST CONVENTION
Relationship to Direct Owner	MEMBER
Relationship Established	08/1999
Percentage of Ownership	75% or more
Does this owner direct the management or policies of the firm?	Yes
Is this a public reporting company?	No

Firm History

This section provides information relating to any successions (e.g., mergers, acquisitions) involving the firm.

No information reported.





Firm Operations

Registrations

This section provides information about the regulators (Securities and Exchange Commission (SEC), self-regulatory organizations (SROs), and U.S. states and territories) with which the brokerage firm is currently registered and licensed, the date the license became effective, and certain information about the firm's SEC registration.

This firm is currently registered with the SEC, 1 SRO and 51 U.S. states and territories.

Federal Regulator	Status	Date Effective
SEC	Approved	04/07/2009

SEC Registration Questions

This firm is registered with the SEC as:

A broker-dealer: Yes

A broker-dealer and government securities broker or dealer: No

A government securities broker or dealer only: No

This firm has ceased activity as a government securities broker or dealer: No

Self-Regulatory Organization	Status	Date Effective
FINRA	Approved	04/07/2009



Firm Operations

Registrations (continued)

U.S. States & Territories	Status	Date Effective
Alabama	Approved	06/08/2009
Alaska	Approved	05/19/2009
Arizona	Approved	05/28/2009
Arkansas	Approved	05/13/2009
California	Approved	06/03/2009
Colorado	Approved	05/21/2009
Connecticut	Approved	06/05/2009
Delaware	Approved	06/04/2009
District of Columbia	Approved	05/29/2009
Florida	Approved	07/30/2009
Georgia	Approved	05/18/2009
Hawaii	Approved	05/11/2009
Idaho	Approved	05/11/2009
Illinois	Limited	05/18/2009
Indiana	Approved	09/18/2009
Iowa	Approved	06/08/2009
Kansas	Approved	05/27/2009
Kentucky	Approved	08/17/2009
Louisiana	Approved	05/21/2009
Maine	Approved	06/26/2009
Maryland	Approved	05/12/2009
Massachusetts	Approved	05/19/2009
Michigan	Approved	05/26/2009
Minnesota	Approved	05/08/2009
Mississippi	Approved	05/20/2009
Missouri	Approved	05/15/2009
Montana	Approved	05/11/2009
Nebraska	Approved	05/15/2009
Nevada	Approved	05/21/2009
New Hampshire	Approved	05/26/2009
New Jersey	Approved	05/26/2009
New Mexico	Approved	05/13/2009
New York	Approved	05/18/2009

U.S. States & Territories	Status	Date Effective
North Carolina	Approved	05/29/2009
North Dakota	Approved	05/20/2009
Ohio	Approved	05/19/2009
Oklahoma	Approved	05/28/2009
Oregon	Approved	05/19/2009
Pennsylvania	Approved	06/03/2009
Rhode Island	Approved	05/13/2009
South Carolina	Approved	05/12/2009
South Dakota	Approved	05/13/2009
Tennessee	Approved	08/19/2009
Texas	Approved	04/07/2009
Utah	Approved	05/11/2009
Vermont	Approved	06/08/2009
Virginia	Approved	06/06/2009
Washington	Approved	05/08/2009
West Virginia	Approved	05/12/2009
Wisconsin	Approved	05/11/2009
Wyoming	Approved	05/08/2009

Firm Operations

Types of Business

This section provides the types of business, including non-securities business, the brokerage firm is engaged in or expects to be engaged in.

This firm currently conducts 1 type of business.

Types of Business

Mutual fund retailer



Firm Operations



Clearing Arrangements

This firm does not hold or maintain funds or securities or provide clearing services for other broker-dealer(s).

Introducing Arrangements

This firm does not refer or introduce customers to other brokers and dealers.

Firm Operations

Industry Arrangements



This firm does have books or records maintained by a third party.

Name: MICROSOFT AZURE

Business Address: 5150 ROGERS RD
SAN ANTONIO, TX 78251

Effective Date: 09/09/2019

Description: PRIMARY ELECTRONIC DATA RECORDS FOR THE FIRM.

Name: SMARSH, INC.

Business Address: 851 SW 6TH AVE SUITE 800
PORTLAND, OR 97204

Effective Date: 01/01/2016

Description: THE E-MAIL, TEXT, SOCIAL MEDIA AND OTHER ELECTRONIC MESSAGING RECORDS OF THE FIRM ARE RETAINED BY THIS THIRD-PARTY.

Name: RACKSPACE

Business Address: 801 INDUSTRIAL BLVD
GRAPEVINE, TX 76051

Effective Date: 05/30/2012

Description: RACKSPACE PROVIDES THE SERVER SPACE WHERE SCHWAB COMPLIANCE TECHNOLOGIES STORES OUR DATA.

Name: MYCOMPLIANCEOFFICE

Business Address: 535 FIFTH AVENUE
4TH FLOOR
NEW YORK, NY 10017

Effective Date: 05/02/2022

Description: MYCOMPLIANCEOFFICE PROVIDES WEB BASED SOFTWARE TO DISTRIBUTE AND ARCHIVE COMPLIANCE QUESTIONNAIRES AND REPRESENTATIVE DISCLOSURES. IT IS ALSO USED TO RECEIVE AND HOUSE DUPLICATE STATEMENTS OF OUTSIDE BROKERAGE ACCOUNTS.

