

BrokerCheck Report

SPURRIER CAPITAL PARTNERS LLC

CRD# 173524

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When communicating online or investing with any professional, make sure you know who you're dealing with. [Imposters](#) might link to sites like BrokerCheck from [phishing](#) or similar scam websites, or through [social media](#), trying to steal your personal information or your money.

Please contact FINRA with any concerns.

About BrokerCheck®

BrokerCheck offers information on all current, and many former, registered securities brokers, and all current and former registered securities firms. FINRA strongly encourages investors to use BrokerCheck to check the background of securities brokers and brokerage firms before deciding to conduct, or continue to conduct, business with them.

- **What is included in a BrokerCheck report?**

- BrokerCheck reports for individual brokers include information such as employment history, professional qualifications, disciplinary actions, criminal convictions, civil judgments and arbitration awards. BrokerCheck reports for brokerage firms include information on a firm's profile, history, and operations, as well as many of the same disclosure events mentioned above.

- Please note that the information contained in a BrokerCheck report may include pending actions or allegations that may be contested, unresolved or unproven. In the end, these actions or allegations may be resolved in favor of the broker or brokerage firm, or concluded through a negotiated settlement with no admission or finding of wrongdoing.

- **Where did this information come from?**

- The information contained in BrokerCheck comes from FINRA's Central Registration Depository, or CRD® and is a combination of:
 - information FINRA and/or the Securities and Exchange Commission (SEC) require brokers and brokerage firms to submit as part of the registration and licensing process, and
 - information that regulators report regarding disciplinary actions or allegations against firms or brokers.

- **How current is this information?**

- Generally, active brokerage firms and brokers are required to update their professional and disciplinary information in CRD within 30 days. Under most circumstances, information reported by brokerage firms, brokers and regulators is available in BrokerCheck the next business day.

- **What if I want to check the background of an investment adviser firm or investment adviser representative?**

- To check the background of an investment adviser firm or representative, you can search for the firm or individual in BrokerCheck. If your search is successful, click on the link provided to view the available licensing and registration information in the SEC's Investment Adviser Public Disclosure (IAPD) website at <https://www.adviserinfo.sec.gov>. In the alternative, you may search the IAPD website directly or contact your state securities regulator at <http://www.finra.org/Investors/ToolsCalculators/BrokerCheck/P455414>.

- **Are there other resources I can use to check the background of investment professionals?**

- FINRA recommends that you learn as much as possible about an investment professional before deciding to work with them. Your state securities regulator can help you research brokers and investment adviser representatives doing business in your state.

• **Thank you for using FINRA BrokerCheck.**



Using this site/information means that you accept the FINRA BrokerCheck Terms and Conditions. A complete list of Terms and Conditions can be found at brokercheck.finra.org



For additional information about the contents of this report, please refer to the User Guidance or www.finra.org/brokercheck. It provides a glossary of terms and a list of frequently asked questions, as well as additional resources. For more information about FINRA, visit www.finra.org.

**SPURRIER CAPITAL PARTNERS LLC**

CRD# 173524

SEC# 8-69540

Main Office Location

299 PARK AVENUE
7TH FLOOR
NEW YORK, NY 10171

Mailing Address

299 PARK AVENUE
7TH FLOOR
NEW YORK, NY 10171

Business Telephone Number

212 486-7100

Report Summary for this Firm

This report summary provides an overview of the brokerage firm. Additional information for this firm can be found in the detailed report.

Firm Profile

This firm is classified as a limited liability company.
This firm was formed in New York on 05/20/2009.
Its fiscal year ends in December.

Firm History

Information relating to the brokerage firm's history such as other business names and successions (e.g., mergers, acquisitions) can be found in the detailed report.

Firm Operations

This brokerage firm is no longer registered with FINRA or a national securities exchange.

Disclosure Events

Brokerage firms are required to disclose certain criminal matters, regulatory actions, civil judicial proceedings and financial matters in which the firm or one of its control affiliates has been involved.

Are there events disclosed about this firm? **No**

Registration Withdrawal Information

This section provides information relating to the date the brokerage firm ceased doing business and the firm's financial obligations to customers or other brokerage firms.



Date firm ceased business: 04/29/2022

Does this brokerage firm owe any money or securities to any customer or brokerage firm? No



Firm Profile

This firm is classified as a limited liability company.

This firm was formed in New York on 05/20/2009.

Its fiscal year ends in December.

Firm Names and Locations

This section provides the brokerage firm's full legal name, "Doing Business As" name, business and mailing addresses, telephone number, and any alternate name by which the firm conducts business and where such name is used.

