

BrokerCheck Report
Jonathan E White
 CRD# 1101110

<u>Section Title</u>	<u>Page(s)</u>
Report Summary	1
Broker Qualifications	2 - 4
Registration and Employment History	6 - 7
Disclosure Events	8



When communicating online or investing with any professional, make sure you know who you're dealing with. [Imposters](#) might link to sites like BrokerCheck from [phishing](#) or similar scam websites, or through [social media](#), trying to steal your personal information or your money.
 Please contact FINRA with any concerns.

About BrokerCheck®



BrokerCheck offers information on all current, and many former, registered securities brokers, and all current and former registered securities firms. FINRA strongly encourages investors to use BrokerCheck to check the background of securities brokers and brokerage firms before deciding to conduct, or continue to conduct, business with them.

- **What is included in a BrokerCheck report?**

- BrokerCheck reports for individual brokers include information such as employment history, professional qualifications, disciplinary actions, criminal convictions, civil judgments and arbitration awards. BrokerCheck reports for brokerage firms include information on a firm's profile, history, and operations, as well as many of the same disclosure events mentioned above.
- Please note that the information contained in a BrokerCheck report may include pending actions or allegations that may be contested, unresolved or unproven. In the end, these actions or allegations may be resolved in favor of the broker or brokerage firm, or concluded through a negotiated settlement with no admission or finding of wrongdoing.

- **Where did this information come from?**

- The information contained in BrokerCheck comes from FINRA's Central Registration Depository, or CRD® and is a combination of:
 - information FINRA and/or the Securities and Exchange Commission (SEC) require brokers and brokerage firms to submit as part of the registration and licensing process, and
 - information that regulators report regarding disciplinary actions or allegations against firms or brokers.

- **How current is this information?**

- Generally, active brokerage firms and brokers are required to update their professional and disciplinary information in CRD within 30 days. Under most circumstances, information reported by brokerage firms, brokers and regulators is available in BrokerCheck the next business day.

- **What if I want to check the background of an investment adviser firm or investment adviser representative?**

- To check the background of an investment adviser firm or representative, you can search for the firm or individual in BrokerCheck. If your search is successful, click on the link provided to view the available licensing and registration information in the SEC's Investment Adviser Public Disclosure (IAPD) website at <https://www.adviserinfo.sec.gov>. In the alternative, you may search the IAPD website directly or contact your state securities regulator at <http://www.finra.org/Investors/ToolsCalculators/BrokerCheck/P455414>.

- **Are there other resources I can use to check the background of investment professionals?**

- FINRA recommends that you learn as much as possible about an investment professional before deciding to work with them. Your state securities regulator can help you research brokers and investment adviser representatives doing business in your state.

Thank you for using FINRA BrokerCheck.



Using this site/information means that you accept the FINRA BrokerCheck Terms and Conditions. A complete list of Terms and Conditions can be found at brokercheck.finra.org



For additional information about the contents of this report, please refer to the User Guidance or www.finra.org/brokercheck. It provides a glossary of terms and a list of frequently asked questions, as well as additional resources. For more information about FINRA, visit www.finra.org.

**Jonathan E. White**

CRD# 1101110

Currently employed by and registered with the following Firm(s):

IA MOMENTUM INDEPENDENT NETWORK INC.

FORT COLLINS, CO
CRD# 17587

Registered with this firm since: 02/11/2025

B MOMENTUM INDEPENDENT NETWORK INC.

FORT COLLINS, CO
CRD# 17587

Registered with this firm since: 01/03/2023

Report Summary for this Broker

This report summary provides an overview of the broker's professional background and conduct. Additional information can be found in the detailed report.

Broker Qualifications

This broker is registered with:

- 1 Self-Regulatory Organization
- 15 U.S. states and territories

This broker has passed:

- 4 Principal/Supervisory Exams
- 2 General Industry/Product Exams
- 3 State Securities Law Exams

Registration History

This broker was previously registered with the following securities firm(s):

B NTB FINANCIAL CORPORATION

CRD# 7425
HIGHLANDS RANCH, CO
02/2019 - 12/2022

B NATIONAL SECURITIES CORPORATION

CRD# 7569
BOCA RATON, FL
05/2016 - 02/2019

B ADIRONDACK TRADING GROUP LLC

CRD# 103910
NEW WOODSTOCK, NY
09/2015 - 05/2016

Disclosure Events

All individuals registered to sell securities or provide investment advice are required to disclose customer complaints and arbitrations, regulatory actions, employment terminations, bankruptcy filings, and criminal or civil judicial proceedings.

