

## **BrokerCheck Report**

## **EDEN GLOBAL CAPITAL PARTNERS LLC**

CRD# 313169

Section Title	Page(s)
Report Summary	1
Firm Profile	2 - 8
Firm History	9
Firm Operations	10 - 15



When communicating online or investing with any professional, make sure you know who you're dealing with. <u>Imposters</u> might link to sites like BrokerCheck from <u>phishing</u> or similar scam websites, or through <u>social media</u>, trying to steal your personal information or your money.

Please contact FINRA with any concerns.

#### About BrokerCheck®



BrokerCheck offers information on all current, and many former, registered securities brokers, and all current and former registered securities firms. FINRA strongly encourages investors to use BrokerCheck to check the background of securities brokers and brokerage firms before deciding to conduct, or continue to conduct, business with them.

#### What is included in a BrokerCheck report?

- BrokerCheck reports for individual brokers include information such as employment history, professional qualifications, disciplinary actions, criminal convictions, civil judgments and arbitration awards. BrokerCheck reports for brokerage firms include information on a firm's profile, history, and operations, as well as many of the same disclosure events mentioned above.
- Please note that the information contained in a BrokerCheck report may include pending actions or allegations that may be contested, unresolved or unproven. In the end, these actions or allegations may be resolved in favor of the broker or brokerage firm, or concluded through a negotiated settlement with no admission or finding of wrongdoing.

#### • Where did this information come from?

- The information contained in BrokerCheck comes from FINRA's Central Registration Depository, or CRD® and is a combination of:
  - information FINRA and/or the Securities and Exchange Commission (SEC) require brokers and brokerage firms to submit as part of the registration and licensing process, and
  - o information that regulators report regarding disciplinary actions or allegations against firms or brokers.

#### How current is this information?

- Generally, active brokerage firms and brokers are required to update their professional and disciplinary information in CRD within 30 days. Under most circumstances, information reported by brokerage firms, brokers and regulators is available in BrokerCheck the next business day.
- What if I want to check the background of an investment adviser firm or investment adviser representative?
- To check the background of an investment adviser firm or representative, you can search for the firm or individual in BrokerCheck. If your search is successful, click on the link provided to view the available licensing and registration information in the SEC's Investment Adviser Public Disclosure (IAPD) website at https://www.adviserinfo.sec.gov. In the alternative, you may search the IAPD website directly or contact your state securities regulator at http://www.finra.org/Investors/ToolsCalculators/BrokerCheck/P455414.
- Are there other resources I can use to check the background of investment professionals?
- FINRA recommends that you learn as much as possible about an investment professional before
  deciding to work with them. Your state securities regulator can help you research brokers and investment adviser
  representatives doing business in your state.

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For additional information about the contents of this report, please refer to the User Guidance or www.finra.org/brokercheck. It provides a glossary of terms and a list of frequently asked questions, as well as additional resources. For more information about FINRA, visit www.finra.org.

www.finra.org/brokercheck User Guidance

# EDEN GLOBAL CAPITAL PARTNERS LLC

CRD# 313169

SEC# 8-70671

#### **Main Office Location**

445 PARK AVENUE 5TH FLOOR NEW YORK, NY 10022 Regulated by FINRA New York Office

#### **Mailing Address**

445 PARK AVENUE 5TH FLOOR NEW YORK, NY 10022

#### **Business Telephone Number**

212-466-6790

#### **Report Summary for this Firm**



This report summary provides an overview of the brokerage firm. Additional information for this firm can be found in the detailed report.

#### Firm Profile

This firm is classified as a limited liability company. This firm was formed in Delaware on 02/10/2021. Its fiscal year ends in December.

#### **Firm History**

Information relating to the brokerage firm's history such as other business names and successions (e.g., mergers, acquisitions) can be found in the detailed report.

