

BrokerCheck Report

MEC GLOBAL PARTNERS LLC

CRD# 305000

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When communicating online or investing with any professional, make sure you know who you're dealing with. [Imposters](#) might link to sites like BrokerCheck from [phishing](#) or similar scam websites, or through [social media](#), trying to steal your personal information or your money.

Please contact FINRA with any concerns.

About BrokerCheck®



BrokerCheck offers information on all current, and many former, registered securities brokers, and all current and former registered securities firms. FINRA strongly encourages investors to use BrokerCheck to check the background of securities brokers and brokerage firms before deciding to conduct, or continue to conduct, business with them.

- **What is included in a BrokerCheck report?**

- BrokerCheck reports for individual brokers include information such as employment history, professional qualifications, disciplinary actions, criminal convictions, civil judgments and arbitration awards. BrokerCheck reports for brokerage firms include information on a firm's profile, history, and operations, as well as many of the same disclosure events mentioned above.

- Please note that the information contained in a BrokerCheck report may include pending actions or allegations that may be contested, unresolved or unproven. In the end, these actions or allegations may be resolved in favor of the broker or brokerage firm, or concluded through a negotiated settlement with no admission or finding of wrongdoing.

- **Where did this information come from?**

- The information contained in BrokerCheck comes from FINRA's Central Registration Depository, or CRD® and is a combination of:
 - information FINRA and/or the Securities and Exchange Commission (SEC) require brokers and brokerage firms to submit as part of the registration and licensing process, and
 - information that regulators report regarding disciplinary actions or allegations against firms or brokers.

- **How current is this information?**

- Generally, active brokerage firms and brokers are required to update their professional and disciplinary information in CRD within 30 days. Under most circumstances, information reported by brokerage firms, brokers and regulators is available in BrokerCheck the next business day.

- **What if I want to check the background of an investment adviser firm or investment adviser representative?**

- To check the background of an investment adviser firm or representative, you can search for the firm or individual in BrokerCheck. If your search is successful, click on the link provided to view the available licensing and registration information in the SEC's Investment Adviser Public Disclosure (IAPD) website at <https://www.adviserinfo.sec.gov>. In the alternative, you may search the IAPD website directly or contact your state securities regulator at <http://www.finra.org/Investors/ToolsCalculators/BrokerCheck/P455414>.

- **Are there other resources I can use to check the background of investment professionals?**

- FINRA recommends that you learn as much as possible about an investment professional before deciding to work with them. Your state securities regulator can help you research brokers and investment adviser representatives doing business in your state.

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Using this site/information means that you accept the FINRA BrokerCheck Terms and Conditions. A complete list of Terms and Conditions can be found at

brokercheck.finra.org



For additional information about the contents of this report, please refer to the User Guidance or www.finra.org/brokercheck. It provides a glossary of terms and a list of frequently asked questions, as well as additional resources.

[For more information about FINRA, visit www.finra.org.](http://www.finra.org)



MEC GLOBAL PARTNERS LLC

CRD# 305000

SEC# 8-70418

Main Office Location

ONE FEDERAL STREET
17TH FLOOR
BOSTON, MA 02110
Regulated by FINRA Boston Office

Mailing Address

ONE FEDERAL STREET
17TH FLOOR
BOSTON, MA 02110

Business Telephone Number

617-476-2772

Report Summary for this Firm

This report summary provides an overview of the brokerage firm. Additional information for this firm can be found in the detailed report.

Firm Profile

This firm is classified as a limited liability company.

This firm was formed in Delaware on 02/19/2019.

Its fiscal year ends in December.

Firm History

Information relating to the brokerage firm's history such as other business names and successions (e.g., mergers, acquisitions) can be found in the detailed report.

Firm Operations

This firm is registered with:

- the SEC
- 1 Self-Regulatory Organization
- 13 U.S. states and territories

Is this brokerage firm currently suspended with any regulator? **No**

This firm conducts 1 type of business.

This firm is affiliated with financial or investment institutions.

This firm does not have referral or financial arrangements with other brokers or dealers.

Disclosure Events

Brokerage firms are required to disclose certain criminal matters, regulatory actions, civil judicial proceedings and financial matters in which the firm or one of its control affiliates has been involved.

Are there events disclosed about this firm? **No**



Firm Profile

This firm is classified as a limited liability company.

This firm was formed in Delaware on 02/19/2019.

Its fiscal year ends in December.

Firm Names and Locations

This section provides the brokerage firm's full legal name, "Doing Business As" name, business and mailing addresses, telephone number, and any alternate name by which the firm conducts business and where such name is used.

MEC GLOBAL PARTNERS LLC

Doing business as MEC GLOBAL PARTNERS LLC

CRD# 305000

SEC# 8-70418

Main Office Location

ONE FEDERAL STREET
17TH FLOOR
BOSTON, MA 02110

Regulated by FINRA Boston Office

Mailing Address

ONE FEDERAL STREET
17TH FLOOR
BOSTON, MA 02110

Business Telephone Number

617-476-2772



Firm Profile

This section provides information relating to all direct owners and executive officers of the brokerage firm.

