

BrokerCheck Report

SHARENETT SECURITIES LLC

CRD# 151011

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When communicating online or investing with any professional, make sure you know who you're dealing with. [Imposters](#) might link to sites like BrokerCheck from [phishing](#) or similar scam websites, or through [social media](#), trying to steal your personal information or your money.

Please contact FINRA with any concerns.

About BrokerCheck®



BrokerCheck offers information on all current, and many former, registered securities brokers, and all current and former registered securities firms. FINRA strongly encourages investors to use BrokerCheck to check the background of securities brokers and brokerage firms before deciding to conduct, or continue to conduct, business with them.

- **What is included in a BrokerCheck report?**

- BrokerCheck reports for individual brokers include information such as employment history, professional qualifications, disciplinary actions, criminal convictions, civil judgments and arbitration awards. BrokerCheck reports for brokerage firms include information on a firm's profile, history, and operations, as well as many of the same disclosure events mentioned above.

- Please note that the information contained in a BrokerCheck report may include pending actions or allegations that may be contested, unresolved or unproven. In the end, these actions or allegations may be resolved in favor of the broker or brokerage firm, or concluded through a negotiated settlement with no admission or finding of wrongdoing.

- **Where did this information come from?**

- The information contained in BrokerCheck comes from FINRA's Central Registration Depository, or CRD® and is a combination of:
 - information FINRA and/or the Securities and Exchange Commission (SEC) require brokers and brokerage firms to submit as part of the registration and licensing process, and
 - information that regulators report regarding disciplinary actions or allegations against firms or brokers.

- **How current is this information?**

- Generally, active brokerage firms and brokers are required to update their professional and disciplinary information in CRD within 30 days. Under most circumstances, information reported by brokerage firms, brokers and regulators is available in BrokerCheck the next business day.

- **What if I want to check the background of an investment adviser firm or investment adviser representative?**

- To check the background of an investment adviser firm or representative, you can search for the firm or individual in BrokerCheck. If your search is successful, click on the link provided to view the available licensing and registration information in the SEC's Investment Adviser Public Disclosure (IAPD) website at <https://www.adviserinfo.sec.gov>. In the alternative, you may search the IAPD website directly or contact your state securities regulator at <http://www.finra.org/Investors/ToolsCalculators/BrokerCheck/P455414>.

- **Are there other resources I can use to check the background of investment professionals?**

- FINRA recommends that you learn as much as possible about an investment professional before deciding to work with them. Your state securities regulator can help you research brokers and investment adviser representatives doing business in your state.

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Thank you for using FINRA BrokerCheck.



Using this site/information means that you accept the FINRA BrokerCheck Terms and Conditions. A complete list of Terms and Conditions can be found at brokercheck.finra.org



For additional information about the contents of this report, please refer to the User Guidance or www.finra.org/brokercheck. It provides a glossary of terms and a list of frequently asked questions, as well as additional resources. [For more information about FINRA, visit www.finra.org.](http://www.finra.org)



SHARENETT SECURITIES LLC

CRD# 151011

SEC# 8-68326

Main Office Location

575 FIFTH AVENUE, SUITE 17-119
NEW YORK, NY 10017
Regulated by FINRA New York Office

Mailing Address

575 FIFTH AVENUE, 14TH FLOOR
NEW YORK, NY 10017

Business Telephone Number

704-840-5943

Report Summary for this Firm

This report summary provides an overview of the brokerage firm. Additional information for this firm can be found in the detailed report.

Firm Profile

This firm is classified as a limited liability company.

This firm was formed in New York on 02/25/2009.

Its fiscal year ends in December.

Firm History

Information relating to the brokerage firm's history such as other business names and successions (e.g., mergers, acquisitions) can be found in the detailed report.

Firm Operations

This firm is registered with:

- the SEC
- 1 Self-Regulatory Organization
- 53 U.S. states and territories

Is this brokerage firm currently suspended with any regulator? **No**

This firm conducts 10 types of businesses.

This firm is affiliated with financial or investment institutions.

This firm does not have referral or financial arrangements with other brokers or dealers.

Disclosure Events

Brokerage firms are required to disclose certain criminal matters, regulatory actions, civil judicial proceedings and financial matters in which the firm or one of its control affiliates has been involved.

Are there events disclosed about this firm? **No**



Firm Profile

This firm is classified as a limited liability company.

This firm was formed in New York on 02/25/2009.

Its fiscal year ends in December.

Firm Names and Locations

This section provides the brokerage firm's full legal name, "Doing Business As" name, business and mailing addresses, telephone number, and any alternate name by which the firm conducts business and where such name is used.