SPURRIER CAPITAL PARTNERS LLC

Doing business as SPURRIER CAPITAL PARTNERS LLC

CRD# 173524

SEC# 8-69540

Main Office Location

299 PARK AVENUE
7TH FLOOR
NEW YORK, NY 10171

Mailing Address

299 PARK AVENUE
7TH FLOOR
NEW YORK, NY 10171

Business Telephone Number

212 486-7100



Firm Profile

This section provides information relating to all direct owners and executive officers of the brokerage firm.

Direct Owners and Executive Officers

Legal Name & CRD# (if any): LINCOLN INTERNATIONAL, LP

Is this a domestic or foreign entity or an individual? Domestic Entity

Position OWNER

Position Start Date 04/2022

Percentage of Ownership 75% or more

Does this owner direct the management or policies of the firm? Yes

Is this a public reporting company? No

Legal Name & CRD# (if any): SPURRIER, CLARK ANDREW

2636419

Is this a domestic or foreign entity or an individual? Individual

Position MANAGING PARTNER, CHIEF EXECUTIVE OFFICER, EXECUTIVE REPRESENTATIVE AND CHIEF COMPLIANCE OFFICER

Position Start Date 05/2009

Percentage of Ownership Less than 5%

Does this owner direct the management or policies of the firm? Yes

Is this a public reporting company? No



Firm Profile

This section provides information relating to any indirect owners of the brokerage firm.

Indirect Owners

Legal Name & CRD# (if any):	BARR, ROBERT BRUCE 720560
Is this a domestic or foreign entity or an individual?	Individual
Company through which indirect ownership is established	LI GP, INC.
Relationship to Direct Owner	SHAREHOLDER
Relationship Established	12/2011
Percentage of Ownership	25% but less than 50%
Does this owner direct the management or policies of the firm?	Yes
Is this a public reporting company?	No

Legal Name & CRD# (if any):	BROWN, ROBERT TODD 3114282
Is this a domestic or foreign entity or an individual?	Individual
Company through which indirect ownership is established	LI GP, INC.
Relationship to Direct Owner	SHAREHOLDER
Relationship Established	08/2021
Percentage of Ownership	25% but less than 50%
Does this owner direct the management or policies of the firm?	Yes
Is this a public reporting company?	No

Legal Name & CRD# (if any):	LAWSON, LAWRENCE JAMES III
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Firm Profile



Indirect Owners (continued)

	1081222
Is this a domestic or foreign entity or an individual?	Individual
Company through which indirect ownership is established	LINCOLN INTERNATIONAL, LP
Relationship to Direct Owner	LIMITED PARTNER
Relationship Established	12/2011
Percentage of Ownership	25% but less than 50%
Does this owner direct the management or policies of the firm?	Yes
Is this a public reporting company?	No

Legal Name & CRD# (if any):	LAWSON, LAWRENCE JAMES III 1081222
Is this a domestic or foreign entity or an individual?	Individual
Company through which indirect ownership is established	LI GP, INC.
Relationship to Direct Owner	SHAREHOLDER
Relationship Established	12/2011
Percentage of Ownership	25% but less than 50%
Does this owner direct the management or policies of the firm?	Yes
Is this a public reporting company?	No

Legal Name & CRD# (if any):	LI GP, INC.
Is this a domestic or foreign entity or an individual?	Domestic Entity

Firm Profile



Indirect Owners (continued)

Company through which indirect ownership is established	LINCOLN INTERNATIONAL, LP
Relationship to Direct Owner	GENERAL PARTNER
Relationship Established	12/2011
Percentage of Ownership	Other General Partners
Does this owner direct the management or policies of the firm?	Yes
Is this a public reporting company?	No

Firm History

This section provides information relating to any successions (e.g., mergers, acquisitions) involving the firm.

No information reported.



Firm Operations



Registrations

This section provides information about the regulators (Securities and Exchange Commission (SEC), self-regulatory organizations (SROs), and U.S. states and territories) with which the brokerage firm is currently registered and licensed, the date the license became effective, and certain information about the firm's SEC registration.

This firm is no longer registered.

The firm's registration was from 01/22/2016 to 10/31/2022.



Firm Operations

Types of Business

This section provides the types of business, including non-securities business, the brokerage firm is engaged in or expects to be engaged in.

This firm currently conducts 1 type of business.

Types of Business

Private placements of securities

Other Types of Business

This firm does not effect transactions in commodities, commodity futures, or commodity options.

This firm does engage in other non-securities business.

Non-Securities Business Description: -THE APPLICANT WILL OFFER MERGERS AND ACQUISITIONS ADVISORY SERVICES.

-EFFECTIVE 11/06/2018, THE APPLICANT EXECUTED A SOLICITATION AND REFERRAL AGREEMENT WITH ALLIANCEBERNSTEIN L.P.

Firm Operations



Clearing Arrangements

This firm does not hold or maintain funds or securities or provide clearing services for other broker-dealer(s).

Introducing Arrangements

This firm does not refer or introduce customers to other brokers and dealers.