Direct Owners and Executive Officers

Legal Name & CRD# (if any):	MEC GLOBAL PARTNERS CH LLC
Is this a domestic or foreign entity or an individual?	Domestic Entity
Position	SOLE MEMBER
Position Start Date	02/2019
Percentage of Ownership	75% or more
Does this owner direct the management or policies of the firm?	Yes
Is this a public reporting company?	No

Legal Name & CRD# (if any):	DOHERTY, JAMES HUGH 4197747
Is this a domestic or foreign entity or an individual?	Individual
Position	POO
Position Start Date	07/2020
Percentage of Ownership	Less than 5%
Does this owner direct the management or policies of the firm?	No
Is this a public reporting company?	No

Legal Name & CRD# (if any):	FOX, GERHARD ROBERT 2796860
Is this a domestic or foreign entity or an individual?	Individual
Position	CCO
Position Start Date	05/2023

Firm Profile



Direct Owners and Executive Officers (continued)

Percentage of Ownership Less than 5%

Does this owner direct the management or policies of the firm? No

Is this a public reporting company? No

Legal Name & CRD# (if any): SINELNIKOV, PETER SERGEY
6493208

Is this a domestic or foreign entity or an individual? Individual

Position FINOP/PFO

Position Start Date 06/2019

Percentage of Ownership Less than 5%

Does this owner direct the management or policies of the firm? No

Is this a public reporting company? No

Legal Name & CRD# (if any): WILBERT, RANDALL EDWIN II
5951608

Is this a domestic or foreign entity or an individual? Individual

Position CEO

Position Start Date 06/2019

Percentage of Ownership Less than 5%

Does this owner direct the management or policies of the firm? Yes

Is this a public reporting company? No



Firm Profile

This section provides information relating to any indirect owners of the brokerage firm.

Indirect Owners

Legal Name & CRD# (if any):	MEC GLOBAL PARTNERS HOLDINGS LLC
Is this a domestic or foreign entity or an individual?	Domestic Entity
Company through which indirect ownership is established	MEC GLOBAL PARTNERS CH LLC
Relationship to Direct Owner	SOLE MEMBER
Relationship Established	02/2019
Percentage of Ownership	75% or more
Does this owner direct the management or policies of the firm?	Yes
Is this a public reporting company?	No

Legal Name & CRD# (if any):	MEC GROUP INTERNATIONAL INC
Is this a domestic or foreign entity or an individual?	Domestic Entity
Company through which indirect ownership is established	MEC GLOBAL PARTNERS HOLDINGS LLC
Relationship to Direct Owner	SOLE MEMBER
Relationship Established	01/2020
Percentage of Ownership	75% or more
Does this owner direct the management or policies of the firm?	Yes
Is this a public reporting company?	No

Legal Name & CRD# (if any):	MITSUBISHI ESTATE COMPANY, LIMITED
Is this a domestic or foreign entity or an individual?	Foreign Entity

Firm Profile



Indirect Owners (continued)

Company through which indirect ownership is established	MEC GROUP INTERNATIONAL INC.
Relationship to Direct Owner	SHAREHOLDER
Relationship Established	01/2020
Percentage of Ownership	75% or more
Does this owner direct the management or policies of the firm?	Yes
Is this a public reporting company?	No

Firm History

This section provides information relating to any successions (e.g., mergers, acquisitions) involving the firm.

No information reported.





Firm Operations

Registrations

This section provides information about the regulators (Securities and Exchange Commission (SEC), self-regulatory organizations (SROs), and U.S. states and territories) with which the brokerage firm is currently registered and licensed, the date the license became effective, and certain information about the firm's SEC registration.

This firm is currently registered with the SEC, 1 SRO and 13 U.S. states and territories.

Federal Regulator	Status	Date Effective
SEC	Approved	04/09/2020

SEC Registration Questions

This firm is registered with the SEC as:

A broker-dealer: Yes

A broker-dealer and government securities broker or dealer: No

A government securities broker or dealer only: No

This firm has ceased activity as a government securities broker or dealer: No

Self-Regulatory Organization	Status	Date Effective
FINRA	Approved	04/09/2020

Firm Operations



Registrations (continued)

U.S. States & Territories	Status	Date Effective
California	Approved	11/18/2020
Connecticut	Approved	01/11/2021
Florida	Approved	03/05/2021
Georgia	Approved	12/17/2020
Illinois	Approved	03/25/2021
Indiana	Approved	01/21/2021
Massachusetts	Approved	10/16/2020
New Jersey	Approved	01/22/2021
New Mexico	Approved	01/07/2021
New York	Approved	10/28/2020
Ohio	Approved	12/16/2020
Pennsylvania	Approved	12/15/2020
Texas	Approved	01/05/2021

Firm Operations



Types of Business

This section provides the types of business, including non-securities business, the brokerage firm is engaged in or expects to be engaged in.