Are there events disclosed about this broker? **Yes**

The following types of disclosures have been reported:

Type	Count
Regulatory Event	1
Customer Dispute	2



Broker Qualifications

Registrations

This section provides the self-regulatory organizations (SROs) and U.S. states/territories the broker is currently registered and licensed with, the category of each license, and the date on which it became effective. This section also provides, for every brokerage firm with which the broker is currently employed, the address of each branch where the broker works.

This individual is currently registered with 1 SRO and is licensed in 15 U.S. states and territories through his or her employer.

Employment 1 of 1

Firm Name: **MOMENTUM INDEPENDENT NETWORK INC.**

Main Office Address: **717 N. HARWOOD STREET
SUITE 3400
DALLAS, TX 75201**

Firm CRD#: **17587**

	SRO	Category	Status	Date
B	FINRA	General Securities Principal	Approved	01/03/2023
B	FINRA	General Securities Representative	Approved	01/03/2023
B	FINRA	General Securities Sales Supervisor	Approved	01/03/2023

	U.S. State/ Territory	Category	Status	Date
B	Arizona	Agent	Approved	01/03/2023
B	California	Agent	Approved	01/03/2023
B	Colorado	Agent	Approved	01/03/2023
IA	Colorado	Investment Adviser Representative	Approved	02/11/2025
B	Florida	Agent	Approved	01/03/2023
B	Georgia	Agent	Approved	01/03/2023
B	Kansas	Agent	Approved	01/06/2023
B	Michigan	Agent	Approved	01/03/2023
B	Missouri	Agent	Approved	01/03/2023
B	Nebraska	Agent	Approved	01/24/2023



Broker Qualifications

Employment 1 of 1, continued

	U.S. State/ Territory	Category	Status	Date
B	New Jersey	Agent	Approved	01/03/2023
B	New York	Agent	Approved	01/03/2023
B	Tennessee	Agent	Approved	12/02/2025
B	Texas	Agent	Approved	01/03/2023
B	Vermont	Agent	Approved	04/19/2024
B	Wyoming	Agent	Approved	01/03/2023

Branch Office Locations

MOMENTUM INDEPENDENT NETWORK INC.
FORT COLLINS, CO



Broker Qualifications

Industry Exams this Broker has Passed

This section includes all securities industry exams that the broker has passed. Under limited circumstances, a broker may attain a registration after receiving an exam waiver based on exams the broker has passed and/or qualifying work experience. Any exam waivers that the broker has received are not included below. A passed exam or exam waiver does not permit a broker to do business without an active SRO or state registration.

This individual has passed 4 principal/supervisory exams, 2 general industry/product exams, and 3 state securities law exams.

Principal/Supervisory Exams

Exam	Category	Date
B General Securities Sales Supervisor - General Module Examination	Series 10	01/02/2023
B General Securities Sales Supervisor - Options Module Examination	Series 9	01/02/2023
B General Securities Sales Supervisor Examination (Options Module & General Module)	Series 8	03/02/1994
B General Securities Principal Examination	Series 24	04/24/1991

General Industry/Product Exams

Exam	Category	Date
B Securities Industry Essentials Examination	SIE	10/01/2018
B General Securities Representative Examination	Series 7	02/19/1983

State Securities Law Exams

Exam	Category	Date
IA Uniform Investment Adviser Law Examination	Series 65	02/08/2025
B IA Uniform Combined State Law Examination	Series 66	01/10/2012
B Uniform Securities Agent State Law Examination	Series 63	04/26/1983

Additional information about the above exams or other exams FINRA administers to brokers and other securities professionals can be found at www.finra.org/brokerqualifications/registeredrep/.

Broker Qualifications



Professional Designations

This section details that the representative has reported **0** professional designation(s).

No information reported.