Name: IRON MOUNTAIN

Business Address: 1235 N UNION BOWER
IRVING, TX 75061

Firm Operations



Industry Arrangements (continued)

Effective Date: 05/31/2012

Description: DUPLICATE COPIES OF PAPER RECORDS KEPT AT THE FIRM.

This firm does not have accounts, funds, or securities maintained by a third party.

This firm does not have customer accounts, funds, or securities maintained by a third party.

Control Persons/Financing

This firm does not have individuals who control its management or policies through agreement.

This firm does not have individuals who wholly or partly finance the firm's business.



Firm Operations

Organization Affiliates

This section provides information on control relationships the firm has with other firms in the securities, investment advisory, or banking business.

This firm is, directly or indirectly:

- in control of
 - controlled by
 - or under common control with
- the following partnerships, corporations, or other organizations engaged in the securities or investment advisory business.

GUIDESTONE INVESTMENT SERVICES is under common control with the firm.

CRD #:	338335
Business Address:	5005 LBJ FREEWAY STE 2200 DALLAS, TX 75244
Effective Date:	11/18/2025
Foreign Entity:	No
Country:	
Securities Activities:	No
Investment Advisory Activities:	Yes
Description:	GUIDESTONE INVESTMENT SERVICES A TX NON-PROFIT 501(C)(3) CORPORATION, (GSIS) WHICH IS REGISTERED UNDER THE INVESTMENT ADVISERS ACT OF 1940. GUIDESTONE FINANCIAL RESOURCES OF THE SOUTHERN BAPTIST CONVENTION (GSFR) IS THE SOLE MEMBER OF GSIS AND THE APPLICANT. GSFR IS A TX NON-PROFIT 501(C)(3) CORPORATION AND THE SOUTHERN BAPTIST CONVENTION IS ITS SOLE MEMBER.

GUIDESTONE FUNDS is under common control with the firm.

Business Address:	5005 LBJ FREEWAY STE. 2200 DALLAS, TX 75244-6152
Effective Date:	03/02/2000
Foreign Entity:	No
Country:	

Firm Operations



Organization Affiliates (continued)

Securities Activities:	Yes
Investment Advisory Activities:	No
Description:	APPLICANT'S PARENT, GUIDESTONE FINANCIAL RESOURCES OF THE SOUTHERN BAPTIST CONVENTION, DIRECTLY OWNS GUIDESTONE FUNDS WHICH IS AN OPEN-END MANAGEMENT INVESTMENT COMPANY.

GUIDESTONE ADVISORS is under common control with the firm.

CRD #:	149624
Business Address:	5005 LBJ FREEWAY STE. 2200 DALLAS, TX 75244-6152
Effective Date:	06/26/2008
Foreign Entity:	No
Country:	
Securities Activities:	No
Investment Advisory Activities:	Yes
Description:	GUIDESTONE RESOURCE MANAGEMENT, INC., A TX CORPORATION (GSRM) & GUIDESTONE ADVISORS, A TX NON-PROFIT 501(C)(3) CORPORATION, (GSA) ARE THE SOLE MEMBERS OF GUIDESTONE ADVISORS, LLC IS A TX LIMITED LIABILITY COMPANY WHICH IS REGISTERED UNDER THE INVESTMENT ADVISERS ACT OF 1940. GUIDESTONE FINANCIAL RESOURCES (GSFR) IS THE SOLE SHAREHOLDER OF GSRM AND SOLE MEMBER OF GSA AND THE APPLICANT. GSFR IS A TX NON-PROFIT 501(C)(3) CORPORATION AND THE SOUTHERN BAPTIST CONVENTION IS ITS SOLE MEMBER.

GUIDESTONE CAPITAL MANAGEMENT, LLC is under common control with the firm.

CRD #:	112319
Business Address:	5005 LBJ FREEWAY STE. 2200 DALLAS, TX 75244-6152
Effective Date:	06/22/2004
Foreign Entity:	No
Country:	

Firm Operations



Organization Affiliates (continued)

Securities Activities: No

Investment Advisory Activities: Yes

Description: GUIDESTONE CAPITAL MANAGEMENT, LLC IS A TX LIMITED LIABILITY COMPANY. GUIDESTONE RESOURCE MANAGEMENT, INC., A TX CORPORATION (GSRM) AND GUIDESTONE INVESTMENT SERVICES A TX NON-PROFIT 501(C)(3) CORPORATION, (GSIS) ARE ITS SOLE MEMBERS. GUIDESTONE FINANCIAL RESOURCES OF THE SOUTHERN BAPTIST CONVENTION IS THE SOLE SHAREHOLDER OF GSRM AND SOLE MEMBER OF GSIS.

GSFR IS A TX NON-PROFIT 501(C)(3) CORPORATION AND THE SOUTHERN BAPTIST CONVENTION IS ITS SOLE MEMBER.

This firm is not directly or indirectly, controlled by the following:

- bank holding company
- national bank
- state member bank of the Federal Reserve System
- state non-member bank
- savings bank or association
- credit union
- or foreign bank

End of Report



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