

BrokerCheck Report

MAVERICK DERIVATIVES

CRD# 310544

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When communicating online or investing with any professional, make sure you know who you're dealing with. [Imposters](#) might link to sites like BrokerCheck from [phishing](#) or similar scam websites, or through [social media](#), trying to steal your personal information or your money.

Please contact FINRA with any concerns.

About BrokerCheck®



BrokerCheck offers information on all current, and many former, registered securities brokers, and all current and former registered securities firms. FINRA strongly encourages investors to use BrokerCheck to check the background of securities brokers and brokerage firms before deciding to conduct, or continue to conduct, business with them.

- **What is included in a BrokerCheck report?**

- BrokerCheck reports for individual brokers include information such as employment history, professional qualifications, disciplinary actions, criminal convictions, civil judgments and arbitration awards. BrokerCheck reports for brokerage firms include information on a firm's profile, history, and operations, as well as many of the same disclosure events mentioned above.

- Please note that the information contained in a BrokerCheck report may include pending actions or allegations that may be contested, unresolved or unproven. In the end, these actions or allegations may be resolved in favor of the broker or brokerage firm, or concluded through a negotiated settlement with no admission or finding of wrongdoing.

- **Where did this information come from?**

- The information contained in BrokerCheck comes from FINRA's Central Registration Depository, or CRD® and is a combination of:
 - information FINRA and/or the Securities and Exchange Commission (SEC) require brokers and brokerage firms to submit as part of the registration and licensing process, and
 - information that regulators report regarding disciplinary actions or allegations against firms or brokers.

- **How current is this information?**

- Generally, active brokerage firms and brokers are required to update their professional and disciplinary information in CRD within 30 days. Under most circumstances, information reported by brokerage firms, brokers and regulators is available in BrokerCheck the next business day.

- **What if I want to check the background of an investment adviser firm or investment adviser representative?**

- To check the background of an investment adviser firm or representative, you can search for the firm or individual in BrokerCheck. If your search is successful, click on the link provided to view the available licensing and registration information in the SEC's Investment Adviser Public Disclosure (IAPD) website at <https://www.adviserinfo.sec.gov>. In the alternative, you may search the IAPD website directly or contact your state securities regulator at <http://www.finra.org/Investors/ToolsCalculators/BrokerCheck/P455414>.

- **Are there other resources I can use to check the background of investment professionals?**

- FINRA recommends that you learn as much as possible about an investment professional before deciding to work with them. Your state securities regulator can help you research brokers and investment adviser representatives doing business in your state.

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Thank you for using FINRA BrokerCheck.



Using this site/information means that you accept the FINRA BrokerCheck Terms and Conditions. A complete list of Terms and Conditions can be found at brokercheck.finra.org



For additional information about the contents of this report, please refer to the User Guidance or www.finra.org/brokercheck. It provides a glossary of terms and a list of frequently asked questions, as well as additional resources. [For more information about FINRA, visit www.finra.org.](http://www.finra.org)

**MAVERICK DERIVATIVES**

CRD# 310544

SEC# 8-70645

Main Office Location

WORLD TRADE CENTER
STRAWINSKYLAAN 1941
AMSTERDAM, NETHERLANDS 1077XX
Regulated by FINRA New York Office

Mailing Address

WORLD TRADE CENTER
STRAWINSKYLAAN 1941
AMSTERDAM, NETHERLANDS 1077XX

Business Telephone Number

31 20 3031292

Report Summary for this Firm

This report summary provides an overview of the brokerage firm. Additional information for this firm can be found in the detailed report.

Firm Profile

This firm is classified as a other types of legal formation.

Its fiscal year ends in June.

Firm History

Information relating to the brokerage firm's history such as other business names and successions (e.g., mergers, acquisitions) can be found in the detailed report.

Firm Operations

This firm is registered with:

- the SEC
- 2 Self-Regulatory Organizations
- 0 U.S. states and territories

Is this brokerage firm currently suspended with any regulator? **No**

This firm conducts 2 types of businesses.

This firm is affiliated with financial or investment institutions.

This firm does not have referral or financial arrangements with other brokers or dealers.

Disclosure Events

Brokerage firms are required to disclose certain criminal matters, regulatory actions, civil judicial proceedings and financial matters in which the firm or one of its control affiliates has been involved.

Are there events disclosed about this firm? **No**



Firm Profile

This firm is classified as a other types of legal formation.

