BrokerCheck Report

AMERICAN ALTERNATIVE CAPITAL, LLC

CRD# 309956

When communicating online or investing with any professional, make sure you know who you're dealing with. Imposters might link to sites like BrokerCheck from phishing or similar scam websites, or through social media, trying to steal your personal information or your money.

Please contact FINRA with any concerns.

About BrokerCheck®

BrokerCheck offers information on all current, and many former, registered securities brokers, and all current and former registered securities firms. FINRA strongly encourages investors to use BrokerCheck to check the background of securities brokers and brokerage firms before deciding to conduct, or continue to conduct, business with them.

- **What is included in a BrokerCheck report?**
- BrokerCheck reports for individual brokers include information such as employment history, professional qualifications, disciplinary actions, criminal convictions, civil judgments and arbitration awards. BrokerCheck reports for brokerage firms include information on a firm's profile, history, and operations, as well as many of the same disclosure events mentioned above.
- Please note that the information contained in a BrokerCheck report may include pending actions or allegations that may be contested, unresolved or unproven. In the end, these actions or allegations may be resolved in favor of the broker or brokerage firm, or concluded through a negotiated settlement with no admission or finding of wrongdoing.
- **Where did this information come from?**
- The information contained in BrokerCheck comes from FINRA's Central Registration Depository, or CRD® and is a combination of:
    - information FINRA and/or the Securities and Exchange Commission (SEC) require brokers and brokerage firms to submit as part of the registration and licensing process, and
    - information that regulators report regarding disciplinary actions or allegations against firms or brokers.
- **How current is this information?**
- Generally, active brokerage firms and brokers are required to update their professional and disciplinary information in CRD within 30 days. Under most circumstances, information reported by brokerage firms, brokers and regulators is available in BrokerCheck the next business day.
- **What if I want to check the background of an investment adviser firm or investment adviser representative?**
- To check the background of an investment adviser firm or representative, you can search for the firm or individual in BrokerCheck. If your search is successful, click on the link provided to view the available licensing and registration information in the SEC's Investment Adviser Public Disclosure (IAPD) website at https://www.adviserinfo.sec.gov. In the alternative, you may search the IAPD website directly or contact your state securities regulator at http://www.finra.org/Investors/ToolsCalculators/BrokerCheck/P455414.
- **Are there other resources I can use to check the background of investment professionals?**
- FINRA recommends that you learn as much as possible about an investment professional before deciding to work with them. Your state securities regulator can help you research brokers and investment adviser representatives doing business in your state.

Thank you for using FINRA BrokerCheck.

For additional information about the contents of this report, please refer to the User Guidance or www.finra.org/brokercheck. It provides a glossary of terms and a list of frequently asked questions, as well as additional resources. For more information about FINRA, visit www.finra.org.

AMERICAN ALTERNATIVE CAPITAL, LLC

CRD# 309956

SEC# 8-70651

Main Office Location

3909 RESEARCH PARK DRIVE
SUITE 250
ANN ARBOR, MI 48108
Regulated by FINRA Chicago Office

Mailing Address

3909 RESEARCH PARK DRIVE
SUITE 250
ANN ARBOR, MI 48108

Business Telephone Number

248-428-8002

Report Summary for this Firm

This report summary provides an overview of the brokerage firm. Additional information for this firm can be found in the detailed report.

Firm Profile

This firm is classified as a limited liability company.

This firm was formed in Colorado on 07/06/2020.

Its fiscal year ends in December.

Firm History

Information relating to the brokerage firm's history such as other business names and successions (e.g., mergers, acquisitions) can be found in the detailed report.

Firm Operations

This firm is registered with:

- the SEC
- 1 Self-Regulatory Organization
- 53 U.S. states and territories

Is this brokerage firm currently suspended with any regulator? **No**

This firm conducts 2 types of businesses.

This firm is not affiliated with any financial or investment institutions.

This firm has referral or financial arrangements with other brokers or dealers.

Disclosure Events

Brokerage firms are required to disclose certain criminal matters, regulatory actions, civil judicial proceedings and financial matters in which the firm or one of its control affiliates has been involved.

Are there events disclosed about this firm? **No**

Firm Profile

This firm is classified as a limited liability company.

This firm was formed in Colorado on 07/06/2020.

Its fiscal year ends in December.

Firm Names and Locations

This section provides the brokerage firm's full legal name, "Doing Business As" name, business and mailing addresses, telephone number, and any alternate name by which the firm conducts business and where such name is used.