Registration and Employment History

Registration History

The broker previously was registered with the following firms:

Registration Dates	Firm Name	CRD#	Branch Location
B 02/2019 - 12/2022	NTB FINANCIAL CORPORATION	7425	HIGHLANDS RANCH, CO
B 05/2016 - 02/2019	NATIONAL SECURITIES CORPORATION	7569	BOCA RATON, FL
B 09/2015 - 05/2016	ADIRONDACK TRADING GROUP LLC	103910	NEW WOODSTOCK, NY
IA 09/2015 - 12/2015	RIDGEWAY CONGER ADVISORY SERVICES	139372	Fort Collins, CO
IA 01/2012 - 09/2015	J P TURNER & COMPANY CAPITAL MANAGEMENT, LLC	124446	FORT COLLINS, CO
B 09/2002 - 09/2015	J.P. TURNER & COMPANY, L.L.C.	43177	FORT COLLINS, CO
B 11/2001 - 09/2002	SCHNEIDER SECURITIES, INC.	16434	DENVER, CO
B 12/1993 - 11/2001	STIFEL, NICOLAUS & COMPANY, INCORPORATED	793	ST. LOUIS, MO
B 06/1992 - 11/1993	DICKINSON & CO.	689	DES MOINES, IA
B 03/1989 - 06/1992	J. W. GANT & ASSOCIATES, INC.	7963	
B 01/1986 - 06/1992	J. W. GANT & ASSOCIATES, INC.	7963	
B 06/1983 - 01/1986	SECO SECURITIES, INC.	5363	
B 03/1983 - 06/1983	J. W. GANT & ASSOCIATES, INC.	7963	
B 03/1983 - 05/1983	SECURITIES CLEARING OF COLORADO, INC	5363	

Employment History



Registration and Employment History

Employment History, continued

This section provides up to 10 years of an individual broker's employment history as reported by the individual broker on the most recently filed Form U4.

Please note that the broker is required to provide this information only while registered with FINRA or a national securities exchange and the information is not updated via Form U4 after the broker ceases to be registered. Therefore, an employment end date of "Present" may not reflect the broker's current employment status.

Employment	Employer Name	Position	Investment Related	Employer Location
01/2023 - Present	MOMENTUM INDEPENDENT NETWORK	FINANCIAL ADVISOR	Y	DALLAS, TX, United States
02/2019 - 01/2023	NTB Financial Corp.	Registered Representative	Y	Centennial, CO, United States
05/2016 - 02/2019	NATIONAL SECURITIES CORP	REGISTERED REPRESENTATIVE	N	FORT COLLINS, CO, United States
09/2015 - 05/2016	Adirondack Trading Group, LLC	Registered Representative	Y	New Woodstock, NY, United States
09/2015 - 05/2016	Ridgeway Conger Advisory Service	Investment Advisor	Y	New Woodstock, NY, United States

Other Business Activities

This section includes information, if any, as provided by the broker regarding other business activities the broker is currently engaged in either as a proprietor, partner, officer, director, employee, trustee, agent or otherwise. This section does not include non-investment related activity that is exclusively charitable, civic, religious or fraternal and is recognized as tax exempt.

No information reported.

Disclosure Events



What you should know about reported disclosure events:

1. All individuals registered to sell securities or provide investment advice are required to disclose customer complaints and arbitrations, regulatory actions, employment terminations, bankruptcy filings, and criminal or civil judicial proceedings.
2. **Certain thresholds must be met before an event is reported to CRD, for example:**
 - A law enforcement agency must file formal charges before a broker is required to disclose a particular criminal event.
 - A customer dispute must involve allegations that a broker engaged in activity that violates certain rules or conduct governing the industry and that the activity resulted in damages of at least \$5,000.
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3. **Disclosure events in BrokerCheck reports come from different sources:**
 - As mentioned at the beginning of this report, information contained in BrokerCheck comes from brokers, brokerage firms and regulators. When more than one of these sources reports information for the same disclosure event, all versions of the event will appear in the BrokerCheck report. The different versions will be separated by a solid line with the reporting source labeled.
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4. **There are different statuses and dispositions for disclosure events:**
 - A disclosure event may have a status of *pending*, *on appeal*, or *final*.
 - A "pending" event involves allegations that have not been proven or formally adjudicated.
 - An event that is "on appeal" involves allegations that have been adjudicated but are currently being appealed.
 - A "final" event has been concluded and its resolution is not subject to change.
 - A final event generally has a disposition of *adjudicated*, *settled* or *otherwise resolved*.
 - An "adjudicated" matter includes a disposition by (1) a court of law in a criminal or civil matter, or (2) an administrative panel in an action brought by a regulator that is contested by the party charged with some alleged wrongdoing.
 - A "settled" matter generally involves an agreement by the parties to resolve the matter. Please note that brokers and brokerage firms may choose to settle customer disputes or regulatory matters for business or other reasons.
 - A "resolved" matter usually involves no payment to the customer and no finding of wrongdoing on the part of the individual broker. Such matters generally involve customer disputes.

