BrokerCheck Report

CRAFT CAPITAL MANAGEMENT LLC

CRD# 171350

Section Title	Page(s)
Report Summary	1
Firm Profile	2 - 5
Firm History	6
Firm Operations	7 - 12

When communicating online or investing with any professional, make sure you know who you're dealing with. Imposters might link to sites like BrokerCheck from phishing or similar scam websites, or through social media, trying to steal your personal information or your money.

Please contact FINRA with any concerns.

About BrokerCheck®

BrokerCheck offers information on all current, and many former, registered securities brokers, and all current and former registered securities firms. FINRA strongly encourages investors to use BrokerCheck to check the background of securities brokers and brokerage firms before deciding to conduct, or continue to conduct, business with them.

- **What is included in a BrokerCheck report?**
- BrokerCheck reports for individual brokers include information such as employment history, professional qualifications, disciplinary actions, criminal convictions, civil judgments and arbitration awards. BrokerCheck reports for brokerage firms include information on a firm's profile, history, and operations, as well as many of the same disclosure events mentioned above.
- Please note that the information contained in a BrokerCheck report may include pending actions or allegations that may be contested, unresolved or unproven. In the end, these actions or allegations may be resolved in favor of the broker or brokerage firm, or concluded through a negotiated settlement with no admission or finding of wrongdoing.
- **Where did this information come from?**
- The information contained in BrokerCheck comes from FINRA's Central Registration Depository, or CRD® and is a combination of:
    - information FINRA and/or the Securities and Exchange Commission (SEC) require brokers and brokerage firms to submit as part of the registration and licensing process, and
    - information that regulators report regarding disciplinary actions or allegations against firms or brokers.
- **How current is this information?**
- Generally, active brokerage firms and brokers are required to update their professional and disciplinary information in CRD within 30 days. Under most circumstances, information reported by brokerage firms, brokers and regulators is available in BrokerCheck the next business day.
- **What if I want to check the background of an investment adviser firm or investment adviser representative?**
- To check the background of an investment adviser firm or representative, you can search for the firm or individual in BrokerCheck. If your search is successful, click on the link provided to view the available licensing and registration information in the SEC's Investment Adviser Public Disclosure (IAPD) website at https://www.adviserinfo.sec.gov. In the alternative, you may search the IAPD website directly or contact your state securities regulator at http://www.finra.org/Investors/ToolsCalculators/BrokerCheck/P455414.
- **Are there other resources I can use to check the background of investment professionals?**
- FINRA recommends that you learn as much as possible about an investment professional before deciding to work with them. Your state securities regulator can help you research brokers and investment adviser representatives doing business in your state.
-

Thank you for using FINRA BrokerCheck.

Using this site/information means that you accept the FINRA BrokerCheck Terms and Conditions. A complete list of Terms and Conditions can be found at brokercheck.finra.org

For additional information about the contents of this report, please refer to the User Guidance or www.finra.org/brokercheck. It provides a glossary of terms and a list of frequently asked questions, as well as additional resources. For more information about FINRA, visit www.finra.org.

CRAFT CAPITAL MANAGEMENT LLC

CRD# 171350

SEC# 8-69455

Main Office Location

377 OAK STREET LOWER CONCOURSE STE C2
GARDEN CITY, NY 11530
Regulated by FINRA Long Island Office

Mailing Address

377 OAK STREET LOWER CONCOURSE STE C2
GARDEN CITY, NY 11530

Business Telephone Number

516-833-1325

Report Summary for this Firm

This report summary provides an overview of the brokerage firm. Additional information for this firm can be found in the detailed report.

Firm Profile

This firm is classified as a limited liability company.

This firm was formed in New York on 10/15/2013.

Its fiscal year ends in December.

Firm History

Information relating to the brokerage firm's history such as other business names and successions (e.g., mergers, acquisitions) can be found in the detailed report.