Firm Operations

Industry Arrangements



This firm does not have books or records maintained by a third party.

This firm does not have accounts, funds, or securities maintained by a third party.

This firm does not have customer accounts, funds, or securities maintained by a third party.

Control Persons/Financing

This firm does not have individuals who control its management or policies through agreement.

This firm does not have individuals who wholly or partly finance the firm's business.



Firm Operations

Organization Affiliates

This section provides information on control relationships the firm has with other firms in the securities, investment advisory, or banking business.

This firm is, directly or indirectly:

- in control of
 - controlled by
 - or under common control with
- the following partnerships, corporations, or other organizations engaged in the securities or investment advisory business.

LINCOLN INTERNATIONAL CIS HOLDINGS B.V. is under common control with the firm.

Business Address:	BUSINESS CENTRE "TRETIAKOV PLAZA" 6TH FLOOR NIKOSKAYA STR. 10 MOSCOW, RUSSIA 109 012
Effective Date:	04/29/2022
Foreign Entity:	Yes
Country:	RUSSIA
Securities Activities:	Yes
Investment Advisory Activities:	Yes
Description:	THE APPLICANT'S SOLE MEMBER, LINCOLN INTERNATIONAL, LP, CONTROLS THE ENTITY THROUGH ITS DIRECT AND INDIRECT (THROUGH ITS CONTROL OF LINCOLN INTERNATIONAL AG) EQUITY OWNERSHIP IN SUCH ENTITY.

LINCOLN INTERNATIONAL AG is under common control with the firm.

Business Address:	ULMENSTRASSE 37 -39 FRANKFURT AM MAIN, GERMANY 60325
Effective Date:	04/29/2022
Foreign Entity:	Yes
Country:	GERMANY
Securities Activities:	Yes
Investment Advisory Activities:	Yes
Description:	THE APPLICANT'S SOLE MEMBER, LINCOLN INTERNATIONAL, LP, OWNS A MAJORITY OF THE EQUITY INTERESTS IN THIS ENTITY.

Firm Operations



Organization Affiliates (continued)

LINCOLN INTERNATIONAL S.R.L. is under common control with the firm.

Business Address: VIA SANTA RADEGONDA, 11
MILANO, ITALY

Effective Date: 04/29/2022

Foreign Entity: Yes

Country: ITALY

Securities Activities: Yes

Investment Advisory Activities: Yes

Description: THE APPLICANT'S SOLE MEMBER, LINCOLN INTERNATIONAL, LP, CONTROLS THE ENTITY THROUGH ITS DIRECT AND INDIRECT (THROUGH ITS CONTROL OF LINCOLN INTERNATIONAL AG) OWNERSHIP IN SUCH ENTITY.

LINCOLN INTERNATIONAL LLP is under common control with the firm.

Business Address: 10TH FLOOR ORION HOUSE
5 UPPER ST MARTIN'S LANE
LONDON, UNITED KINGDOM WC2H 9EA

Effective Date: 04/29/2022

Foreign Entity: Yes

Country: UNITED KINGDOM

Securities Activities: Yes

Investment Advisory Activities: Yes

Description: THE APPLICANT'S SOLE MEMBER, LINCOLN INTERNATIONAL, LP, CONTROLS THIS ENTITY THROUGH ITS INDIRECT OWNERSHIP OF LINCOLN INTERNATIONAL HOLDINGS LTD.

LINCOLN INTERNATIONAL SAS is under common control with the firm.

Business Address: 29 RUE DE LISBONNE
PARIS, FRANCE

Effective Date: 04/29/2022

Foreign Entity: Yes

Country: FRANCE

Firm Operations



Organization Affiliates (continued)

Securities Activities:	Yes
Investment Advisory Activities:	Yes
Description:	THE APPLICANT'S SOLE MEMBER, LINCOLN INTERNATIONAL, LP, CONTROLS THE ENTITY THROUGH ITS DIRECT AND INDIRECT (THROUGH ITS CONTROL OF LINCOLN INTERNATIONAL AG) OWNERSHIP IN SUCH ENTITY.

LINCOLN INTENATIONAL, SPAIN, S.L. is under common control with the firm.

Business Address:	ALCALA 21 -10 D MADRID, SPAIN
Effective Date:	04/29/2022
Foreign Entity:	Yes
Country:	SPAIN
Securities Activities:	Yes
Investment Advisory Activities:	Yes
Description:	THE APPLICANT'S SOLE MEMBER, LINCOLN INTERNATIONAL, LP, CONTROLS THE ENTITY THROUGH ITS DIRECT AND INDIRECT (THROUGH ITS CONTROL OF LINCOLN INTERNATIONAL AG AND LINCOLN INTERNATIONAL SAS) OWNERSHIP IN SUCH ENTITY.

LINCOLN INTERNATIONAL INC, is under common control with the firm.