For your convenience, below is a matrix of the number and status of disclosure events involving this broker. Further information regarding these events can be found in the subsequent pages of this report. You also may wish to contact the broker to obtain further information regarding these events.

	Pending	Final	On Appeal
Regulatory Event	0	1	0
Customer Dispute	0	2	N/A



Disclosure Event Details

When evaluating this information, please keep in mind that a disclosure event may be pending or involve allegations that are contested and have not been resolved or proven. The matter may, in the end, be withdrawn, dismissed, resolved in favor of the broker, or concluded through a negotiated settlement for certain business reasons (e.g., to maintain customer relationships or to limit the litigation costs associated with disputing the allegations) with no admission or finding of wrongdoing.

This report provides the information exactly as it was reported to CRD and therefore some of the specific data fields contained in the report may be blank if the information was not provided to CRD.

Regulatory - Final

This type of disclosure event may involve (1) a final, formal proceeding initiated by a regulatory authority (e.g., a state securities agency, self-regulatory organization, federal regulatory such as the Securities and Exchange Commission, foreign financial regulatory body) for a violation of investment-related rules or regulations; or (2) a revocation or suspension of a broker's authority to act as an attorney, accountant, or federal contractor.

Disclosure 1 of 1

Reporting Source:	Regulator
Regulatory Action Initiated By:	NATIONAL ASSOCIATION OF SECURITIES DEALERS, INC.
Sanction(s) Sought:	
Other Sanction(s) Sought:	
Date Initiated:	04/25/1985
Docket/Case Number:	DEN-599
Employing firm when activity occurred which led to the regulatory action:	
Product Type:	Other
Other Product Type(s):	NOT PROVIDED
Allegations:	VIOLOGATIONS OF ARTICLE III, SECTIONS 1 AND 27(A) OF THE RULES OF FAIR PRACTICE IN THAT RESPONDENT JONATHAN E. WHITE, ENGAGED IN THE DISTRIBUTION OF SHARES OF A PRIVATE PLACEMENT OUTSIDE THE SCOPE OF HIS EMPLOYMENT WITHOUT PRIOR WRITTEN NOTIFICATION THEREOF TO HIS EMPLOYER IN CONTRAVENTION OF THE BOARD OF GOVERNORS' INTERPRETATION WITH RESPECT TO PRIVATE SECURITIES TRANSACTIONS; CHARGED CUSTOMERS PURCHASING SUCH DISTRIBUTION UNREASONABLE



PRICES.

Current Status:

Final

Resolution:

Decision & Order of Offer of Settlement

Resolution Date:

10/15/1985

Sanctions Ordered:

Censure
Monetary/Fine \$15,000.00

Other Sanctions Ordered:**Sanction Details:**

CENSURED AND ASSESSED ONE-FIFTH EACH OF THE COSTS OF \$407.82

Regulator Statement

NASD COMPLAINT NO. DEN-599, DISTRICT NO. 3; COMPLAINT FILED APRIL 25, 1985 ALLEGING VIOLATIONS OF ARTICLE III, SECTIONS 1 AND 27(A) OF THE RULES OF FAIR PRACTICE IN THAT RESPONDENT JONATHAN E. WHITE, ENGAGED IN THE DISTRIBUTION OF SHARES OF A PRIVATE PLACEMENT OUTSIDE THE SCOPE OF HIS EMPLOYMENT WITHOUT PRIOR WRITTEN NOTIFICATION THEREOF TO HIS EMPLOYER IN CONTRAVENTION OF THE BOARD OF GOVERNORS' INTERPRETATION WITH RESPECT TO PRIVATE SECURITIES TRANSACTIONS; CHARGED CUSTOMERS PURCHASING SUCH DISTRIBUTION UNREASONABLE PRICES. DECISION RENDERED 10/15/85, WHEREIN RESPONDENT IS CENSURED AND ASSESSED ONE-FIFTH OF THE COSTS OF \$407.82 IF NO FURTHER ACTION, DECISION IS FINAL 11/28/85. DECEMBER 10, 1985 - CALLED FOR REVIEW.