This firm currently conducts 1 type of business.

Types of Business

Private placements of securities

Firm Operations



Clearing Arrangements

This firm does not hold or maintain funds or securities or provide clearing services for other broker-dealer(s).

Introducing Arrangements

This firm does not refer or introduce customers to other brokers and dealers.

Firm Operations

Industry Arrangements



This firm does have books or records maintained by a third party.

Name: SMARSH INC.
Business Address: 851 SW 6TH AVE
 SUITE 800
 PORTLAND, OR 97204
Effective Date: 03/29/2021
Description: THE FIRM USES SMARSH FOR THE CAPTURE AND ARCHIVAL OF ELECTRONIC MESSAGES AND COMMUNICATIONS; SMARSH IS THE DESIGNATED THIRD PARTY DOWNLOADER FOR THIS SPECIFIC ACTIVITY.

Name: MICROSOFT CORPORATION
Business Address: ONE MICROSOFT WAY
 REDMOND, WA 98052-6399
Effective Date: 04/09/2020
Description: THE FIRM USES MICROSOFT OFFICE 365 TO STORE AND ARCHIVE THE FIRM'S GENERAL REQUIRED BOOKS AND RECORDS; 17A-4, LLC IS THE DESIGNATED THIRD PARTY DOWNLOADER FOR THIS SPECIFIC ACTIVITY.

This firm does not have accounts, funds, or securities maintained by a third party.

This firm does not have customer accounts, funds, or securities maintained by a third party.

Control Persons/Financing

This firm does not have individuals who control its management or policies through agreement.

This firm does not have individuals who wholly or partly finance the firm's business.



Firm Operations

Organization Affiliates

This section provides information on control relationships the firm has with other firms in the securities, investment advisory, or banking business.

This firm is, directly or indirectly:

- in control of
 - controlled by
 - or under common control with
- the following partnerships, corporations, or other organizations engaged in the securities or investment advisory business.

EUROPA R2C GP LLP is under common control with the firm.

Business Address:	42 BERNERS STREET LONDON, UNITED KINGDOM W1T 3ND
Effective Date:	07/10/2024
Foreign Entity:	Yes
Country:	UNITED KINGDOM
Securities Activities:	No
Investment Advisory Activities:	Yes
Description:	THE APPLICANT AND EUROPA R2C GP LLP ARE UNDER COMMON CONTROL THROUGH MEC GLOBAL PARTNERS HOLDINGS LLC

DCD III GP, LLC is under common control with the firm.

Business Address:	ONE FEDERAL STREET 17TH FLOOR BOSTON, MA 02110
Effective Date:	07/19/2024
Foreign Entity:	No
Country:	
Securities Activities:	No
Investment Advisory Activities:	Yes
Description:	THE APPLICANT AND DCD III GP, LLC ARE UNDER COMMON CONTROL THROUGH MEC GLOBAL PARTNERS HOLDINGS LLC

DCD II GP, LLC is under common control with the firm.

Firm Operations**Organization Affiliates (continued)**

Business Address: ONE FEDERAL STREET
17TH FLOOR
BOSTON, MA 02110

Effective Date: 02/28/2024

Foreign Entity: No

Country:

Securities Activities: No

Investment Advisory Activities: Yes

Description: THE APPLICANT AND DCD II GP, LLC ARE UNDER COMMON CONTROL THROUGH MEC GLOBAL PARTNERS HOLDINGS LLC

TAD PF-1 (US) GP, LLC is under common control with the firm.

Business Address: ONE FEDERAL STREET
17TH FLOOR
BOSTON, MA 02110

Effective Date: 11/21/2023

Foreign Entity: No

Country:

Securities Activities: No

Investment Advisory Activities: Yes

Description: THE APPLICANT AND TAD PF-1 (US) GP, LLC ARE UNDER COMMON CONTROL THROUGH MEC GLOBAL PARTNERS HOLDINGS LLC

TAR RESIDENTIAL PF (US) AGGREGATOR GP, LLC is under common control with the firm.

Business Address: ONE FEDERAL STREET
17TH FLOOR
BOSTON, MA 02110

Effective Date: 10/25/2022

Foreign Entity: No

Country:

Securities Activities: No

Investment Advisory Yes

Firm Operations**Organization Affiliates (continued)****Activities:**

Description: THE APPLICANT AND TAR RESIDENTIAL PF (US) AGGREGATOR GP, LLC ARE UNDER COMMON CONTROL THROUGH MEC GLOBAL PARTNERS HOLDINGS LLC

TAR RESIDENTIAL AGGREGATOR GP, LLC is under common control with the firm.