Business Address:	ARK MORI BUILDING 32ND FLOOR 1-12-32 AKASAKA MINATIO-KU, JAPAN
Effective Date:	04/29/2022
Foreign Entity:	Yes
Country:	JAPAN
Securities Activities:	Yes
Investment Advisory Activities:	Yes
Description:	THE APPLICANT'S SOLE MEMBER, LINCOLN INTERNATIONAL, LP, CONTROLS THE ENTITY THROUGH ITS DIRECT OWNERSHIP OF THE EQUITY IN SUCH ENTITY.

Firm Operations



Organization Affiliates (continued)

LINCOLN INTERNATIONAL ADVISORS PRIVATE LIMITED is under common control with the firm.

Business Address:	204 & 205, 2ND FLOOR, THE CAPITAL PLOT C-70 G-BLOCK, BANDRA KURAL COMPLEX BANDRA (EAST) MUMBAI, INDIA
Effective Date:	04/29/2022
Foreign Entity:	No
Country:	INDIA
Securities Activities:	Yes
Investment Advisory Activities:	Yes
Description:	THE APPLICANT'S SOLE MEMBER, LINCOLN INTERNATIONAL, LP, CONTROLS THE ENTITY THROUGH ITS DIRECT OWNERSHIP OF EQUITY IN SUCH ENTITY.

LINCOLN INTERNATIONAL ASSESSORIA EMPRESARIAL LTDA is under common control with the firm.

Business Address:	RUA FUNCHAL, 418 - 28 ANDAR VILA OLIMPIA SAO PAULO, BRAZIL
Effective Date:	04/29/2022
Foreign Entity:	Yes
Country:	BRAZIL
Securities Activities:	Yes
Investment Advisory Activities:	Yes
Description:	THE APPLICANT'S SOLE MEMBER, LINCOLN INTERNATIONAL, LP, CONTROLS THE ENTITY THROUGH ITS DIRECT EQUITY OWNERSHIP.

ST SERVICOS FINANCEIROS LTDA. is under common control with the firm.

Business Address:	RUA FUNCHAL, 418 - 28 ANDAR VILA OLIMPIA SAO PAULO, BRAZIL
Effective Date:	04/29/2022
Foreign Entity:	Yes

Firm Operations



Organization Affiliates (continued)

Country:	BRAZIL
Securities Activities:	Yes
Investment Advisory Activities:	Yes
Description:	THE APPLICANT'S SOLE MEMBER, LINCOLN INTERNATIONAL, LP, CONTROLS THE ENTITY THROUGH ITS INDIRECT (THROUGH LINCOLN INTERNATIONAL ASSESSORIA EMPRESARIAL LTDA) EQUITY OWNERSHIP.

LINCOLN INTERNATIONAL INVESTMENT CONSULTING (BEIJING) COMPANY LTD. is under common control with the firm.

Business Address:	3208 T2, CHINA CENTRAL PLACE #79 JIANGUO ROAD BEIJING, CHINA 100025
Effective Date:	04/29/2022
Foreign Entity:	Yes
Country:	CHINA
Securities Activities:	Yes
Investment Advisory Activities:	Yes
Description:	THE APPLICANT'S SOLE MEMBER, LINCOLN INTERNATIONAL, LP, CONTROLS THE ENTITY THROUGH ITS INDIRECT (THROUGH LINCOLN INTERNATIONAL CHINA HOLDINGS LIMITED) EQUITY OWNERSHIP.

LINCOLN INTERNATIONAL KB is under common control with the firm.

Business Address:	SE-11451 STOCKHOLM, SWEDEN
Effective Date:	04/29/2022
Foreign Entity:	Yes
Country:	SWEDEN
Securities Activities:	Yes
Investment Advisory Activities:	No
Description:	THE APPLICANT'S SOLE MEMBER, LINCOLN INTERNATIONAL, LP, CONTROLS THIS ENTITY THROUGH ITS DIRECT OWNERSHIP OF EQUITY IN SUCH ENTITY

Firm Operations



Organization Affiliates (continued)

SUCH ENTITY.

LINCOLN INTERNATIONAL LLC is under common control with the firm.

CRD #:	42045
Business Address:	110 NORTH WACKER DRIVE 51ST FLOOR CHICAGO, IL 60606
Effective Date:	04/29/2022
Foreign Entity:	No
Country:	
Securities Activities:	Yes
Investment Advisory Activities:	No
Description:	THE APPLICANT'S SOLE MEMBER, LINCOLN INTERNATIONAL, LP, CONTROLS THIS ENTITY THROUGH ITS DIRECT OWNERSHIP OF EQUITY IN SUCH ENTITY.

This firm is not directly or indirectly, controlled by the following:

- bank holding company
- national bank
- state member bank of the Federal Reserve System
- state non-member bank
- savings bank or association
- credit union
- or foreign bank

End of Report



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