

BrokerCheck Report

WELLFLEET INVESTMENTS LLC

CRD# 18488

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When communicating online or investing with any professional, make sure you know who you're dealing with. [Imposters](#) might link to sites like BrokerCheck from [phishing](#) or similar scam websites, or through [social media](#), trying to steal your personal information or your money.

Please contact FINRA with any concerns.

About BrokerCheck®

BrokerCheck offers information on all current, and many former, registered securities brokers, and all current and former registered securities firms. FINRA strongly encourages investors to use BrokerCheck to check the background of securities brokers and brokerage firms before deciding to conduct, or continue to conduct, business with them.

- **What is included in a BrokerCheck report?**

- BrokerCheck reports for individual brokers include information such as employment history, professional qualifications, disciplinary actions, criminal convictions, civil judgments and arbitration awards. BrokerCheck reports for brokerage firms include information on a firm's profile, history, and operations, as well as many of the same disclosure events mentioned above.

- Please note that the information contained in a BrokerCheck report may include pending actions or allegations that may be contested, unresolved or unproven. In the end, these actions or allegations may be resolved in favor of the broker or brokerage firm, or concluded through a negotiated settlement with no admission or finding of wrongdoing.

- **Where did this information come from?**

- The information contained in BrokerCheck comes from FINRA's Central Registration Depository, or CRD® and is a combination of:
 - information FINRA and/or the Securities and Exchange Commission (SEC) require brokers and brokerage firms to submit as part of the registration and licensing process, and
 - information that regulators report regarding disciplinary actions or allegations against firms or brokers.

- **How current is this information?**

- Generally, active brokerage firms and brokers are required to update their professional and disciplinary information in CRD within 30 days. Under most circumstances, information reported by brokerage firms, brokers and regulators is available in BrokerCheck the next business day.

- **What if I want to check the background of an investment adviser firm or investment adviser representative?**

- To check the background of an investment adviser firm or representative, you can search for the firm or individual in BrokerCheck. If your search is successful, click on the link provided to view the available licensing and registration information in the SEC's Investment Adviser Public Disclosure (IAPD) website at <https://www.adviserinfo.sec.gov>. In the alternative, you may search the IAPD website directly or contact your state securities regulator at <http://www.finra.org/Investors/ToolsCalculators/BrokerCheck/P455414>.

- **Are there other resources I can use to check the background of investment professionals?**

- FINRA recommends that you learn as much as possible about an investment professional before deciding to work with them. Your state securities regulator can help you research brokers and investment adviser representatives doing business in your state.

• **Thank you for using FINRA BrokerCheck.**



Using this site/information means that you accept the FINRA BrokerCheck Terms and Conditions. A complete list of Terms and Conditions can be found at brokercheck.finra.org



For additional information about the contents of this report, please refer to the User Guidance or www.finra.org/brokercheck. It provides a glossary of terms and a list of frequently asked questions, as well as additional resources. For more information about FINRA, visit www.finra.org.

**WELLFLEET INVESTMENTS LLC**

CRD# 18488

SEC# 8-36769

Main Office Location

85-87 COVERT AVENUE
2ND FLOOR REAR LEFT
FLORAL PARK, NY 11001
Regulated by FINRA Long Island Office

Mailing Address

PO BOX 222142
GREAT NECK, NY 11022-2142

Business Telephone Number

(516) 487-7450

Report Summary for this Firm

This report summary provides an overview of the brokerage firm. Additional information for this firm can be found in the detailed report.

Firm Profile

This firm is classified as a limited liability company.

This firm was formed in New York on 02/17/1998.

Its fiscal year ends in December.

Firm History

Information relating to the brokerage firm's history such as other business names and successions (e.g., mergers, acquisitions) can be found in the detailed report.

Firm Operations

This firm is registered with:

- the SEC
- 1 Self-Regulatory Organization
- 8 U.S. states and territories

Is this brokerage firm currently suspended with any regulator? **No**

This firm conducts 7 types of businesses.

This firm is not affiliated with any financial or investment institutions.

This firm has referral or financial arrangements with other brokers or dealers.

Disclosure Events

Brokerage firms are required to disclose certain criminal matters, regulatory actions, civil judicial proceedings and financial matters in which the firm or one of its control affiliates has been involved.

Are there events disclosed about this firm? **No**



Firm Profile

This firm is classified as a limited liability company.

This firm was formed in New York on 02/17/1998.

Its fiscal year ends in December.

Firm Names and Locations

This section provides the brokerage firm's full legal name, "Doing Business As" name, business and mailing addresses, telephone number, and any alternate name by which the firm conducts business and where such name is used.