SHARENETT SECURITIES LLC

Doing business as SHARENETT SECURITIES LLC

CRD# 151011

SEC# 8-68326

Main Office Location

575 FIFTH AVENUE, SUITE 17-119
NEW YORK, NY 10017

Regulated by FINRA New York Office

Mailing Address

575 FIFTH AVENUE, 14TH FLOOR
NEW YORK, NY 10017

Business Telephone Number

704-840-5943



Firm Profile

This section provides information relating to all direct owners and executive officers of the brokerage firm.

Direct Owners and Executive Officers

Legal Name & CRD# (if any):	SHARENETT HOLDINGS LLC
Is this a domestic or foreign entity or an individual?	Domestic Entity
Position	OWNER
Position Start Date	07/2019
Percentage of Ownership	75% or more
Does this owner direct the management or policies of the firm?	No
Is this a public reporting company?	No

Legal Name & CRD# (if any):	DOLEZAL, PETER MARTIN 4119021
Is this a domestic or foreign entity or an individual?	Individual
Position	CEO/PRESIDENT
Position Start Date	07/2023
Percentage of Ownership	Less than 5%
Does this owner direct the management or policies of the firm?	Yes
Is this a public reporting company?	No

Legal Name & CRD# (if any):	FIALA, ANGELA MARIA 5163386
Is this a domestic or foreign entity or an individual?	Individual
Position	POO
Position Start Date	03/2023

Firm Profile



Direct Owners and Executive Officers (continued)

Percentage of Ownership	Less than 5%
Does this owner direct the management or policies of the firm?	No
Is this a public reporting company?	No
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Legal Name & CRD# (if any):	MORAN, BRIAN MICHAEL 1940341
Is this a domestic or foreign entity or an individual?	Individual
Position	CCO/FINOP/PFO
Position Start Date	01/2023
Percentage of Ownership	Less than 5%
Does this owner direct the management or policies of the firm?	No
Is this a public reporting company?	No
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Firm Profile

This section provides information relating to any indirect owners of the brokerage firm.



Indirect Owners

Legal Name & CRD# (if any):	RAPTOR HOLDCO, LLC
Is this a domestic or foreign entity or an individual?	Domestic Entity
Company through which indirect ownership is established	SHARENETT HOLDINGS LLC
Relationship to Direct Owner	SHAREHOLDER
Relationship Established	07/2019
Percentage of Ownership	Other General Partners
Does this owner direct the management or policies of the firm?	No
Is this a public reporting company?	No

Firm History

This section provides information relating to any successions (e.g., mergers, acquisitions) involving the firm.

No information reported.





Firm Operations

Registrations

This section provides information about the regulators (Securities and Exchange Commission (SEC), self-regulatory organizations (SROs), and U.S. states and territories) with which the brokerage firm is currently registered and licensed, the date the license became effective, and certain information about the firm's SEC registration.

This firm is currently registered with the SEC, 1 SRO and 53 U.S. states and territories.

Federal Regulator	Status	Date Effective
SEC	Approved	09/03/2010

SEC Registration Questions

This firm is registered with the SEC as:

A broker-dealer: Yes

A broker-dealer and government securities broker or dealer: No

A government securities broker or dealer only: No

This firm has ceased activity as a government securities broker or dealer: No

Self-Regulatory Organization	Status	Date Effective
FINRA	Approved	09/03/2010



Firm Operations

Registrations (continued)

U.S. States & Territories	Status	Date Effective
Alabama	Approved	04/10/2020
Alaska	Approved	03/10/2020
Arizona	Approved	11/09/2018
Arkansas	Approved	03/26/2020
California	Approved	09/29/2010
Colorado	Approved	11/06/2018
Connecticut	Approved	06/13/2012
Delaware	Approved	01/24/2020
District of Columbia	Approved	04/01/2020
Florida	Approved	03/31/2021
Georgia	Approved	04/03/2020
Hawaii	Approved	04/08/2020
Idaho	Approved	01/07/2020
Illinois	Approved	03/23/2017
Indiana	Approved	05/07/2020
Iowa	Approved	01/07/2020
Kansas	Approved	04/15/2020
Kentucky	Approved	01/13/2020
Louisiana	Approved	01/13/2020
Maine	Approved	02/25/2021
Maryland	Approved	11/08/2018
Massachusetts	Approved	10/13/2010
Michigan	Approved	03/09/2020
Minnesota	Approved	11/20/2018
Mississippi	Approved	01/07/2020
Missouri	Approved	04/20/2020
Montana	Approved	03/12/2020
Nebraska	Approved	03/13/2020
Nevada	Approved	12/17/2018
New Hampshire	Approved	03/27/2020
New Jersey	Approved	11/30/2010
New Mexico	Approved	12/26/2019
New York	Approved	09/03/2010