Firm Operations

This firm is registered with:

- the SEC
- 2 Self-Regulatory Organizations
- 53 U.S. states and territories

Is this brokerage firm currently suspended with any regulator? **No**

This firm conducts 14 types of businesses.

This firm is affiliated with financial or investment institutions.

This firm has referral or financial arrangements with other brokers or dealers.

Disclosure Events

Brokerage firms are required to disclose certain criminal matters, regulatory actions, civil judicial proceedings and financial matters in which the firm or one of its control affiliates has been involved.

Are there events disclosed about this firm? **No**

©2026 FINRA. All rights reserved.

Firm Profile

This firm is classified as a limited liability company.

This firm was formed in New York on 10/15/2013.

Its fiscal year ends in December.

Firm Names and Locations

This section provides the brokerage firm's full legal name, "Doing Business As" name, business and mailing addresses, telephone number, and any alternate name by which the firm conducts business and where such name is used.

CRAFT CAPITAL MANAGEMENT LLC

Doing business as CRAFT CAPITAL MANAGEMENT LLC

CRD# 171350

SEC# 8-69455

Main Office Location

377 OAK STREET LOWER CONCOURSE STE C2
GARDEN CITY, NY 11530

Regulated by FINRA Long Island Office

Mailing Address

377 OAK STREET LOWER CONCOURSE STE C2
GARDEN CITY, NY 11530

Business Telephone Number

516-833-1325

©2026 FINRA. All rights reserved.

Firm Profile

This section provides information relating to all direct owners and executive officers of the brokerage firm.

Direct Owners and Executive Officers

Legal Name & CRD# (if any):	CRAFT ASSET HOLDINGS LLC
Is this a domestic or foreign entity or an individual?	Domestic Entity
Position	MANAGING MEMBER
Position Start Date	11/2013
Percentage of Ownership	75% or more
Does this owner direct the management or policies of the firm?	Yes
Is this a public reporting company?	No

Legal Name & CRD# (if any):	FURST, RONALD ALLAN 1007934
Is this a domestic or foreign entity or an individual?	Individual
Position	CCO/ROP
Position Start Date	09/2023
Percentage of Ownership	Less than 5%
Does this owner direct the management or policies of the firm?	Yes
Is this a public reporting company?	No

Legal Name & CRD# (if any):	KIRONT, BARRY MICHAEL 2281871
Is this a domestic or foreign entity or an individual?	Individual
Position	CEO
Position Start Date	03/2014

©2026 FINRA. All rights reserved.

Firm Profile

Direct Owners and Executive Officers (continued)

Percentage of Ownership	Less than 5%
Does this owner direct the management or policies of the firm?	Yes
Is this a public reporting company?	

Legal Name & CRD# (if any):	KIRONT, STEPHEN MARK 2009609
Is this a domestic or foreign entity or an individual?	Individual
Position	EVP/COO
Position Start Date	03/2014
Percentage of Ownership	Less than 5%
Does this owner direct the management or policies of the firm?	Yes
Is this a public reporting company?	No

Legal Name & CRD# (if any):	RYAN, KIMBERLY ANN 4316310
Is this a domestic or foreign entity or an individual?	Individual
Position	FINOP
Position Start Date	11/2025
Percentage of Ownership	Less than 5%
Does this owner direct the management or policies of the firm?	No
Is this a public reporting company?	No

©2026 FINRA. All rights reserved.

Firm Profile

This section provides information relating to any indirect owners of the brokerage firm.

Indirect Owners

Legal Name & CRD# (if any):	KIRONT, BARRY MICHAEL 2281871
Is this a domestic or foreign entity or an individual?	Individual
Company through which indirect ownership is established	CRAFT ASSET HOLDINGS LLC
Relationship to Direct Owner	MEMBER
Relationship Established	03/2014
Percentage of Ownership	50% but less than 75%
Does this owner direct the management or policies of the firm?	Yes
Is this a public reporting company?	No

Legal Name & CRD# (if any):	KIRONT, STEPHEN MARK 2009609
Is this a domestic or foreign entity or an individual?	Individual
Company through which indirect ownership is established	CRAFT ASSET HOLDINGS LLC
Relationship to Direct Owner	MEMBER
Relationship Established	03/2014
Percentage of Ownership	50% but less than 75%
Does this owner direct the management or policies of the firm?	Yes
Is this a public reporting company?	