WELLFLEET INVESTMENTS LLC

Doing business as WELLFLEET INVESTMENTS LLC

CRD# 18488

SEC# 8-36769

Main Office Location

85-87 COVERT AVENUE
2ND FLOOR REAR LEFT
FLORAL PARK, NY 11001

Regulated by FINRA Long Island Office

Mailing Address

PO BOX 222142
GREAT NECK, NY 11022-2142

Business Telephone Number

(516) 487-7450



Firm Profile

This section provides information relating to all direct owners and executive officers of the brokerage firm.

Direct Owners and Executive Officers

Legal Name & CRD# (if any):	BEGA, JEFFREY
Is this a domestic or foreign entity or an individual?	Individual
Position	PRESIDENT/FINANCE/COO/CFO/CCO/FINOP
Position Start Date	01/2019
Percentage of Ownership	75% or more
Does this owner direct the management or policies of the firm?	Yes
Is this a public reporting company?	No

Legal Name & CRD# (if any):	J.P. CAREY CAPITAL MARKETS LLC
Is this a domestic or foreign entity or an individual?	Domestic Entity
Position	OWNER
Position Start Date	07/2024
Percentage of Ownership	10% but less than 25%
Does this owner direct the management or policies of the firm?	No
Is this a public reporting company?	No

Legal Name & CRD# (if any):	PRIMAVERA, PATRICK JOSEPH 3124567
Is this a domestic or foreign entity or an individual?	Individual
Position	DIRECTOR
Position Start Date	09/2024
Percentage of Ownership	Less than 5%

Firm Profile



Direct Owners and Executive Officers (continued)

Does this owner direct the management or policies of the firm? Yes

Is this a public reporting company? No

Firm Profile

This section provides information relating to any indirect owners of the brokerage firm.



Indirect Owners

Legal Name & CRD# (if any):	CANOUSE, JOSEPH CAREY 1708293
Is this a domestic or foreign entity or an individual?	Individual
Company through which indirect ownership is established	J.P.CAREY CAPITAL MARKETS LLC
Relationship to Direct Owner	OWNER
Relationship Established	12/2021
Percentage of Ownership	75% or more
Does this owner direct the management or policies of the firm?	Yes
Is this a public reporting company?	No

Firm History

This section provides information relating to any successions (e.g., mergers, acquisitions) involving the firm.

No information reported.





Firm Operations

Registrations

This section provides information about the regulators (Securities and Exchange Commission (SEC), self-regulatory organizations (SROs), and U.S. states and territories) with which the brokerage firm is currently registered and licensed, the date the license became effective, and certain information about the firm's SEC registration.

This firm is currently registered with the SEC, 1 SRO and 8 U.S. states and territories.

Federal Regulator	Status	Date Effective
SEC	Approved	01/08/1987

SEC Registration Questions

This firm is registered with the SEC as:

A broker-dealer: Yes

A broker-dealer and government securities broker or dealer: No

A government securities broker or dealer only: No

This firm has ceased activity as a government securities broker or dealer: No

Self-Regulatory Organization	Status	Date Effective
FINRA	Approved	11/25/1987

Firm Operations



Registrations (continued)

U.S. States & Territories	Status	Date Effective
Florida	Approved	02/27/1996
Georgia	Approved	07/29/2024
New Jersey	Approved	08/12/2024
New York	Approved	01/02/1996
Pennsylvania	Approved	02/23/1996
Puerto Rico	Approved	07/30/2025
Texas	Approved	08/19/2025
Virginia	Approved	06/03/2025



Firm Operations

Types of Business

This section provides the types of business, including non-securities business, the brokerage firm is engaged in or expects to be engaged in.

This firm currently conducts 7 types of businesses.

Types of Business

Broker or dealer retailing corporate equity securities over-the-counter

Broker or dealer selling corporate debt securities

Underwriter or selling group participant (corporate securities other than mutual funds)

Mutual fund retailer

Broker or dealer selling variable life insurance or annuities

Private placements of securities

Other - ACTIVITIES AS FINDER, INCLUDING ACTING AS A FINDER OF SUITABLE INVESTORS FOR INVESTMENT BANKING AND INVESTMENT ADVISORY FIRMS; ACTING AS FINDER OF SUITABLE INVESTORS FOR BUSINESS FIRMS.

PARTICIPATION IN FINANCING FOR BUSINESS FIRMS.

UNDERWRITINGS AND PARTICIPATIONS.

SELLING GROUP PARTICIPATIONS.

DIRECT PARTICIPATION PROGRAMS.

INVESTMENT MANAGEMENT.

NO FUNDS TO BE HELD BY THE ENTITY WELLFLEET INVESTMENTS LLC.

Other Types of Business

This firm does not effect transactions in commodities, commodity futures, or commodity options.

This firm does engage in other non-securities business.

Non-Securities Business Description: GENERAL AND FINANCIAL ADVISORY SERVICES TO BUSINESS FIRMS.



Firm Operations

Clearing Arrangements

This firm does not hold or maintain funds or securities or provide clearing services for other broker-dealer(s).

