BrokerCheck Report

AURORA SECURITIES

CRD# 46147

When communicating online or investing with any professional, make sure you know who you're dealing with. Imposters might link to sites like BrokerCheck from phishing or similar scam websites, or through social media, trying to steal your personal information or your money.

Please contact FINRA with any concerns.

About BrokerCheck®

BrokerCheck offers information on all current, and many former, registered securities brokers, and all current and former registered securities firms. FINRA strongly encourages investors to use BrokerCheck to check the background of securities brokers and brokerage firms before deciding to conduct, or continue to conduct, business with them.

- **What is included in a BrokerCheck report?**
- BrokerCheck reports for individual brokers include information such as employment history, professional qualifications, disciplinary actions, criminal convictions, civil judgments and arbitration awards. BrokerCheck reports for brokerage firms include information on a firm's profile, history, and operations, as well as many of the same disclosure events mentioned above.
- Please note that the information contained in a BrokerCheck report may include pending actions or allegations that may be contested, unresolved or unproven. In the end, these actions or allegations may be resolved in favor of the broker or brokerage firm, or concluded through a negotiated settlement with no admission or finding of wrongdoing.
- **Where did this information come from?**
- The information contained in BrokerCheck comes from FINRA's Central Registration Depository, or CRD® and is a combination of:
    - information FINRA and/or the Securities and Exchange Commission (SEC) require brokers and brokerage firms to submit as part of the registration and licensing process, and
    - information that regulators report regarding disciplinary actions or allegations against firms or brokers.
- **How current is this information?**
- Generally, active brokerage firms and brokers are required to update their professional and disciplinary information in CRD within 30 days. Under most circumstances, information reported by brokerage firms, brokers and regulators is available in BrokerCheck the next business day.
- **What if I want to check the background of an investment adviser firm or investment adviser representative?**
- To check the background of an investment adviser firm or representative, you can search for the firm or individual in BrokerCheck. If your search is successful, click on the link provided to view the available licensing and registration information in the SEC's Investment Adviser Public Disclosure (IAPD) website at https://www.adviserinfo.sec.gov. In the alternative, you may search the IAPD website directly or contact your state securities regulator at http://www.finra.org/Investors/ToolsCalculators/BrokerCheck/P455414.
- **Are there other resources I can use to check the background of investment professionals?**
- FINRA recommends that you learn as much as possible about an investment professional before deciding to work with them. Your state securities regulator can help you research brokers and investment adviser representatives doing business in your state.

Thank you for using FINRA BrokerCheck.

Using this site/information means that you accept the FINRA BrokerCheck Terms and Conditions. A complete list of Terms and Conditions can be found at

brokercheck.finra.org

For additional information about the contents of this report, please refer to the User Guidance or www.finra.org/brokercheck. It provides a glossary of terms and a list of frequently asked questions, as well as additional resources. For more information about FINRA, visit www.finra.org.

AURORA SECURITIES

CRD# 46147

SEC# 8-51322

Main Office Location

2565 WEST MAPLE
TROY, MI 48084
Regulated by FINRA Chicago Office

Mailing Address

2565 WEST MAPLE
TROY, MI 48084

Business Telephone Number

888-548-6701

Report Summary for this Firm

This report summary provides an overview of the brokerage firm. Additional information for this firm can be found in the detailed report.

Firm Profile

This firm is classified as a corporation.

This firm was formed in Michigan on 11/22/2017.

Its fiscal year ends in December.

Firm History

Information relating to the brokerage firm's history such as other business names and successions (e.g., mergers, acquisitions) can be found in the detailed report.

Firm Operations

This firm is registered with:

- the SEC
- 1 Self-Regulatory Organization
- 47 U.S. states and territories

Is this brokerage firm currently suspended with any regulator? **No**

This firm conducts 12 types of businesses.

This firm is affiliated with financial or investment institutions.

This firm has referral or financial arrangements with other brokers or dealers.

Disclosure Events

Brokerage firms are required to disclose certain criminal matters, regulatory actions, civil judicial proceedings and financial matters in which the firm or one of its control affiliates has been involved.

Are there events disclosed about this firm? **No**

Firm Profile

This firm is classified as a corporation.

This firm was formed in Michigan on 11/22/2017.

Its fiscal year ends in December.

Firm Names and Locations

This section provides the brokerage firm's full legal name, "Doing Business As" name, business and mailing addresses, telephone number, and any alternate name by which the firm conducts business and where such name is used.

AURORA SECURITIES INC

Doing business as AURORA SECURITIES

CRD# 46147

SEC# 8-51322

Main Office Location

2565 WEST MAPLE
TROY, MI 48084

Regulated by FINRA Chicago Office

Mailing Address

2565 WEST MAPLE
TROY, MI 48084

Business Telephone Number

888-548-6701

Firm Profile

This section provides information relating to all direct owners and executive officers of the brokerage firm.

