

BrokerCheck Report

MATRIX 360 DISTRIBUTORS, LLC

CRD# 159715

Section Title	Page(s)
Report Summary	1
Firm Profile	2 - 5
Firm History	6
Firm Operations	7 - 13



When communicating online or investing with any professional, make sure you know who you're dealing with. <u>Imposters</u> might link to sites like BrokerCheck from <u>phishing</u> or similar scam websites, or through <u>social media</u>, trying to steal your personal information or your money.

Please contact FINRA with any concerns.

About BrokerCheck®



BrokerCheck offers information on all current, and many former, registered securities brokers, and all current and former registered securities firms. FINRA strongly encourages investors to use BrokerCheck to check the background of securities brokers and brokerage firms before deciding to conduct, or continue to conduct, business with them.

What is included in a BrokerCheck report?

- BrokerCheck reports for individual brokers include information such as employment history, professional qualifications, disciplinary actions, criminal convictions, civil judgments and arbitration awards. BrokerCheck reports for brokerage firms include information on a firm's profile, history, and operations, as well as many of the same disclosure events mentioned above.
- Please note that the information contained in a BrokerCheck report may include pending actions or allegations that may be contested, unresolved or unproven. In the end, these actions or allegations may be resolved in favor of the broker or brokerage firm, or concluded through a negotiated settlement with no admission or finding of wrongdoing.

• Where did this information come from?

- The information contained in BrokerCheck comes from FINRA's Central Registration Depository, or CRD® and is a combination of:
 - information FINRA and/or the Securities and Exchange Commission (SEC) require brokers and brokerage firms to submit as part of the registration and licensing process, and
 - o information that regulators report regarding disciplinary actions or allegations against firms or brokers.

How current is this information?

- Generally, active brokerage firms and brokers are required to update their professional and disciplinary information in CRD within 30 days. Under most circumstances, information reported by brokerage firms, brokers and regulators is available in BrokerCheck the next business day.
- What if I want to check the background of an investment adviser firm or investment adviser representative?
- To check the background of an investment adviser firm or representative, you can search for the firm or individual in BrokerCheck. If your search is successful, click on the link provided to view the available licensing and registration information in the SEC's Investment Adviser Public Disclosure (IAPD) website at https://www.adviserinfo.sec.gov. In the alternative, you may search the IAPD website directly or contact your state securities regulator at http://www.finra.org/Investors/ToolsCalculators/BrokerCheck/P455414.
- Are there other resources I can use to check the background of investment professionals?
- FINRA recommends that you learn as much as possible about an investment professional before
 deciding to work with them. Your state securities regulator can help you research brokers and investment adviser
 representatives doing business in your state.

Thank you for using FINRA BrokerCheck.



Using this site/information means that you accept the FINRA BrokerCheck Terms and Conditions. A complete list of Terms and Conditions can be found at brokercheck.finra.org



For additional information about the contents of this report, please refer to the User Guidance or www.finra.org/brokercheck. It provides a glossary of terms and a list of frequently asked questions, as well as additional resources. For more information about FINRA, visit www.finra.org.

www.finra.org/brokercheck
User Guidance

MATRIX 360 DISTRIBUTORS, LLC

CRD# 159715

SEC# 8-69013

Main Office Location

4300 SHAWNEE MISSION PARKWAY, SUITE 100 FAIRWAY, KS 66205 Regulated by FINRA Kansas City Office

Mailing Address

4300 SHAWNEE MISSION PARKWAY, SUITE 100 FAIRWAY, KS 66205

Business Telephone Number

816-787-0728

Report Summary for this Firm



This report summary provides an overview of the brokerage firm. Additional information for this firm can be found in the detailed report.

Firm Profile

This firm is classified as a limited liability company. This firm was formed in Delaware on 02/12/2010. Its fiscal year ends in December.

Firm History

Information relating to the brokerage firm's history such as other business names and successions (e.g., mergers, acquisitions) can be found in the detailed report.

Firm Operations

This firm is registered with:

- the SEC
- 1 Self-Regulatory Organization
- 53 U.S. states and territories

Is this brokerage firm currently suspended with any regulator? **No**

This firm conducts 2 types of businesses.

This firm is affiliated with financial or investment institutions.

This firm does not have referral or financial arrangements with other brokers or dealers.

Disclosure Events

Brokerage firms are required to disclose certain criminal matters, regulatory actions, civil judicial proceedings and financial matters in which the firm or one of its control affiliates has been involved.

Are there events disclosed about this firm?

No

This firm is classified as a limited liability company.

This firm was formed in Delaware on 02/12/2010.