AMERICAN ALTERNATIVE CAPITAL, LLC

Doing business as AMERICAN ALTERNATIVE CAPITAL, LLC

CRD# 309956

SEC# 8-70651

Main Office Location

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SUITE 250
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Business Telephone Number

248-428-8002

Other Names of this Firm

Name	Where is it used
AMERICAN ALTERNATIVE CAPITAL, LLC	CO, CO, MI, MI

Firm Profile

This section provides information relating to all direct owners and executive officers of the brokerage firm.

Direct Owners and Executive Officers

Legal Name & CRD# (if any):	CARPE DIEM I, LLC
Is this a domestic or foreign entity or an individual?	Domestic Entity
Position	MEMBER
Position Start Date	05/2023
Percentage of Ownership	75% or more
Does this owner direct the management or policies of the firm?	Yes
Is this a public reporting company?	No

Legal Name & CRD# (if any):	CONCORDE INVESTMENT PARTNERS, LLC
Is this a domestic or foreign entity or an individual?	Domestic Entity
Position	OWNER/MANAGING MEMBER
Position Start Date	07/2022
Percentage of Ownership	10% but less than 25%
Does this owner direct the management or policies of the firm?	No
Is this a public reporting company?	No

Legal Name & CRD# (if any):	DELUNA, LORI MARIE 5087442
Is this a domestic or foreign entity or an individual?	Individual
Position	CHIEF COMPLIANCE OFFICER
Position Start Date	01/2024
Percentage of Ownership	Less than 5%

Firm Profile

Direct Owners and Executive Officers (continued)

Does this owner direct the management or policies of the firm?	Yes
Is this a public reporting company?	No

Legal Name & CRD# (if any):	KAMMEYER, JOSHUA JAMES 5745175
Is this a domestic or foreign entity or an individual?	Individual
Position	PRINCIPAL OPERATIONS OFFICER
Position Start Date	07/2023
Percentage of Ownership	Less than 5%
Does this owner direct the management or policies of the firm?	Yes
Is this a public reporting company?	No

Legal Name & CRD# (if any):	KAVANAUGH, JASON PATRICK 2693303
Is this a domestic or foreign entity or an individual?	Individual
Position	PRESIDENT, DESIGNATED PRINCIPAL
Position Start Date	07/2022
Percentage of Ownership	Less than 5%
Does this owner direct the management or policies of the firm?	Yes
Is this a public reporting company?	No

Firm Profile

This section provides information relating to any indirect owners of the brokerage firm.

Indirect Owners

Legal Name & CRD# (if any):	ESTATE OF TED ROLLINS
Is this a domestic or foreign entity or an individual?	Domestic Entity
Company through which indirect ownership is established	TXG, LLC
Relationship to Direct Owner	OWNER OF TXG, LLC
Relationship Established	08/2021
Percentage of Ownership	75% or more
Does this owner direct the management or policies of the firm?	No
Is this a public reporting company?	No

Legal Name & CRD# (if any):	KAVANAUGH, JASON PATRICK 2693303
Is this a domestic or foreign entity or an individual?	Individual
Company through which indirect ownership is established	CARPE DIEM, I
Relationship to Direct Owner	OWNER OF CARPE DIEM I, LLC
Relationship Established	05/2023
Percentage of Ownership	75% or more
Does this owner direct the management or policies of the firm?	Yes
Is this a public reporting company?	No

Legal Name & CRD# (if any):	ROLLINS, MICHAEL METRINKO 5456076

Firm Profile

Indirect Owners (continued)

Is this a domestic or foreign entity or an individual?	Individual
Company through which indirect ownership is established	METRINKO, LLC
Relationship to Direct Owner	OWNER OF METRINKO, LLC
Relationship Established	07/2015
Percentage of Ownership	75% or more
Does this owner direct the management or policies of the firm?	Yes
Is this a public reporting company?	No

Legal Name & CRD# (if any):	BRIMSTONE TRUST 2
Is this a domestic or foreign entity or an individual?	Domestic Entity
Company through which indirect ownership is established	CONCORDE INVESTMENT PARTNERS, LLC
Relationship to Direct Owner	OWNER
Relationship Established	03/2021
Percentage of Ownership	50% but less than 75%
Does this owner direct the management or policies of the firm?	No
Is this a public reporting company?	No

Legal Name & CRD# (if any):	METRINKO, LLC
Is this a domestic or foreign entity or an individual?	Domestic Entity
Company through which indirect ownership is established	VALEO ADVISORS, LLC