Business Address: ONE FEDERAL STREET
17TH FLOOR
BOSTON, MA 02110

Effective Date: 08/12/2022

Foreign Entity: No

Country:

Securities Activities: No

Investment Advisory Activities: Yes

Description: THE APPLICANT AND TAR RESIDENTIAL AGGREGATOR GP, LLC ARE UNDER COMMON CONTROL THROUGH MEC GLOBAL PARTNERS HOLDINGS LLC

REAPAC INVEST GENERAL PARTNER PTE. LTD. is under common control with the firm.

Business Address: 50 COLLYER QUAY
#04-03 OUE BAYFRONT
SINGAPORE, SINGAPORE 049321

Effective Date: 01/31/2025

Foreign Entity: Yes

Country: SINGAPORE

Securities Activities: No

Investment Advisory Activities: Yes

Description: THE APPLICANT AND REAPAC INVEST GENERAL PARTNER PTE. LTD. ARE UNDER COMMON CONTROL THROUGH MITSUBISHI ESTATE CO., LTD

180 GEORGE STREET GENERAL PARTNER PTE. LTD. is under common control with the firm.

Business Address: 50 COLLYER QUAY
#04-03 OUE BAYFRONT
SINGAPORE, SINGAPORE 049321

Firm Operations**Organization Affiliates (continued)**

Effective Date: 09/12/2024
Foreign Entity: Yes
Country: SINGAPORE
Securities Activities: No
Investment Advisory Activities: Yes
Description: THE APPLICANT AND 180 GEORGE STREET GENERAL PARTNER PTE. LTD. ARE UNDER COMMON CONTROL THROUGH MITSUBISHI ESTATE CO., LT

60 MARGARET STREET FEEDER GENERAL PARTNER PTE. LTD. is under common control with the firm.

Business Address: 50 COLLYER QUAY
 #04-03 OUE BAYFRONT
 SINGAPORE, SINGAPORE 049321
Effective Date: 06/22/2023
Foreign Entity: Yes
Country: SINGAPORE
Securities Activities: No
Investment Advisory Activities: Yes
Description: THE APPLICANT AND 60 MARGARET STREET FEEDER GENERAL PARTNER PTE. LTD. ARE UNDER COMMON CONTROL THROUGH MITSUBISHI ESTATE CO., LTD

PAN ASIA REALTY CORE PLUS FEEDER I GENERAL PARTNER PTE. LTD. is under common control with the firm.

Business Address: 50 COLLYER QUAY
 #04-03
 OUE BAYFRONT, SINGAPORE 049321
Effective Date: 09/12/2022
Foreign Entity: Yes
Country: SINGAPORE
Securities Activities: No
Investment Advisory Activities: Yes

Firm Operations**Organization Affiliates (continued)**

Description: THE APPLICANT AND PAN ASIA REALTY CORE PLUS FEEDER I GENERAL PARTNER PTE. LTD. ARE UNDER COMMON CONTROL THROUGH MITSUBISHI ESTATE CO., LTD

TAR RESIDENTIAL GP, LLC is under common control with the firm.

Business Address: ONE FEDERAL STREET
17TH FLOOR
BOSTON, MA 02110

Effective Date: 12/08/2022

Foreign Entity: No

Country:

Securities Activities: No

Investment Advisory Activities: Yes

Description: THE APPLICANT AND TAR RESIDENTIAL GP, LLC ARE UNDER COMMON CONTROL THROUGH MEC GLOBAL PARTNERS HOLDINGS LLC

MEC GLOBAL PARTNERS ASIA GENESIS PTE. LTD. is under common control with the firm.

Business Address: 50 COLLYER QUAY
#04-03 OUE BAYFRONT
SINGAPORE, SINGAPORE 049321

Effective Date: 09/12/2022

Foreign Entity: Yes

Country: SINGAPORE

Securities Activities: No

Investment Advisory Activities: Yes

Description: THE APPLICANT AND MEC GLOBAL PARTNERS ASIA GENESIS PTE. LTD. ARE UNDER COMMON CONTROL THROUGH MITSUBISHI ESTATE CO., LTD

TA REALTY VALUE-ADD FUND XIII REIT MANAGER, LLC is under common control with the firm.

Business Address: ONE FEDERAL STREET, 17TH FLOOR
BOSTON, MA 02110

Effective Date: 03/31/2022

Foreign Entity: No

Firm Operations



Organization Affiliates (continued)

Country:

Securities Activities: No

Investment Advisory Activities: Yes

Description: THE APPLICANT AND TA REALTY VALUE-ADD FUND XIII REIT MANAGER, LLC ARE UNDER COMMON CONTROL THROUGH MEC GLOBAL PARTNERS HOLDINGS LLC

TA REALTY VALUE-ADD FUND XIII FEEDER GP, LLC is under common control with the firm.