Its fiscal year ends in December.

Firm Names and Locations

This section provides the brokerage firm's full legal name, "Doing Business As" name, business and mailing addresses, telephone number, and any alternate name by which the firm conducts business and where such name is used.

MATRIX 360 DISTRIBUTORS, LLC
Doing business as MATRIX 360 DISTRIBUTORS, LLC

CRD# 159715

SEC# 8-69013

Main Office Location

4300 SHAWNEE MISSION PARKWAY, SUITE 100 FAIRWAY, KS 66205

Regulated by FINRA Kansas City Office

Mailing Address

4300 SHAWNEE MISSION PARKWAY, SUITE 100 FAIRWAY, KS 66205

Business Telephone Number

816-787-0728



This section provides information relating to all direct owners and executive officers of the brokerage firm.



Direct Owners and Executive Officers

Legal Name & CRD# (if any): MATRIX 360 HOLDINGS LLC

Is this a domestic or foreign entity or an individual?

Domestic Entity

Position SHAREHOLDER

Position Start Date 12/2013

Percentage of Ownership 75% or more

Does this owner direct the management or policies of the firm?

Yes

Is this a public reporting

company?

No

Legal Name & CRD# (if any): DEMARINO, ANTHONY VINCENT

2354700

Is this a domestic or foreign entity or an individual?

Individual

Position CHIEF EXECUTIVE OFFICER

Position Start Date 05/2025

Percentage of Ownership Less than 5%

Does this owner direct the management or policies of the firm?

Yes

Is this a public reporting

company?

No

Legal Name & CRD# (if any): LINSCOTT, RANDALL

6428438

Is this a domestic or foreign entity or an individual?

Individual

Position SHAREHOLDER

Position Start Date 02/2014

User Guidance

Direct Owners and Executive Officers (continued)

Percentage of Ownership

Less than 5%

Does this owner direct the management or policies of Yes

the firm?

Is this a public reporting

company?

No

Legal Name & CRD# (if any):

WILLIAMS, JOHN RAY

1865081

Is this a domestic or foreign entity or an individual?

Individual

CHIEF COMPLIANCE OFFICER

Position Start Date

01/2022

Percentage of Ownership

Less than 5%

Does this owner direct the

management or policies of

the firm?

Position

No

Is this a public reporting

company?

No

This section provides information relating to any indirect owners of the brokerage firm.



Indirect Owners

Legal Name & CRD# (if any): LINSCOTT, RANDALL

6428438

Is this a domestic or foreign entity or an individual?

Individual

Company through which indirect ownership is established

MATRIX 360 HOLDING, LLC

Relationship to Direct Owner

SHAREOWNER

Relationship Established

02/2014

Percentage of Ownership

75% or more

Does this owner direct the management or policies of the firm?

Yes

Is this a public reporting

No

company?

Firm History

This section provides information relating to any successions (e.g., mergers, acquisitions) involving the firm.

FINCA

No information reported.





This section provides information about the regulators (Securities and Exchange Commission (SEC), self-regulatory organizations (SROs), and U.S. states and territories) with which the brokerage firm is currently registered and licensed, the date the license became effective, and certain information about the firm's SEC registration.

This firm is currently registered with the SEC, 1 SRO and 53 U.S. states and territories.

Federal Regulator	Status	Date Effective
SEC	Approved	06/11/2013

SEC Registration Questions

This firm is registered with the SEC as:

A broker-dealer: Yes

A broker-dealer and government securities broker or dealer: No

A government securities broker or dealer only: No

This firm has ceased activity as a government securities broker or dealer: No

Self-Regulatory Organization	Status	Date Effective
FINRA	Approved	06/11/2013





U.S. States & Territories	Status	Date Effective
Alabama	Approved	08/21/2017
Alaska	Approved	08/01/2017
Arizona	Approved	08/14/2017
Arkansas	Approved	08/28/2017
California	Approved	07/19/2017
Colorado	Approved	01/25/2017
Connecticut	Approved	08/04/2017
Delaware	Approved	08/31/2017
District of Columbia	Approved	08/24/2017
Florida	Approved	03/09/2018
Georgia	Approved	08/09/2017
Hawaii	Approved	08/31/2017
Idaho	Approved	07/19/2017
Illinois	Limited	05/31/2017
Indiana	Approved	08/31/2017
Iowa	Approved	07/19/2017
Kansas	Approved	08/08/2017
Kentucky	Approved	07/24/2017
Louisiana	Approved	07/25/2017
Maine	Approved	08/11/2017
Maryland	Approved	08/15/2017
Massachusetts	Approved	08/01/2017
Michigan	Approved	08/14/2017
Minnesota	Approved	08/10/2017
Mississippi	Approved	07/19/2017
Missouri	Approved	11/19/2013
Montana	Approved	08/16/2017
Nebraska	Approved	07/17/2017
Nevada	Approved	08/09/2017
New Hampshire	Approved	05/22/2017
New Jersey	Approved	05/31/2017
New Mexico	Approved	09/20/2017
New York	Approved	01/09/2017