Direct Owners and Executive Officers

Legal Name & CRD# (if any):	CURTS INVESTMENT, LLC
Is this a domestic or foreign entity or an individual?	Domestic Entity
Position	OWNER
Position Start Date	04/2007
Percentage of Ownership	75% or more
Does this owner direct the management or policies of the firm?	Yes
Is this a public reporting company?	

Legal Name & CRD# (if any):	BONYNGE, JEFFREY REDFIELD 7024213
Is this a domestic or foreign entity or an individual?	Individual
Position	PRESIDENT
Position Start Date	02/2026
Percentage of Ownership	Less than 5%
Does this owner direct the management or policies of the firm?	Yes
Is this a public reporting company?	No

Legal Name & CRD# (if any):	MOORE, PETER ALFRED JR 1979755
Is this a domestic or foreign entity or an individual?	Individual
Position	CHIEF COMPLIANCE OFFICER
Position Start Date	02/2026

Firm Profile

Direct Owners and Executive Officers (continued)

Percentage of Ownership	Less than 5%
Does this owner direct the management or policies of the firm?	Yes
Is this a public reporting company?	No

Legal Name & CRD# (if any):	SCHAPS, MICHAEL ROBERT 1892138
Is this a domestic or foreign entity or an individual?	Individual
Position	FINOP
Position Start Date	04/2025
Percentage of Ownership	Less than 5%
Does this owner direct the management or policies of the firm?	No
Is this a public reporting company?	No

Firm Profile

This section provides information relating to any indirect owners of the brokerage firm.

Indirect Owners

Legal Name & CRD# (if any):	SPENCER, BRYAN ELIOTT 3014420
Is this a domestic or foreign entity or an individual?	Individual
Company through which indirect ownership is established	CURTS INVESTMENT LLC
Relationship to Direct Owner	OWNER
Relationship Established	09/2017
Percentage of Ownership	75% or more
Does this owner direct the management or policies of the firm?	Yes
Is this a public reporting company?	No

Firm History

This section provides information relating to any successions (e.g., mergers, acquisitions) involving the firm.

No information reported.

Firm Operations

Registrations

This section provides information about the regulators (Securities and Exchange Commission (SEC), self-regulatory organizations (SROs), and U.S. states and territories) with which the brokerage firm is currently registered and licensed, the date the license became effective, and certain information about the firm's SEC registration.

This firm is currently registered with the SEC, 1 SRO and 47 U.S. states and territories.

Federal Regulator	Status	Date Effective
SEC	Approved	03/26/1999

SEC Registration Questions

This firm is registered with the SEC as:

A broker-dealer: Yes

A broker-dealer and government securities broker or dealer: Yes

A government securities broker or dealer only: No

This firm has ceased activity as a government securities broker or dealer: No

Self-Regulatory Organization	Status	Date Effective
FINRA	Approved	03/26/1999

Firm Operations

Registrations (continued)

U.S. States & Territories	Status	Date Effective
Alabama	Approved	10/18/2021
Alaska	Approved	03/15/2021
Arizona	Approved	08/06/2018
Arkansas	Approved	08/13/2024
California	Approved	03/24/2021
Colorado	Approved	05/03/2021
Connecticut	Approved	03/09/2021
Delaware	Approved	10/15/2021
District of Columbia	Approved	08/11/2023
Florida	Approved	03/09/2007
Georgia	Approved	07/15/2021
Hawaii	Approved	04/05/2021
Idaho	Approved	04/29/2021
Illinois	Approved	09/02/2021
Indiana	Approved	02/26/2007
Iowa	Approved	02/02/2024
Kansas	Approved	11/07/2022
Kentucky	Approved	12/12/2022
Louisiana	Approved	07/30/2018
Maine	Approved	07/07/2023
Maryland	Approved	08/01/2018
Massachusetts	Approved	04/25/2023
Michigan	Approved	04/19/2017
Minnesota	Approved	09/02/2021
Missouri	Approved	11/10/2021
Montana	Approved	12/21/2021
Nebraska	Approved	03/01/2021
Nevada	Approved	09/22/2021
New Jersey	Approved	03/30/2020
New Mexico	Approved	10/08/2024
New York	Approved	09/26/2021
North Carolina	Approved	07/16/2021
North Dakota	Approved	11/07/2023

U.S. States & Territories	Status	Date Effective
Ohio	Approved	03/03/2021
Oklahoma	Approved	07/19/2021
Oregon	Approved	04/13/2021
Pennsylvania	Approved	01/07/2022
South Carolina	Approved	07/27/2021
South Dakota	Approved	02/02/2024
Tennessee	Approved	08/18/2021
Texas	Approved	08/29/2018
Utah	Approved	03/20/2023
Virginia	Approved	11/09/2021
Washington	Approved	04/09/2021
West Virginia	Approved	08/30/2022
Wisconsin	Approved	08/07/2018
Wyoming	Approved	07/01/2024

Firm Operations

Types of Business

This section provides the types of business, including non-securities business, the brokerage firm is engaged in or expects to be engaged in.