Business Address: ONE FEDERAL STREET, 17TH FLOOR
BOSTON, MA 02110

Effective Date: 03/31/2022

Foreign Entity: No

Country:

Securities Activities: No

Investment Advisory Activities: Yes

Description: THE APPLICANT AND TA REALTY VALUE-ADD FUND XIII FEEDER GP, LLC ARE UNDER COMMON CONTROL THROUGH MEC GLOBAL PARTNERS HOLDINGS LLC

TA REALTY VALUE-ADD FUND XIII AGGREGATOR GP, LLC is under common control with the firm.

Business Address: ONE FEDERAL STREET, 17TH FLOOR
BOSTON, MA 02110

Effective Date: 03/31/2022

Foreign Entity: No

Country:

Securities Activities: No

Investment Advisory Activities: Yes

Description: THE APPLICANT AND TA REALTY VALUE-ADD FUND XIII AGGREGATOR GP, LLC ARE UNDER COMMON CONTROL THROUGH MEC GLOBAL PARTNERS HOLDINGS LLC

Firm Operations**Organization Affiliates (continued)**

TA REALTY VALUE-ADD FUND XIII GP, LLC is under common control with the firm.

Business Address: ONE FEDERAL STREET, 17TH FLOOR
BOSTON, MA 02110

Effective Date: 03/31/2022

Foreign Entity: No

Country:

Securities Activities: No

Investment Advisory Activities: Yes

Description: THE APPLICANT AND TA REALTY VALUE-ADD FUND XIII GP, LLC ARE UNDER COMMON CONTROL THROUGH MEC GLOBAL PARTNERS HOLDINGS LLC

TAR LF GP, LLC is under common control with the firm.

Business Address: ONE FEDERAL STREET
17TH FLOOR
BOSTON, MA 02110

Effective Date: 09/30/2021

Foreign Entity: No

Country:

Securities Activities: No

Investment Advisory Activities: Yes

Description: THE APPLICANT AND TAR LF GP, LLC ARE UNDER COMMON CONTROL THROUGH MEC GLOBAL PARTNERS HOLDINGS LLC

DCD I GP, LLC is under common control with the firm.

Business Address: ONE FEDERAL STREET
17TH FLOOR
BOSTON, MA 02110

Effective Date: 09/30/2021

Foreign Entity: No

Country:

Securities Activities: No

Firm Operations



Organization Affiliates (continued)

Investment Advisory Activities: Yes

Description: THE APPLICANT AND DCD I GP, LLC ARE UNDER COMMON CONTROL THROUGH MEC GLOBAL PARTNERS HOLDINGS LLC

FUDO CAPITAL IV GP LIMITED is under common control with the firm.

Business Address: C/O CONYERS TRUST COMPANY (CAYMAN) LIMITED
CRICKET SQUARE, HUTCHINS DRIVE, PO BOX 2681
GRAND CAYMAN, CAYMAN ISLANDS KY1-1111

Effective Date: 12/10/2019

Foreign Entity: Yes

Country: CAYMAN ISLANDS

Securities Activities: No

Investment Advisory Activities: Yes

Description: THE APPLICANT AND FUDO CAPITAL IV GP LIMITED ARE UNDER COMMON CONTROL THROUGH MITSUBISHI ESTATE CO., LTD

MEC GLOBAL PARTNERS ASIA (JAPAN) KK is under common control with the firm.

Business Address: UNIT 412, 4TH FLOOR, SHIODOME CITY CENTER
1-5-2, HIGASHI-SHIMBASHI,
MINATO-KU, TOKYO, JAPAN 105-7104

Effective Date: 02/20/2019

Foreign Entity: Yes

Country: JAPAN

Securities Activities: No

Investment Advisory Activities: Yes

Description: THE APPLICANT AND MEC GLOBAL PARTNERS ASIA (JAPAN) KK ARE UNDER COMMON CONTROL THROUGH MITSUBISHI ESTATE CO., LTD

MEC GLOBAL PARTNERS ASIA (AUSTRALIA) PTY LTD. is under common control with the firm.

Business Address: SUITE 4.02
130 PITT STREET
SYDNEY, AUSTRALIA NSW

Firm Operations**Organization Affiliates (continued)**

Effective Date: 02/20/2019
Foreign Entity: Yes
Country: AUSTRALIA
Securities Activities: No
Investment Advisory Activities: Yes
Description: THE APPLICANT AND MEC GLOBAL PARTNERS ASIA (AUSTRALIA) PTY LTD. ARE UNDER COMMON CONTROL THROUGH MITSUBISHI ESTATE CO., LTD

FUDO CAPITAL PTE. LTD. is under common control with the firm.

Business Address: 50 COLLYER QUAY
 #04-03, OUE BAYFRONT
 SINGAPORE, SINGAPORE 049321
Effective Date: 02/20/2019
Foreign Entity: Yes
Country: SINGAPORE
Securities Activities: No
Investment Advisory Activities: Yes
Description: THE APPLICANT AND FUDO CAPITAL PTE. LTD. ARE UNDER COMMON CONTROL THROUGH MITSUBISHI ESTATE CO., LTD

MEC GLOBAL PARTNERS ASIA (HK) LIMITED is under common control with the firm.