©2026 FINRA. All rights reserved.

Firm History

This section provides information relating to any successions (e.g., mergers, acquisitions) involving the firm.

No information reported.

©2026 FINRA. All rights reserved.

Firm Operations

Registrations

This section provides information about the regulators (Securities and Exchange Commission (SEC), self-regulatory organizations (SROs), and U.S. states and territories) with which the brokerage firm is currently registered and licensed, the date the license became effective, and certain information about the firm's SEC registration.

This firm is currently registered with the SEC, 2 SROs and 53 U.S. states and territories.

Federal Regulator	Status	Date Effective
SEC	Approved	03/18/2015

SEC Registration Questions

This firm is registered with the SEC as:

A broker-dealer: Yes

A broker-dealer and government securities broker or dealer: Yes

A government securities broker or dealer only: No

This firm has ceased activity as a government securities broker or dealer: No

Self-Regulatory Organization	Status	Date Effective
FINRA	Approved	03/18/2015
Nasdaq Stock Market	Approved	06/04/2024

©2026 FINRA. All rights reserved.

Firm Operations

Registrations (continued)

U.S. States & Territories	Status	Date Effective
Alabama	Approved	01/08/2024
Alaska	Approved	06/12/2018
Arizona	Approved	02/10/2016
Arkansas	Approved	03/08/2024
California	Approved	03/20/2015
Colorado	Approved	03/22/2017
Connecticut	Approved	03/20/2015
Delaware	Approved	11/29/2023
District of Columbia	Approved	03/09/2021
Florida	Approved	07/01/2015
Georgia	Approved	04/01/2015
Hawaii	Approved	06/15/2018
Idaho	Approved	03/19/2015
Illinois	Approved	04/14/2015
Indiana	Approved	05/06/2015
Iowa	Approved	03/30/2015
Kansas	Approved	05/08/2015
Kentucky	Approved	09/15/2014
Louisiana	Approved	03/19/2015
Maine	Approved	11/28/2023
Maryland	Approved	03/26/2015
Massachusetts	Approved	03/21/2024
Michigan	Approved	03/24/2015
Minnesota	Approved	09/11/2014
Mississippi	Approved	03/19/2015
Missouri	Approved	03/26/2015
Montana	Approved	03/24/2015
Nebraska	Approved	03/26/2015
Nevada	Approved	04/02/2015
New Hampshire	Approved	01/09/2024
New Jersey	Approved	11/16/2016
New Mexico	Approved	05/29/2019
New York	Approved	03/19/2015
North Carolina	Approved	04/21/2015
North Dakota	Approved	04/13/2015
Ohio	Approved	04/08/2015
Oklahoma	Approved	03/24/2015
Oregon	Approved	03/30/2015
Pennsylvania	Approved	03/30/2015
Puerto Rico	Approved	06/17/2016
Rhode Island	Approved	11/02/2018
South Carolina	Approved	05/15/2015
South Dakota	Approved	03/25/2015
Tennessee	Approved	10/03/2018
Texas	Approved	03/31/2015
Utah	Approved	03/30/2015
Vermont	Approved	11/30/2023
Virgin Islands	Approved	02/16/2024
Virginia	Approved	05/05/2015
Washington	Approved	03/20/2015
West Virginia	Approved	03/02/2016
Wisconsin	Approved	04/28/2015
Wyoming	Approved	04/13/2015

©2026 FINRA. All rights reserved.

Firm Operations

Types of Business

This section provides the types of business, including non-securities business, the brokerage firm is engaged in or expects to be engaged in.

This firm currently conducts 14 types of businesses.