Its fiscal year ends in June.

Firm Names and Locations

This section provides the brokerage firm's full legal name, "Doing Business As" name, business and mailing addresses, telephone number, and any alternate name by which the firm conducts business and where such name is used.

MD VANILLA B.V.

Doing business as MAVERICK DERIVATIVES

CRD# 310544

SEC# 8-70645

Main Office Location

WORLD TRADE CENTER
STRAWINSKYLAAN 1941
AMSTERDAM, NETHERLANDS 1077XX

Regulated by FINRA New York Office

Mailing Address

WORLD TRADE CENTER
STRAWINSKYLAAN 1941
AMSTERDAM, NETHERLANDS 1077XX

Business Telephone Number

31 20 3031292



Firm Profile

This section provides information relating to all direct owners and executive officers of the brokerage firm.

Direct Owners and Executive Officers

Legal Name & CRD# (if any):	MAVERICK DERIVATIVES COÖPERATIEF U.A.
Is this a domestic or foreign entity or an individual?	Foreign Entity
Position	OWNER
Position Start Date	02/2021
Percentage of Ownership	75% or more
Does this owner direct the management or policies of the firm?	Yes
Is this a public reporting company?	No

Legal Name & CRD# (if any):	HUIBERS, REMKO B.H. 7411657
Is this a domestic or foreign entity or an individual?	Individual
Position	CHIEF COMPLIANCE OFFICER
Position Start Date	04/2022
Percentage of Ownership	Less than 5%
Does this owner direct the management or policies of the firm?	Yes
Is this a public reporting company?	No

Legal Name & CRD# (if any):	HUIBERS, REMKO B.H. 7411657
Is this a domestic or foreign entity or an individual?	Individual
Position	PRINCIPAL
Position Start Date	06/2022

Firm Profile



Direct Owners and Executive Officers (continued)

Percentage of Ownership Less than 5%

Does this owner direct the management or policies of the firm? Yes

Is this a public reporting company? No

Legal Name & CRD# (if any): SLOAN NOWAK, JACQUELINE LEE
2717305

Is this a domestic or foreign entity or an individual? Individual

Position FINOP

Position Start Date 07/2021

Percentage of Ownership Less than 5%

Does this owner direct the management or policies of the firm? No

Is this a public reporting company? No

Firm Profile

This section provides information relating to any indirect owners of the brokerage firm.



Indirect Owners

Legal Name & CRD# (if any):	GRINIV, VLADIMIR 7323703
Is this a domestic or foreign entity or an individual?	Individual
Company through which indirect ownership is established	MAVERICK DERIVATIVES COÖPERATIEF U.A.
Relationship to Direct Owner	DIRECTOR
Relationship Established	05/2017
Percentage of Ownership	50% but less than 75%
Does this owner direct the management or policies of the firm?	Yes
Is this a public reporting company?	No

Firm History

This section provides information relating to any successions (e.g., mergers, acquisitions) involving the firm.



This firm was previously:	MAVERICK DERIVATIVES B.V.
Date of Succession:	02/11/2021
Predecessor CRD#:	310544
Description	THIS SUCCESSION IS OF A TECHNICAL NATURE ONLY; FOR VARIOUS STRUCTURAL REASONS IN THE NETHERLANDS, THE ORIGINAL APPLICANT MAVERICK DERIVATIVES B.V. CREATED A WHOLY-OWNED SUBSIDIARY MD VANILLA B.V. TO BE ITS BROKER-DEALER IN THE U.S. THE U.S. BUSINESS FOR NEITHER ENTITY COMMENCED (SRO MEMBERSHIP IS STILL BEING SOUGHT) SO THERE AS NO ASSETS OR LIABILITIES TO TRANSFER. SRO MEMBERSHIP WILL BE SOUGHT NOW FOR MD VANILLA B.V. WITH THE CBOE.



Firm Operations

Registrations

This section provides information about the regulators (Securities and Exchange Commission (SEC), self-regulatory organizations (SROs), and U.S. states and territories) with which the brokerage firm is currently registered and licensed, the date the license became effective, and certain information about the firm's SEC registration.

This firm is currently registered with the SEC, 2 SROs and 0 U.S. states and territories.