Indirect Owners (continued)

Relationship to Direct Owner	MEMBER
Relationship Established	08/2021
Percentage of Ownership	50% but less than 75%
Does this owner direct the management or policies of the firm?	No
Is this a public reporting company?	No

Legal Name & CRD# (if any):	TXG, LLC
Is this a domestic or foreign entity or an individual?	Domestic Entity
Company through which indirect ownership is established	VALEO ADVISORS, LLC
Relationship to Direct Owner	MEMBER
Relationship Established	03/2018
Percentage of Ownership	50% but less than 75%
Does this owner direct the management or policies of the firm?	No
Is this a public reporting company?	No

Legal Name & CRD# (if any):	VALEO ADVISORS, LLC
Is this a domestic or foreign entity or an individual?	Domestic Entity
Company through which indirect ownership is established	CONCORDE INVESTMENT PARTNERS, LLC
Relationship to Direct Owner	MEMBER
Relationship Established	02/2020
Percentage of Ownership	25% but less than 50%
Does this owner direct the management or policies of	No

Firm Profile

Indirect Owners (continued)

the firm?	
Is this a public reporting company?	No

Legal Name & CRD# (if any):	ROLLINS, HOLLY
	7602529
Is this a domestic or foreign entity or an individual?	Individual
Company through which indirect ownership is established	ESTATE OF TED ROLLINS
Relationship to Direct Owner	PERSONAL REPRESENTATIVE OF THE ESTATE OF TED ROLLINS
Relationship Established	08/2021
Percentage of Ownership	Other General Partners
Does this owner direct the management or policies of the firm?	No
Is this a public reporting company?	

Legal Name & CRD# (if any):	ROLLINS, MICHAEL METRINKO
	5456076
Is this a domestic or foreign entity or an individual?	Individual
Company through which indirect ownership is established	BRIMSTONE TRUST 2
Relationship to Direct Owner	TRUSTEE OF BRIMSTONE TRUST 2
Relationship Established	05/2014
Percentage of Ownership	Other General Partners
Does this owner direct the management or policies of the firm?	Yes
Is this a public reporting company?	No

Indirect Owners (continued)

Legal Name & CRD# (if any):	ROLLINS, MICHELE METRINKO 7529572
Is this a domestic or foreign entity or an individual?	Individual
Company through which indirect ownership is established	BRIMSTONE TRUST 2
Relationship to Direct Owner	TRUSTEE OF BRIMSTONE TRUST 2
Relationship Established	05/2014
Percentage of Ownership	Other General Partners
Does this owner direct the management or policies of the firm?	Yes
Is this a public reporting company?	No

Firm History

This section provides information relating to any successions (e.g., mergers, acquisitions) involving the firm.

No information reported.

Firm Operations

Registrations

This section provides information about the regulators (Securities and Exchange Commission (SEC), self-regulatory organizations (SROs), and U.S. states and territories) with which the brokerage firm is currently registered and licensed, the date the license became effective, and certain information about the firm's SEC registration.

This firm is currently registered with the SEC, 1 SRO and 53 U.S. states and territories.

Federal Regulator	Status	Date Effective
SEC	Approved	09/08/2021

SEC Registration Questions

This firm is registered with the SEC as:

A broker-dealer: Yes

A broker-dealer and government securities broker or dealer: No

A government securities broker or dealer only: No

This firm has ceased activity as a government securities broker or dealer: No

Self-Regulatory Organization	Status	Date Effective
FINRA	Approved	09/08/2021

Firm Operations

Registrations (continued)

U.S. States & Territories	Status	Date Effective
Alabama	Approved	08/29/2022
Alaska	Approved	07/14/2022
Arizona	Approved	08/19/2022
Arkansas	Approved	08/30/2022
California	Approved	10/18/2021
Colorado	Approved	09/14/2022
Connecticut	Approved	09/26/2022
Delaware	Approved	07/22/2022
District of Columbia	Approved	10/07/2022
Florida	Approved	10/20/2022
Georgia	Approved	09/26/2022
Hawaii	Approved	10/14/2022
Idaho	Approved	07/25/2022
Illinois	Approved	10/21/2022
Indiana	Approved	09/30/2022
Iowa	Approved	07/14/2022
Kansas	Approved	08/01/2022
Kentucky	Approved	07/25/2022
Louisiana	Approved	07/26/2022
Maine	Approved	09/29/2022
Maryland	Approved	08/25/2022
Massachusetts	Approved	10/11/2022
Michigan	Approved	07/21/2022
Minnesota	Approved	09/29/2022
Mississippi	Approved	07/21/2022
Missouri	Approved	11/02/2022
Montana	Approved	08/11/2022
Nebraska	Approved	08/12/2022
Nevada	Approved	08/23/2022
New Hampshire	Approved	12/19/2022
New Jersey	Approved	08/18/2022
New Mexico	Approved	10/28/2022
New York	Approved	03/20/2022