Business Address: SUITE 502, 5/F, BANK OF AMERICA TOWER
 12 HARCOURT ROAD
 HONG KONG, HONG KONG
Effective Date: 02/20/2019
Foreign Entity: Yes
Country: HONG KONG
Securities Activities: No
Investment Advisory Activities: Yes
Description: THE APPLICANT AND MEC GLOBAL PARTNERS ASIA (HK) LIMITED ARE UNDER COMMON CONTROL THROUGH MITSUBISHI ESTATE CO., LTD

Firm Operations**Organization Affiliates (continued)****MEC GLOBAL PARTNERS ASIA MANAGEMENT (CAYMAN) LTD. is under common control with the firm.**

Business Address: PO BOX 309
UGLAND HOUSE
GRAND CAYMAN, CAYMAN ISLANDS KY1-1104

Effective Date: 02/20/2019

Foreign Entity: Yes

Country: CAYMAN ISLANDS

Securities Activities: No

Investment Advisory Activities: Yes

Description: THE APPLICANT AND MEC GLOBAL PARTNERS ASIA MANAGEMENT (CAYMAN) LTD. ARE UNDER COMMON CONTROL THROUGH MITSUBISHI ESTATE CO., LTD

FUDO CAPITAL III GP LIMITED is under common control with the firm.

Business Address: C/O CONYERS TRUST COMPANY (CAYMAN) LIMITED
CRICKET SQUARE, HUTCHINS DRIVE, PO BOX 2681
GRAND CAYMAN, CAYMAN ISLANDS KY1-1111

Effective Date: 02/20/2019

Foreign Entity: Yes

Country: CAYMAN ISLANDS

Securities Activities: No

Investment Advisory Activities: Yes

Description: THE APPLICANT AND FUDO CAPITAL III GP LIMITED ARE UNDER COMMON CONTROL THROUGH MITSUBISHI ESTATE CO., LTD

MITSUBISHI REAL ESTATE SERVICES CO., LTD. is under common control with the firm.

Business Address: OTEMACHI FINANCIAL CITY GRAND CUBE
9-2, OTEMACHI 1-CHOME
CHIYODA-KU, TOKYO, JAPAN 100-0004

Effective Date: 02/20/2019

Foreign Entity: Yes

Firm Operations



Organization Affiliates (continued)

Country: JAPAN

Securities Activities: Yes

Investment Advisory Activities: Yes

Description: MITSUBISHI REAL ESTATE SERVICES CO., LTD. IS 100% OWNED BY MITSUBISHI ESTATE CO., LTD, WHICH IS ULTIMATE PARENT COMPANY OF THE APPLICANT

JAPAN REAL ESTATE ASSET MANAGEMENT CO., LTD. is under common control with the firm.

Business Address: OTEMACHI PARK BUILDING 1-1-1 OTEMACHI
CHIYODA-KU, TOKYO, JAPAN 100-0004

Effective Date: 02/20/2019

Foreign Entity: Yes

Country: JAPAN

Securities Activities: No

Investment Advisory Activities: Yes

Description: JAPAN REAL ESTATE ASSET MANAGEMENT CO., LTD. IS 100% OWNED BY MITSUBISHI ESTATE CO., LTD, WHICH IS ULTIMATE PARENT COMPANY OF THE APPLICANT

MITSUBISHI JISHO INVESTMENT ADVISORS, INC. is under common control with the firm.

Business Address: MARUNOUCHI KITAGUCHI BUILDING 19F
1-6-5 MARUNOUCHI
CHIYODA-KU, TOKYO, JAPAN 100-0005

Effective Date: 02/20/2019

Foreign Entity: Yes

Country: JAPAN

Securities Activities: Yes

Investment Advisory Activities: Yes

Description: MITSUBISHI JISHO INVESTMENT ADVISORS, INC. IS 100% OWNED BY MITSUBISHI ESTATE CO., LTD, WHICH IS ULTIMATE PARENT COMPANY OF THE APPLICANT

Firm Operations**Organization Affiliates (continued)****R2C GP, LLC is under common control with the firm.**

Business Address: ONE FEDERAL STREET
17TH FLOOR
BOSTON, MA 02110

Effective Date: 02/20/2019

Foreign Entity: No

Country:

Securities Activities: No

Investment Advisory Activities: Yes

Description: THE APPLICANT AND R2C GP, LLC ARE UNDER COMMON CONTROL THROUGH MEC GLOBAL PARTNERS HOLDINGS LLC

TAR CPF GP, LLC is under common control with the firm.

Business Address: ONE FEDERAL STREET
17TH FLOOR
BOSTON, MA 02110

Effective Date: 02/20/2019

Foreign Entity: No

Country:

Securities Activities: No

Investment Advisory Activities: Yes

Description: THE APPLICANT AND TAR CPF GP, LLC ARE UNDER COMMON CONTROL THROUGH MEC GLOBAL PARTNERS HOLDINGS LLC

TA REALTY - SC GP, LLC is under common control with the firm.