Types of Business

Broker or dealer retailing corporate equity securities over-the-counter
Broker or dealer selling corporate debt securities
Underwriter or selling group participant (corporate securities other than mutual funds)
Mutual fund retailer
U S. government securities broker
Municipal securities broker
Broker or dealer selling variable life insurance or annuities
Put and call broker or dealer or option writer
Broker or dealer selling securities of only one issuer or associate issuers (other than mutual funds)
Broker or dealer selling securities of non-profit organizations (e.g., churches, hospitals)
Broker or dealer selling tax shelters or limited partnerships in primary distributions
Broker or dealer selling tax shelters or limited partnerships in the secondary market
Private placements of securities
Other - INSURANCE PRODUCTS.

Other Types of Business

This firm does not effect transactions in commodities, commodity futures, or commodity options.
This firm does engage in other non-securities business.

Non-Securities Business Description: CRAFT CAPITAL MANAGEMENT LLC OFFERS VARIOUS TYPES OF INSURANCE PRODUCTS AS THE AGENT OF RECORD.
RESEARCH- IPO/PRIVATE PLACEMENTS

©2026 FINRA. All rights reserved.

Firm Operations

Clearing Arrangements

This firm does not hold or maintain funds or securities or provide clearing services for other broker-dealer(s).

Introducing Arrangements

This firm does refer or introduce customers to other brokers and dealers.

Name:	RBC CAPITAL MARKETS, LLC
CRD #:	31194
Business Address:	200 VESEY STREET NEW YORK, NY 10281
Effective Date:	04/28/2014
Description:	APPLICANT HAS FULLY DISCLOSED CLEARING AGREEMENT WITH THE FIRM AS AN INTRODUCING FIRM CORRESPONDENT.

©2026 FINRA. All rights reserved.

Firm Operations

Industry Arrangements

This firm does not have books or records maintained by a third party.

This firm does have accounts, funds, or securities maintained by a third party.

Name:	RBC CAPITAL MARKETS, LLC
CRD #:	31194
Business Address:	200 VESEY STREET NEW YORK, NY 10281
Effective Date:	04/28/2014
Description:	APPLICANT HAS FULLY DISCLOSED CLEARING AGREEMENT WITH THE FIRM AS INTRODUCING FIRM CORRESPONDENT.

This firm does have customer accounts, funds, or securities maintained by a third party.

Name:	RBC CAPITAL MARKETS, LLC
CRD #:	31194
Business Address:	200 VESEY STREET NEW YORK, NY 10281
Effective Date:	04/28/2014
Description:	APPLICANT HAS FULLY DISCLOSED CLEARING AGREEMENT WITH THE FIRM AS INTRODUCING FIRM CORRESPONDENT.

Control Persons/Financing

This firm does not have individuals who control its management or policies through agreement.

This firm does not have individuals who wholly or partly finance the firm's business.

©2026 FINRA. All rights reserved.

Firm Operations

Organization Affiliates

This section provides information on control relationships the firm has with other firms in the securities, investment advisory, or banking business.

This firm is, directly or indirectly:

- **in control of**
- **controlled by**
- **or under common control with**

the following partnerships, corporations, or other organizations engaged in the securities or investment advisory business.

CRAFT WEALTH MANAGEMENT LLC is under common control with the firm.

CRD #:	170395
Business Address:	377 OAK STREET GARDEN CITY, NY 11731
Effective Date:	04/14/2015
Foreign Entity:	No
Country:	
Securities Activities:	No
Investment Advisory Activities:	Yes
Description:	TWO OF THE PRINCIPALS ARE AFFILIATED WITH THE ENTITY WHICH IS HELD BY A COMMON HOLDING COMPANY.

This firm is not directly or indirectly, controlled by the following:

- **bank holding company**
- **national bank**
- **state member bank of the Federal Reserve System**
- **state non-member bank**
- **savings bank or association**
- **credit union**
- **or foreign bank**

©2026 FINRA. All rights reserved.

End of Report

This page is intentionally left blank.

©2026 FINRA. All rights reserved.