#### **Firm Operations**

#### This firm is registered with:

- the SEC
- 1 Self-Regulatory Organization
- 51 U.S. states and territories

Is this brokerage firm currently suspended with any regulator? **No** 

This firm conducts 3 types of businesses.

This firm is affiliated with financial or investment institutions.

This firm does not have referral or financial arrangements with other brokers or dealers.

#### **Disclosure Events**

Brokerage firms are required to disclose certain criminal matters, regulatory actions, civil judicial proceedings and financial matters in which the firm or one of its control affiliates has been involved.

Are there events disclosed about this firm?

No

This firm is classified as a limited liability company.

This firm was formed in Delaware on 02/10/2021.

Its fiscal year ends in December.

#### **Firm Names and Locations**

This section provides the brokerage firm's full legal name, "Doing Business As" name, business and mailing addresses, telephone number, and any alternate name by which the firm conducts business and where such name is used.

#### **EDEN GLOBAL CAPITAL PARTNERS LLC**

Doing business as EDEN GLOBAL CAPITAL PARTNERS LLC

**CRD#** 313169

**SEC#** 8-70671

#### **Main Office Location**

445 PARK AVENUE 5TH FLOOR NEW YORK, NY 10022

Regulated by FINRA New York Office

#### **Mailing Address**

445 PARK AVENUE 5TH FLOOR NEW YORK, NY 10022

#### **Business Telephone Number**

212-466-6790



This section provides information relating to all direct owners and executive officers of the brokerage firm.



#### **Direct Owners and Executive Officers**

Legal Name & CRD# (if any): **EDEN GLOBAL PARTNERS LLC** 

Is this a domestic or foreign entity or an individual?

**Domestic Entity** 

**Position MEMBER** 

**Position Start Date** 02/2021

**Percentage of Ownership** 75% or more

Does this owner direct the management or policies of the firm?

Yes

Is this a public reporting company?

No

Legal Name & CRD# (if any):

DWEK, DAVID M.

4715397

Is this a domestic or foreign entity or an individual?

Individual

CHIEF EXECUTIVE OFFICER

**Position Start Date** 

02/2021

**Percentage of Ownership** 

Less than 5%

Does this owner direct the management or policies of the firm?

Yes

Is this a public reporting

No

company?

**Position** 

Legal Name & CRD# (if any): KOPEL, REUBEN

4838321

Is this a domestic or foreign entity or an individual?

Individual

**Position** MANAGING DIRECTOR

**Position Start Date** 02/2021

## User Guidance



**Percentage of Ownership** 

Less than 5%

Does this owner direct the management or policies of Yes

the firm?

Is this a public reporting

company?

No

Legal Name & CRD# (if any):

MEADE, KEVIN C

5501434

Is this a domestic or foreign entity or an individual?

Individual

**Position** 

CHIEF COMPLIANCE OFFICER

**Position Start Date** 

06/2025

**Percentage of Ownership** 

Less than 5%

Does this owner direct the

management or policies of

the firm?

Yes

Is this a public reporting

company?

No

Legal Name & CRD# (if any):

STAMOVA, ILINA S

5500851

Is this a domestic or foreign entity or an individual?

Individual

**Position** 

**FINOP** 

**Position Start Date** 

02/2021

**Percentage of Ownership** 

Less than 5%

Does this owner direct the management or policies of

the firm?

No

Is this a public reporting

company?

No

This section provides information relating to any indirect owners of the brokerage firm.



#### **Indirect Owners**

Legal Name & CRD# (if any): DWEK 2020 TRUST

Is this a domestic or foreign entity or an individual?

**Domestic Entity** 

Company through which indirect ownership is established

JARAD LLC

Relationship to Direct Owner

MEMBER

Relationship Established

11/2020

Percentage of Ownership

75% or more

Does this owner direct the management or policies of the firm?

Yes

Is this a public reporting company?

No

Legal Name & CRD# (if any):

GENERATIONAL INVESTMENT VENTURES, LLC

Is this a domestic or foreign entity or an individual?