Introducing Arrangements

This firm does refer or introduce customers to other brokers and dealers.

Name: TRILLIUM ASSET MANAGEMENT

CRD #: 110901

Business Address: 711 ATLANTIC AVENUE
BOSTON, MA 02111

Effective Date: 10/01/2013

Description: TRILLIUM ASSET MANAGEMENT IS ONE OF SEVERAL FIRMS FOR WHICH WELLFLEET INVESTMENTS LLC ACTS AS A FINDER. CONTROL OF ALL INVESTMENT DECISIONS RESTS WITH THAT FIRM AND ITS CLIENTS.

Name: BESSEMER TRUST COMPANY OF NEW YORK

Business Address: 630 FIFTH AVENUE
NEW YORK, NY 10111

Effective Date: 05/04/2011

Description: BESSEMER TRUST COMPANY OF NEW YORK IS ONE OF SEVERAL FIRMS FOR WHICH WELLFLEET INVESTMENTS LLC ACTS AS A FINDER. CONTROL OF ALL INVESTMENTS DECISIONS RESTS WITH BESSEMER TRUST COMPANY OF NEW YORK AND ITS CLIENT. THIS ENTRY REPLACES ENTRY BESSEMER INVESTOR SERVICES, INC. EFFECTIVE DATE 5/29/1991.

Name: EXECUTIVE MONETARY MANAGEMENT

Business Address: 220 EAST 42 STREET
NEW YORK, NY 10017

Effective Date: 11/01/2006

Description: EXECUTIVE MONETARY MANAGEMENT IS ONE OF SEVERAL FIRMS FOR WHICH WELLFLEET INVESTMENTS LLC ACTS AS A FINDER. CONTROL OF ALL INVESTMENT DECISIONS RESTS WITH THAT FIRM AND ITS CLIENTS.

Name: U.S. TRUST, BANK OF AMERICA PRIVATE WEALTH MANAGEMENT

Firm Operations



Introducing Arrangements

This firm does refer or introduce customers to other brokers and dealers.

Business Address:	114 WEST 47 STREET, 8TH FL NEW YORK, NY 10036
Effective Date:	11/01/2006
Description:	U.S. TRUST, BANK OF AMERICA PRIVATE WEALTH MANAGEMENT IS ONE OF SEVERAL FIRMS FOR WHICH WELLFLEET INVESTMENTS LLC ACTS AS A FINDER. CONTROL OF ALL INVESTMENT DECISIONS RESTS WITH THAT FIRM AND ITS CLIENTS.
Name:	SILVERCREST ASSET MANAGEMENT GROUP
Business Address:	1330 AVENUE OF THE AMERICAS NEW YORK, NY 10019
Effective Date:	04/20/2006
Description:	SILVERCREST ASSET MANAGEMENT GROUP IS ONE OF SEVERAL FIRMS FOR WHICH WELLFLEET INVESTMENTS LLC ACTS AS A FINDER. CONTROL OF ALL INVESTMENT DECISIONS RESTS WITH SILVERCREST AND ITS CLIENTS.
Name:	PERSHING LLC
CRD #:	7560
Business Address:	ONE PERSHING PLAZA JERSEY CITY, NJ 07399
Effective Date:	12/04/1995
Description:	PERSHING LLC IS THE CLEARING FIRM ON A FULLY DISCLOSED BASIS FOR WELLFLEET INVESTMENTS LLC.
Name:	GOLDMAN, SACHS & CO.
CRD #:	361
Business Address:	200 WEST STREET NEW YORK, N.Y., NY 10281
Effective Date:	10/26/1994
Description:	GOLDMAN, SACHS & CO. IS ONE OF SEVERAL FIRMS FOR WHICH WELLFLEET INVESTMENTS LLC ACTS AS A FINDER. CONTROL OF ALL INVESTMENT DECISIONS RESTS WITH THAT FIRM AND ITS CLIENTS.

Firm Operations

Industry Arrangements



This firm does not have books or records maintained by a third party.

This firm does not have accounts, funds, or securities maintained by a third party.

This firm does not have customer accounts, funds, or securities maintained by a third party.

Control Persons/Financing

This firm does not have individuals who control its management or policies through agreement.

This firm does not have individuals who wholly or partly finance the firm's business.



Firm Operations

Organization Affiliates

This section provides information on control relationships the firm has with other firms in the securities, investment advisory, or banking business.

This firm is not, directly or indirectly:

- in control of
 - controlled by
 - or under common control with
- the following partnerships, corporations, or other organizations engaged in the securities or investment advisory business.**

This firm is not directly or indirectly, controlled by the following:

- bank holding company
- national bank
- state member bank of the Federal Reserve System
- state non-member bank
- savings bank or association
- credit union
- or foreign bank

End of Report



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