U.S. States & Territories	Status	Date Effective
North Carolina	Approved	01/07/2020
North Dakota	Approved	03/13/2020
Ohio	Approved	11/06/2018
Oklahoma	Approved	01/10/2020
Oregon	Approved	04/09/2020
Pennsylvania	Approved	10/15/2018
Puerto Rico	Approved	04/17/2020
Rhode Island	Approved	01/17/2020
South Carolina	Approved	03/23/2020
South Dakota	Approved	01/13/2020
Tennessee	Approved	03/19/2021
Texas	Approved	02/07/2020
Utah	Approved	01/10/2020
Vermont	Approved	03/12/2020
Virgin Islands	Approved	01/19/2021
Virginia	Approved	03/18/2020
Washington	Approved	01/13/2020
West Virginia	Approved	03/17/2020
Wisconsin	Approved	12/06/2018
Wyoming	Approved	03/13/2020



Firm Operations

Types of Business

This section provides the types of business, including non-securities business, the brokerage firm is engaged in or expects to be engaged in.

This firm currently conducts 10 types of businesses.

Types of Business

Broker or dealer retailing corporate equity securities over-the-counter
Broker or dealer selling corporate debt securities
Underwriter or selling group participant (corporate securities other than mutual funds)
Mutual fund retailer
Broker or dealer selling tax shelters or limited partnerships in primary distributions
Broker or dealer selling tax shelters or limited partnerships in the secondary market
Non-exchange member arranging for transactions in listed securities by exchange member
Trading securities for own account
Private placements of securities
Other - OPERATION OF AN ALTERNATIVE TRADING SYSTEM; M&A ADVISORY; CORPORATE ADVISORY SERVICES. BROKER OR DEALER SELLING INTERESTS IN UNREGISTERED PRIVATE INVESTMENT FUNDS; AND SELLER OF REAL ESTATE SECURITIES

Other Types of Business

This firm does not effect transactions in commodities, commodity futures, or commodity options.

This firm does not engage in other non-securities business.

Non-Securities Business Description:

Firm Operations



Clearing Arrangements

This firm does not hold or maintain funds or securities or provide clearing services for other broker-dealer(s).

Introducing Arrangements

This firm does not refer or introduce customers to other brokers and dealers.

Firm Operations

Industry Arrangements



This firm does have books or records maintained by a third party.

Name: MANHATTAN MINI STORAGE

Business Address: 28 2ND AVE.
NEW YORK, NY 10003

Effective Date: 05/06/2022

Description: HARD COPY BOOKS AND RECORDS ARE KEPT IN STORAGE. ALL RECORDS ARE MORE THAN 3 YEARS OLD AND COMPRISE THE HARD COPY RECORDS OF THE BD'S PREVIOUS OWNERS (F/K/A MORNINGSIDE SECURITIES, LLC) PRIOR TO THE COMPLETION IN MID-2019 OF OUR ACQUISITION OF THE BD BY OUR PARENT SHARENETT HOLDINGS, LLC

This firm does not have accounts, funds, or securities maintained by a third party.

This firm does not have customer accounts, funds, or securities maintained by a third party.

Control Persons/Financing

This firm does not have individuals who control its management or policies through agreement.

This firm does not have individuals who wholly or partly finance the firm's business.



Firm Operations

Organization Affiliates

This section provides information on control relationships the firm has with other firms in the securities, investment advisory, or banking business.

This firm is, directly or indirectly:

- in control of
 - controlled by
 - or under common control with
- the following partnerships, corporations, or other organizations engaged in the securities or investment advisory business.**

SHARENETT INVESTMENT ADVISORS, LLC is under common control with the firm.

CRD #:	307176
Business Address:	575 FIFTH AVENUE, SUITE 17-119 NEW YORK, NY 10017
Effective Date:	03/14/2019
Foreign Entity:	No
Country:	
Securities Activities:	No
Investment Advisory Activities:	Yes
Description:	THE REGISTRANT IS UNDER COMMON CONTROL WITH SHARENETT INVESTMENT ADVISORS LLC THROUGH COMMON DIRECT OWNERSHIP VIA SHARENETT HOLDINGS LLC.

This firm is not directly or indirectly, controlled by the following:

- bank holding company
- national bank
- state member bank of the Federal Reserve System
- state non-member bank
- savings bank or association
- credit union
- or foreign bank

End of Report



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