Federal Regulator	Status	Date Effective
SEC	Approved	09/01/2022

SEC Registration Questions

This firm is registered with the SEC as:

A broker-dealer: Yes

A broker-dealer and government securities broker or dealer: No

A government securities broker or dealer only: No

This firm has ceased activity as a government securities broker or dealer: No

Self-Regulatory Organization	Status	Date Effective
FINRA	Approved	05/30/2024
Cboe Exchange, Inc.	Approved	09/01/2022

Firm Operations

Registrations (continued)



Firm Operations



Types of Business

This section provides the types of business, including non-securities business, the brokerage firm is engaged in or expects to be engaged in.

This firm currently conducts 2 types of businesses.

Types of Business

Put and call broker or dealer or option writer

Trading securities for own account

Other Types of Business

This firm does effect transactions in commodities, commodity futures, or commodity options.

This firm does not engage in other non-securities business.

Non-Securities Business Description:

Firm Operations



Clearing Arrangements

This firm does not hold or maintain funds or securities or provide clearing services for other broker-dealer(s).

Introducing Arrangements

This firm does not refer or introduce customers to other brokers and dealers.

Firm Operations

Industry Arrangements



This firm does have books or records maintained by a third party.

Name: ABN AMRO CLEARING CHICAGO LLC

CRD #: 14020

Business Address: 175 W. JACKSON
SUITE 2050
CHICAGO, IL 60604

Effective Date: 08/31/2022

Description: ABN AMRO CLEARING CHICAGO LLC PROVIDES CLEARING SERVICES FOR MAVERICK DERIVATIVES AND MAINTAINS SOME BOOKS & RECORDS, SECURITIES AND FUNDS FOR THE FIRM.

Name: GLOBAL RELAY COMMUNICATIONS INC.

Business Address: 121 W. WACKER
33RD FLOOR
CHICAGO, IL 60601

Effective Date: 09/14/2022

Description: GLOBAL RELAY COMMUNICATIONS, INC. ARCHIVES AND MAINTAINS ELECTRONIC COMMUNICATION RECORDS OF THE FIRM.

This firm does have accounts, funds, or securities maintained by a third party.

Name: ABN AMRO CLEARING CHICAGO LLC

CRD #: 14020

Business Address: 175 W. JACKSON
SUITE 2050
CHICAGO, IL 60604

Effective Date: 08/31/2022

Description: ABN AMRO CLEARING CHICAGO LLC PROVIDES CLEARING SERVICES FOR MAVERICK DERIVATIVES AND MAINTAINS SOME BOOKS & RECORDS, SECURITIES AND FUNDS FOR THE FIRM.

This firm does not have customer accounts, funds, or securities maintained by a third party.

Control Persons/Financing

This firm does not have individuals who control its management or policies through agreement.

This firm does not have individuals who wholly or partly finance the firm's business.

Firm Operations

Industry Arrangements (continued)





Firm Operations

Organization Affiliates

This section provides information on control relationships the firm has with other firms in the securities, investment advisory, or banking business.

This firm is, directly or indirectly:

- in control of
 - controlled by
 - or under common control with
- the following partnerships, corporations, or other organizations engaged in the securities or investment advisory business.

MAVERICK DERIVATIVES COÖPERATIEF U.A. controls the firm.

Business Address:	WORLD TRADE CENTER STRAWINSKYLAAN 1941 AMSTERDAM, NORTH HOLLAND, NETHERLANDS 1077XX
Effective Date:	02/11/2021
Foreign Entity:	Yes
Country:	NETHERLANDS
Securities Activities:	Yes
Investment Advisory Activities:	No
Description:	MAVERICK DERIVATIVES B.V. WAS THE ORIGINAL ENTITY NAMED ON THIS FORM BD, AND IT HAS CREATED A WHOLLY-OWNED SUBSIDIARY TO OPERATE AS THE U.S. BROKER-DEALER, MD VANILLA B.V. (THE APPLICANT). THIS AMENDMENT IS A NAME CHANGE AND LEGAL FORM CHANGE FOR MAVERICK DERIVATIVES B.V. TO MAVERICK DERIVATIVES COÖPERATIEF U.A.
	MAVERICK DERIVATIVES COÖPERATIEF U.A. TRADES US SECURITIES

This firm is not directly or indirectly, controlled by the following:

- bank holding company
- national bank
- state member bank of the Federal Reserve System
- state non-member bank
- savings bank or association
- credit union
- or foreign bank

End of Report



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