Business Address: ONE FEDERAL STREET
17TH FLOOR
BOSTON, MA 02110

Effective Date: 02/20/2019

Foreign Entity: No

Country:

Firm Operations



Organization Affiliates (continued)

Securities Activities: No

Investment Advisory Activities: Yes

Description: THE APPLICANT AND TA REALTY - SC GP, LLC ARE UNDER COMMON CONTROL THROUGH MEC GLOBAL PARTNERS HOLDINGS LLC

BUCKHEAD PROPERTIES GP, LLC is under common control with the firm.

Business Address: ONE FEDERAL STREET
17TH FLOOR
BOSTON, MA 02110

Effective Date: 02/20/2019

Foreign Entity: No

Country:

Securities Activities: No

Investment Advisory Activities: Yes

Description: THE APPLICANT AND BUCKHEAD PROPERTIES GP, LLC ARE UNDER COMMON CONTROL THROUGH MEC GLOBAL PARTNERS HOLDINGS LLC

REALTY ASSOCIATES FUND XII FEEDER GP, LLC is under common control with the firm.

Business Address: ONE FEDERAL STREET
17TH FLOOR
BOSTON, MA 02110

Effective Date: 02/20/2019

Foreign Entity: No

Country:

Securities Activities: No

Investment Advisory Activities: Yes

Description: THE APPLICANT AND REALTY ASSOCIATES FUND XII FEEDER GP, LLC ARE UNDER COMMON CONTROL THROUGH MEC GLOBAL PARTNERS HOLDINGS LLC

REALTY ASSOCIATES FUND XII REIT GP, LLC is under common control with the firm.

Business Address: ONE FEDERAL STREET

Firm Operations**Organization Affiliates (continued)**

17TH FLOOR
BOSTON, MA 02110

Effective Date: 02/20/2019

Foreign Entity: No

Country:

Securities Activities: No

Investment Advisory Activities: Yes

Description: THE APPLICANT AND REALTY ASSOCIATES FUND XII REIT GP, LLC ARE UNDER COMMON CONTROL THROUGH MEC GLOBAL PARTNERS HOLDINGS LLC

REALTY ASSOCIATES FUND XII, LLC is under common control with the firm.

Business Address: ONE FEDERAL STREET
17TH FLOOR
BOSTON, MA 02110

Effective Date: 02/20/2019

Foreign Entity: No

Country:

Securities Activities: No

Investment Advisory Activities: Yes

Description: THE APPLICANT AND REALTY ASSOCIATES FUND XII, LLC ARE UNDER COMMON CONTROL THROUGH MEC GLOBAL PARTNERS HOLDINGS LLC

REALTY ASSOCIATES FUND XI LUX GP S.A.R.L. is under common control with the firm.

Business Address: 5 HEIENHAFF
SENNINGERBERG, LUXEMBOURG L-1736

Effective Date: 02/20/2019

Foreign Entity: Yes

Country: LUXEMBOURG

Securities Activities: No

Investment Advisory Activities: Yes

Firm Operations**Organization Affiliates (continued)**

Description: THE APPLICANT AND REALTY ASSOCIATES FUND XI LUX GP S.A.R.L. ARE UNDER COMMON CONTROL THROUGH MEC GLOBAL PARTNERS HOLDINGS LLC

REALTY ASSOCIATES FUND XI REIT GP, LLC is under common control with the firm.

Business Address: ONE FEDERAL STREET
17TH FLOOR
BOSTON, MA 02110

Effective Date: 02/20/2019

Foreign Entity: No

Country:

Securities Activities: No

Investment Advisory Activities: Yes

Description: THE APPLICANT AND REALTY ASSOCIATES FUND XI REIT GP, LLC ARE UNDER COMMON CONTROL THROUGH MEC GLOBAL PARTNERS HOLDINGS LLC

REALTY ASSOCIATES FUND XI, LLC is under common control with the firm.

Business Address: ONE FEDERAL STREET
17TH FLOOR
BOSTON, MA 02110

Effective Date: 02/20/2019

Foreign Entity: No

Country:

Securities Activities: No

Investment Advisory Activities: Yes

Description: THE APPLICANT AND REALTY ASSOCIATES FUND XI, LLC ARE UNDER COMMON CONTROL THROUGH MEC GLOBAL PARTNERS HOLDINGS LLC

PAN ASIA REALTY MANAGEMENT (S) PTE. LTD. is under common control with the firm.