This firm currently conducts 12 types of businesses.

Types of Business

Broker or dealer retailing corporate equity securities over-the-counter
Broker or dealer selling corporate debt securities
Mutual fund retailer
U S. government securities broker
Municipal securities broker
Broker or dealer selling variable life insurance or annuities
Solicitor of time deposits in a financial institution
Put and call broker or dealer or option writer
Broker or dealer selling tax shelters or limited partnerships in primary distributions
Non-exchange member arranging for transactions in listed securities by exchange member
Private placements of securities
Other - THE FIRM OFFERS SEC AND/OR REGISTERED OR EXEMPT PRODUCTS, NOT LISTED OR TRADED ON AN EXCHANGE, THROUGH SUBSCRIPTION SALES: DIRECT PARTICIPATION PROGRAMS, LIMITED PARTNERSHIPS, AND REITS. SELLING GROUP PARTICIPANT IN A FIRM COMMITMENT UNDERWRITING ON A BEST EFFORTS BASIS (WILL NOT ACT OR BE IDENTIFIED AS ACTING AS A MANAGER, CO-MANAGER, OR INITIAL PURCHASER IN A FIRM COMMITMENT UNDERWRITING);

Other Types of Business

This firm does not effect transactions in commodities, commodity futures, or commodity options.
This firm does not engage in other non-securities business.

Non-Securities Business Description:

Firm Operations

Clearing Arrangements

This firm does not hold or maintain funds or securities or provide clearing services for other broker-dealer(s).

Introducing Arrangements

This firm does refer or introduce customers to other brokers and dealers.

Name:	NATIONAL FINANCIAL SERVICES LLC
CRD #:	13041
Business Address:	245 SUMMER STREET BOSTON, MA 02210
Effective Date:	08/31/2025
Description:	AURORA SECURITIES CLEARS THROUGH NATIONAL FINANCIAL SERVICES LLC, ON A FULLY-DISCLOSED BASIS.

Firm Operations

Industry Arrangements

This firm does have books or records maintained by a third party.

Name: NATIONAL FINANCIAL SERVICES LLC

CRD #: 13041

Business Address: 245 SUMMER STREET
BOSTON, MA 02210

Effective Date: 08/31/2025

Description: AURORA SECURITIES CLEARS THROUGH NATIONAL FINANCIAL SERVICES LLC, ON A FULLY-DISCLOSED BASIS.

Name: ARTISAN

Business Address: 7676 HAZARD CENTER DRIVE
SUITE 1540
SAN DIEGO, CA 92018

Effective Date: 02/26/2021

Description: CLIENT FILES AND RECORDS, COMPANY FILES AND RECORDS.

Name: GLOBAL RELAY

Business Address: 220 CAMBIE STREET
2ND FLOOR
VANCOUVER, CANADA V6B2M9

Effective Date: 01/01/2022

Description: EMAIL AND ELECTRONIC COMMUNICATION

This firm does have accounts, funds, or securities maintained by a third party.

Name: NATIONAL FINANCIAL SERVICES LLC

CRD #: 13041

Business Address: 245 SUMMER STREET
BOSTON, MA 02210

Effective Date: 08/31/2025

Description: AURORA SECURITIES CLEARS THROUGH NATIONAL FINANCIAL SERVICES LLC, ON A FULLY-DISCLOSED BASIS.

This firm does have customer accounts, funds, or securities maintained by a third party.

Name: NATIONAL FINANCIAL SERVICES LLC

Firm Operations

Industry Arrangements (continued)

CRD #:	13041
Business Address:	245 SUMMER STREET BOSTON, MA 02110
Effective Date:	08/31/2025
Description:	AURORA SECURITIES CLEARS THROUGH NATIONAL FINANCIAL SERVICES LLC, ON A FULLY-DISCLOSED BASIS.

Control Persons/Financing

This firm does not have individuals who control its management or policies through agreement.

This firm does not have individuals who wholly or partly finance the firm's business.

Firm Operations

Organization Affiliates

This section provides information on control relationships the firm has with other firms in the securities, investment advisory, or banking business.

This firm is, directly or indirectly:

- **in control of**
- **controlled by**
- **or under common control with**

the following partnerships, corporations, or other organizations engaged in the securities or investment advisory business.

SECURE ASSET MANAGEMENT, L.L.C. is under common control with the firm.

CRD #:	144046
Business Address:	2565 WEST MAPLE TROY, MI 48084
Effective Date:	09/22/2017
Foreign Entity:	No
Country:	
Securities Activities:	No
Investment Advisory Activities:	Yes
Description:	SECURE ASSET MANAGEMENT IS OWNED BY BRYAN SPENCER WHO ALSO OWNS THE BD.

This firm is not directly or indirectly, controlled by the following:

- **bank holding company**
- **national bank**
- **state member bank of the Federal Reserve System**
- **state non-member bank**
- **savings bank or association**
- **credit union**
- **or foreign bank**

End of Report

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