U.S. States & Territories	Status	Date Effective
North Carolina	Approved	08/04/2022
North Dakota	Approved	09/02/2022
Ohio	Approved	08/04/2022
Oklahoma	Approved	07/26/2022
Oregon	Approved	08/15/2022
Pennsylvania	Approved	08/03/2022
Puerto Rico	Approved	03/04/2024
Rhode Island	Approved	07/14/2022
South Carolina	Approved	09/02/2022
South Dakota	Approved	08/02/2022
Tennessee	Approved	08/22/2022
Texas	Approved	09/14/2022
Utah	Approved	07/27/2022
Vermont	Approved	09/06/2022
Virgin Islands	Approved	10/12/2023
Virginia	Approved	09/23/2022
Washington	Approved	03/18/2022
West Virginia	Approved	08/18/2022
Wisconsin	Approved	09/06/2022
Wyoming	Approved	09/26/2022

Firm Operations

Types of Business

This section provides the types of business, including non-securities business, the brokerage firm is engaged in or expects to be engaged in.

This firm currently conducts 2 types of businesses.

Types of Business

Private placements of securities
Other - THE FIRM WILL OPERATE AS A WHOLESALER/DISTRIBUTOR BROKER DEALER ASSISTING OTHER FINRA MEMBER BROKER DEALERS AND REGISTERED INVESTMENT ADVISORS IN THE DISTRIBUTION OF PRIVATE PLACEMENTS.

Other Types of Business

This firm does not effect transactions in commodities, commodity futures, or commodity options.
This firm does not engage in other non-securities business.

Non-Securities Business Description:

Firm Operations

Clearing Arrangements

This firm does not hold or maintain funds or securities or provide clearing services for other broker-dealer(s).

Introducing Arrangements

This firm does refer or introduce customers to other brokers and dealers.

Name:	REALTA EQUITIES, INC.
CRD #:	23769
Business Address:	12636 HIGH BLUFF DRIVE SUITE 300 SAN DIEGO, CA 92130
Effective Date:	08/20/2024
Description:	REFERRAL FEE AGREEMENT.

Name:	CONCORDE INVESTMENT SERVICES, LLC
CRD #:	151604
Business Address:	19500 VICTOR PARKWAY SUITE 550 LIVONIA, MI 48152
Effective Date:	02/03/2023
Description:	REFERRAL FEE AGREEMENT

Firm Operations

Industry Arrangements

This firm does have books or records maintained by a third party.

Name:	VENTURE.CO HOLDINGS, INC.
Business Address:	171 BATTERY STREET BURLINGTON, VT 05401
Effective Date:	03/31/2024
Description:	BOOKS & RECORDS RETENTION PROVIDER VENTURE.CO BROKERAGE SERVICES LLC TERMED 3/31/2024 AS AN MBD, BUT AAC WILL CONTINUE TO USE RECORD RETENTION SERVICES UNDER VENTURE.CO HOLDINGS, INC.

Name:	GLOBAL RELAY
Business Address:	121 W. WACKER 3RD FLOOR CHICAGO, IL 60601
Effective Date:	07/07/2022
Description:	EMAIL RETENTION PROVIDER

This firm does not have accounts, funds, or securities maintained by a third party.

This firm does not have customer accounts, funds, or securities maintained by a third party.

Control Persons/Financing

This firm does not have individuals who control its management or policies through agreement.

This firm does not have individuals who wholly or partly finance the firm's business.

Firm Operations

Organization Affiliates

This section provides information on control relationships the firm has with other firms in the securities, investment advisory, or banking business.

This firm is not, directly or indirectly:

- **in control of**
- **controlled by**
- **or under common control with**

the following partnerships, corporations, or other organizations engaged in the securities or investment advisory business.

This firm is not directly or indirectly, controlled by the following:

- **bank holding company**
- **national bank**
- **state member bank of the Federal Reserve System**
- **state non-member bank**
- **savings bank or association**
- **credit union**
- **or foreign bank**

End of Report

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