**Domestic Entity** 

Company through which indirect ownership is established

**EDEN GLOBAL PARTNERS LLC** 

**Relationship to Direct Owner** 

MEMBER

**Relationship Established** 

02/2021

**Percentage of Ownership** 

50% but less than 75%

Does this owner direct the management or policies of the firm?

Yes

Is this a public reporting company?

No

Legal Name & CRD# (if any):

JARAD LLC

Is this a domestic or foreign entity or an individual?

**Domestic Entity** 

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**Indirect Owners (continued)** 

Company through which indirect ownership is

EDEN GLOBAL PARTNERS LLC

established

Relationship to Direct Owner MEMBER

Relationship Established 01/2021

**Percentage of Ownership** 

50% but less than 75%

Does this owner direct the management or policies of the firm?

Yes

Is this a public reporting

company?

No

Legal Name & CRD# (if any):

DWEK, DAVID M.

4715397

Is this a domestic or foreign entity or an individual?

Individual

Company through which indirect ownership is established

JARAD LLC

**Relationship to Direct Owner** 

MANAGER

**Relationship Established** 

11/2020

**Percentage of Ownership** 

Other General Partners

Does this owner direct the management or policies of the firm?

Yes

Is this a public reporting company?

No

. .

DWEK, DAVID M.

4715397

Is this a domestic or foreign entity or an individual?

Legal Name & CRD# (if any):

Individual

Company through which indirect ownership is established

**DWEK 2020 TRUST** 



#### User Guidance

#### Firm Profile

#### **Indirect Owners (continued)**

Relationship to Direct Owner TRUSTEE

Relationship Established 11/2020

Percentage of Ownership Other General Partners

Does this owner direct the management or policies of the firm?

Yes

Is this a public reporting

company?

No

Legal Name & CRD# (if any): DWEK, PERAH

Is this a domestic or foreign entity or an individual?

Individual

Company through which indirect ownership is established

**DWEK 2020 TRUST** 

**Relationship to Direct Owner** 

TRUSTEE

**Relationship Established** 

11/2020

**Percentage of Ownership** 

Other General Partners

Does this owner direct the management or policies of the firm?

Yes

Is this a public reporting

company?

No

Legal Name & CRD# (if any):

NASSER, YOSEPH

Is this a domestic or foreign entity or an individual?

Individual

Company through which indirect ownership is established

**DWEK 2020 TRUST** 

**Relationship to Direct Owner** 

TRUSTEE

**Relationship Established** 

11/2020

**Percentage of Ownership** 

Other General Partners

Does this owner direct the management or policies of

Yes

**Indirect Owners (continued)** 

the firm?

Is this a public reporting company?

No



## **Firm History**

This section provides information relating to any successions (e.g., mergers, acquisitions) involving the firm.

FINCA

No information reported.



#### Registrations

This section provides information about the regulators (Securities and Exchange Commission (SEC), self-regulatory organizations (SROs), and U.S. states and territories) with which the brokerage firm is currently registered and licensed, the date the license became effective, and certain information about the firm's SEC registration.

This firm is currently registered with the SEC, 1 SRO and 51 U.S. states and territories.

Federal Regulator	Status	Date Effective
SEC	Approved	01/26/2022

#### **SEC Registration Questions**

This firm is registered with the SEC as:

A broker-dealer: Yes

A broker-dealer and government securities broker or dealer: No

A government securities broker or dealer only: No

This firm has ceased activity as a government securities broker or dealer: No

Self-Regulatory Organization	Status	Date Effective
FINRA	Approved	01/26/2022





U.S. States & Territories	Status	Date Effective
Alabama	Approved	03/16/2022
Alaska	Approved	03/16/2022
Arizona	Approved	03/22/2022
Arkansas	Approved	03/23/2022
California	Approved	02/04/2022
Colorado	Approved	02/16/2022
Connecticut	Approved	03/18/2022
Delaware	Approved	04/29/2022
District of Columbia	Approved	04/01/2022
Georgia	Approved	02/09/2022
Hawaii	Approved	03/07/2022
Idaho	Approved	02/08/2022
Illinois	Approved	03/30/2022
Indiana	Approved	02/25/2022
Iowa	Approved	02/04/2022
Kansas	Approved	03/03/2022
Kentucky	Approved	02/10/2022
Louisiana	Approved	03/17/2022
Maine	Approved	02/17/2022
Maryland	Approved	02/18/2022
Massachusetts	Approved	02/16/2022
Michigan	Approved	01/26/2022
Mississippi	Approved	01/19/2022
Missouri	Approved	03/22/2022
Montana	Approved	03/21/2022
Nebraska	Approved	03/09/2022
Nevada	Approved	03/18/2022
New Hampshire	Approved	03/10/2022
New Jersey	Approved	02/17/2022
New Mexico	Approved	03/25/2022
New York	Approved	02/03/2022
North Carolina	Approved	02/09/2022
North Dakota	Approved	03/18/2022

U.S. States & Territories	Status	Date Effective
Ohio	Approved	03/03/2022
Oklahoma	Approved	02/09/2022
Oregon	Approved	03/02/2022
Pennsylvania	Approved	02/17/2022
Puerto Rico	Approved	03/29/2022
Rhode Island	Approved	01/19/2022
South Carolina	Approved	03/17/2022
South Dakota	Approved	01/19/2022
Tennessee	Approved	03/10/2022
Texas	Approved	02/08/2022
Utah	Approved	02/10/2022
Vermont	Approved	03/28/2022
Virgin Islands	Approved	03/29/2022
Virginia	Approved	02/26/2022
Washington	Approved	03/18/2022
West Virginia	Approved	03/01/2022
Wisconsin	Approved	02/07/2022
Wyoming	Approved	03/17/2022

#### **Types of Business**

This section provides the types of business, including non-securities business, the brokerage firm is engaged in or expects to be engaged in.

This firm currently conducts 3 types of businesses.

#### **Types of Business**

Broker or dealer selling tax shelters or limited partnerships in primary distributions

Private placements of securities

Other - M&A ADVISORY

#### **Other Types of Business**

This firm does not effect transactions in commodities, commodity futures, or commodity options.

This firm does not engage in other non-securities business.

Non-Securities Business Description:





#### **Clearing Arrangements**

This firm does not hold or maintain funds or securities or provide clearing services for other broker-dealer(s).

#### **Introducing Arrangements**

This firm does not refer or introduce customers to other brokers and dealers.

#### **Industry Arrangements**



This firm does not have books or records maintained by a third party.

This firm does not have accounts, funds, or securities maintained by a third party.

This firm does not have customer accounts, funds, or securities maintained by a third party.

**Control Persons/Financing** 

This firm does not have individuals who control its management or policies through agreement.

This firm does not have individuals who wholly or partly finance the firm's business.

#### **Organization Affiliates**

This section provides information on control relationships the firm has with other firms in the securities, investment advisory, or banking business.



This firm is, directly or indirectly:

- · in control of
- · controlled by
- · or under common control with

the following partnerships, corporations, or other organizations engaged in the securities or investment advisory business.

EDEN GLOBAL ADVISORS LLC is under common control with the firm.

**CRD #**: 317761

Business Address: 445 PARK AVENUE

13TH FLOOR

NEW YORK, NY 10022

**Effective Date:** 12/17/2021

Foreign Entity: No

Country:

Securities Activities: No

**Investment Advisory** 

**Activities:** 

**Description:**BOTH FIRMS ARE SUBSIDIARIES OF EDEN GLOBAL PARTNERS LLC.

This firm is not directly or indirectly, controlled by the following:

Yes

- · bank holding company
- national bank
- · state member bank of the Federal Reserve System
- state non-member bank
- savings bank or association
- · credit union
- · or foreign bank

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## **End of Report**



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