Business Address: 50 COLLYER QUAY
#04-03, OUE BAYFRONT
SINGAPORE, SINGAPORE 049321

Firm Operations**Organization Affiliates (continued)**

Effective Date: 02/20/2019

Foreign Entity: Yes

Country: SINGAPORE

Securities Activities: No

Investment Advisory Activities: Yes

Description: THE APPLICANT AND PAN ASIA REALTY MANAGEMENT (S) PTE. LTD. ARE UNDER COMMON CONTROL THROUGH MITSUBISHI ESTATE COMPANY, LIMITED

PAN ASIA REALTY MANAGEMENT (LUX) S.A.R.L. is under common control with the firm.

Business Address: 287-289 ROUTE D'ARLON
LUXEMBOURG, LUXEMBOURG 1150

Effective Date: 02/20/2019

Foreign Entity: Yes

Country: LUXEMBOURG

Securities Activities: No

Investment Advisory Activities: Yes

Description: THE APPLICANT AND PAN ASIA REALTY MANAGEMENT (LUX) S.A.R.L. ARE UNDER COMMON CONTROL THROUGH MITSUBISHI ESTATE COMPANY, LIMITED

MEC GLOBAL PARTNERS ASIA PTE. LTD is under common control with the firm.

Business Address: 50 COLLYER QUAY
#04-03, OUE BAYFRONT
SINGAPORE, SINGAPORE 049321

Effective Date: 02/20/2019

Foreign Entity: Yes

Country: SINGAPORE

Securities Activities: No

Investment Advisory Activities: Yes

Description: THE APPLICANT AND MEC GLOBAL PARTNERS ASIA PTE. LTD. ARE UNDER

Firm Operations



Organization Affiliates (continued)

COMMON CONTROL THROUGH MITSUBISHI ESTATE COMPANY, LIMITED

EUROPA GENERATION GP LLP is under common control with the firm.

Business Address: 42 BERNERS STREET
LONDON, UNITED KINGDOM W1T 3ND

Effective Date: 02/20/2019

Foreign Entity: Yes

Country: UNITED KINGDOM

Securities Activities: No

Investment Advisory Activities: Yes

Description: THE APPLICANT AND EUROPA GENERATION GP LLP ARE UNDER COMMON CONTROL THROUGH MEC GLOBAL PARTNERS HOLDINGS LLC

EDIF GP SÀRL is under common control with the firm.

Business Address: 5, RUE DES PRIMEURS
STRASSEN, LUXEMBOURG L-2361

Effective Date: 02/20/2019

Foreign Entity: Yes

Country: LUXEMBOURG

Securities Activities: No

Investment Advisory Activities: Yes

Description: THE APPLICANT AND EDIF GP SÀRL ARE UNDER COMMON CONTROL THROUGH MEC GLOBAL PARTNERS HOLDINGS LLC

EUROPA CAPITAL PARTNERS LLP is under common control with the firm.

Business Address: 42 BERNERS STREET
LONDON, UNITED KINGDOM W1T 3ND

Effective Date: 02/20/2019

Foreign Entity: Yes

Country: UNITED KINGDOM

Securities Activities: No

Firm Operations



Organization Affiliates (continued)

Investment Advisory Activities: Yes

Description: THE APPLICANT AND EUROPA CAPITAL PARTNERS LLP ARE UNDER COMMON CONTROL THROUGH MEC GLOBAL PARTNERS HOLDINGS LLC

EUROPA VI GP SÀRL is under common control with the firm.

Business Address: 5, RUE DES PRIMEURS
STRASSEN, LUXEMBOURG L-2361

Effective Date: 02/20/2019

Foreign Entity: Yes

Country: LUXEMBOURG

Securities Activities: No

Investment Advisory Activities: Yes

Description: THE APPLICANT AND EUROPA VI GP SÀRL ARE UNDER COMMON CONTROL THROUGH MEC GLOBAL PARTNERS HOLDINGS LLC

EUROPA CAPITAL, LLP is under common control with the firm.

CRD #: 161325

Business Address: 42 BERNERS STREET
LONDON, UNITED KINGDOM W1T 3ND

Effective Date: 02/20/2019

Foreign Entity: Yes

Country: UNITED KINGDOM

Securities Activities: Yes

Investment Advisory Activities: Yes

Description: THE APPLICANT AND EUROPA CAPITAL LIMITED LIABILITY ARE UNDER COMMON CONTROL THROUGH MEC GLOBAL PARTNERS HOLDINGS LLC

TA REALTY LLC is under common control with the firm.

CRD #: 108586

Business Address: ONE FEDERAL STREET
17TH FLOOR

Firm Operations



Organization Affiliates (continued)

	BOSTON, MA 02110
Effective Date:	02/20/2019
Foreign Entity:	No
Country:	
Securities Activities:	Yes
Investment Advisory Activities:	Yes
Description:	THE APPLICANT AND TA REALTY LLC ARE UNDER COMMON CONTROL THROUGH MEC GLOBAL PARTNERS HOLDINGS LLC

This firm is not directly or indirectly, controlled by the following:

- bank holding company
- national bank
- state member bank of the Federal Reserve System
- state non-member bank
- savings bank or association
- credit union
- or foreign bank

End of Report



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