U.S. States & Territories	Status	Date Effective
North Carolina	Approved	07/25/2017
North Dakota	Approved	09/01/2017
Ohio	Approved	08/15/2017
Oklahoma	Approved	07/25/2017
Oregon	Approved	08/16/2017
Pennsylvania	Approved	04/24/2017
Puerto Rico	Approved	09/18/2017
Rhode Island	Approved	07/19/2017
South Carolina	Approved	05/15/2017
South Dakota	Approved	07/24/2017
Tennessee	Approved	03/13/2017
Texas	Approved	05/31/2017
Utah	Approved	07/27/2017
Vermont	Approved	09/20/2017
Virgin Islands	Approved	10/16/2017
Virginia	Approved	08/09/2017
Washington	Approved	08/15/2017
West Virginia	Approved	08/10/2017
Wisconsin	Approved	08/25/2017
Wyoming	Approved	08/14/2017

Types of Business

This section provides the types of business, including non-securities business, the brokerage firm is engaged in or expects to be engaged in.

This firm currently conducts 2 types of businesses.

Types of Business

Mutual fund underwriter or sponsor

Other - OTHER - MUTUAL FUND DISTRIBUTOR AND WHOLESALER OF FUNDS FOR WHICH IT IS NOT THE DISTRIBUTOR. THE FIRM WILL OFFER ONLY DISTRIBUTION AND MARKETING SERVICES THAT WILL INCLUDE MARKETING ASSISTANCE THROUGH THE USE OF 12B-1 FEES. AS A DISTRIBUTOR OR MARKETER IT WILL ATTEMPT TO INDUCE RETAIL FIRMS TO SELL THE FUNDS AS WELL AS RIAS, INDIVIDUAL RRS AND OTHER INSTITUTIONAL CLIENTS OR FAMILY OFFICES.

Other Types of Business

This firm does not effect transactions in commodities, commodity futures, or commodity options.

This firm does not engage in other non-securities business.

Non-Securities Business Description:





Clearing Arrangements

This firm does not hold or maintain funds or securities or provide clearing services for other broker-dealer(s).

Introducing Arrangements

This firm does not refer or introduce customers to other brokers and dealers.

Industry Arrangements



This firm does not have books or records maintained by a third party.

This firm does not have accounts, funds, or securities maintained by a third party.

This firm does not have customer accounts, funds, or securities maintained by a third party.

Control Persons/Financing

This firm does not have individuals who control its management or policies through agreement.

This firm does not have individuals who wholly or partly finance the firm's business.

Organization Affiliates

This section provides information on control relationships the firm has with other firms in the securities, investment advisory, or banking business.



This firm is, directly or indirectly:

- · in control of
- · controlled by
- · or under common control with

the following partnerships, corporations, or other organizations engaged in the securities or investment advisory business.

M3SIXTY CAPITAL, LLC is under common control with the firm.

CRD #: 323764

Business Address: 5300 SHAWNEE MISSION PARKWAY

FAIRWAY, KS

Effective Date: 06/08/2023

Foreign Entity: No

Country:

Securities Activities: No

Investment Advisory

Activities:

Yes

Description:BOTH M3SIXTY CAPITAL AND M3SIXTY DISTRIBUTORS ARE OWNED BY

M3SIXTY HOLDINGS WHICH IS WHOLLY OWNED BY RANDY LINSCOTT

MATRIX 360 HOLDINGS, LLC controls the firm.

Business Address: 6300 SHAWNEE MISSINO PARKWAY

FAIRWAY, KS 66205

Effective Date: 12/01/2013

Foreign Entity: No

Country:

Securities Activities: Yes

Investment Advisory

No

Activities:

Description: ENTITY OWNS THE BD AND IS OWNED BY RANDALL LINSCOTT.

This firm is not directly or indirectly, controlled by the following:

User Guidance

Organization Affiliates (continued)

- · bank holding company
- national bank
- · state member bank of the Federal Reserve System
- state non-member bank
- · savings bank or association
- · credit union
- · or foreign bank

www.finra.org/brokercheck

End of Report



This page is intentionally left blank.