Reporting Source:

Broker

Regulatory Action Initiated By:

NASD-DISTRICT NO. 3

Sanction(s) Sought:**Other Sanction(s) Sought:****Date Initiated:**

04/25/1985

Docket/Case Number:

DEN-599

Employing firm when activity occurred which led to the regulatory action:

Product Type:**Other Product Type(s):**



Allegations:	ALLEGED VIOLATIONS OF ARTICLE III, SECTIONS 1 AND 27 (A) OF THE RULES OF FAIR PRACTICE IN THAT RESPONDENTS PHILIP RICHARD RISCH, MARY A. ENTZI, BRUCE E. HAFLICH, ALAN D. CLARK AND JON WHITE ENGAGED IN THE DISTRIBUTION OF SHARES OF A PRIVATE PLACEMENT OUTSIDE THE SCOPE OF THEIR EMPLOYMENT WITHOUT PRIOR WRITTEN NOTIFICATION TO THE EMPLOYER.
Current Status:	Final
Resolution:	Decision & Order of Offer of Settlement
Resolution Date:	10/15/1985
Sanctions Ordered:	Censure Monetary/Fine \$15,000.00
Other Sanctions Ordered:	
Sanction Details:	RESPONDENTS WERE CENSURED AND ASSESSED ONE-FIFTH EACH OF THE COSTS OF \$407.82.
Broker Statement	NOT PROVIDED



Customer Dispute - Settled

This type of disclosure event involves (1) a consumer-initiated, investment-related arbitration or civil suit containing allegations of sales practice violations against the individual broker that was dismissed, withdrawn, or denied; or (2) a consumer-initiated, investment-related written complaint

Disclosure 1 of 1

Reporting Source:	Broker
Employing firm when activities occurred which led to the complaint:	J.P. TURNER & COMPANY LLC
Allegations:	MISREPRESENTATION AND SUITABILITY
Product Type:	Equity-OTC
Alleged Damages:	\$11,691.89
Is this an oral complaint?	No
Is this a written complaint?	Yes
Is this an arbitration/CFTC reparation or civil litigation?	No

Customer Complaint Information

Date Complaint Received:	10/06/2008
Complaint Pending?	No
Status:	Settled
Status Date:	10/20/2008
Settlement Amount:	\$9,552.00
Individual Contribution Amount:	\$9,552.00
Broker Statement	COMPLAINT NO LONGER REPORTABLE AND SHOULD BE ARCHIVED.



Customer Dispute - Closed-No Action / Withdrawn / Dismissed / Denied

This type of disclosure event involves (1) a consumer-initiated, investment-related arbitration or civil suit containing allegations of sales practice violations against the individual broker that was dismissed, withdrawn, or denied; or (2) a consumer-initiated, investment-related written complaint containing allegations that the broker engaged in sales practice violations resulting in compensatory damages of at least \$5,000, forgery, theft, or misappropriation, or conversion of funds or securities, which was closed without action, withdrawn, or denied.

Disclosure 1 of 1

Reporting Source: Firm

Employing firm when activities occurred which led to the complaint: STIFEL NICOLAUS & CO., INC.

Allegations: POOR PERFORMANCE OF KRY, HTPI, SOLV, PDG, CVM & FAILURE TO FOLLOW INSTRUCTIONS RE: STOCK LOSS ORDERS.

Product Type: Other

Other Product Type(s): EQUITY -LISTED & OTC

Alleged Damages: \$100,000.00

Customer Complaint Information

Date Complaint Received: 08/09/2004

Complaint Pending? No

Status: Denied

Status Date: 11/23/2004

Settlement Amount:

Individual Contribution Amount:

Reporting Source: Broker

Employing firm when activities occurred which led to the complaint: STIFEL NICOLAUS & CO. INC.

Allegations: POOR PERFORMANCE OF KRY,HTPI, SOLV, PDG, CVM, & FAILURE TO FOLLOW INSTRUCTIONS RE: STOCK LOSS ORDERS

Product Type: Other

Other Product Type(s): EQUITY - LISTED & OTC



Alleged Damages: \$100,000.00

Customer Complaint Information

Date Complaint Received: 08/09/2004

Complaint Pending? No

Status: Denied

Status Date: 11/23/2004

Settlement Amount:

**Individual Contribution
Amount:**

End of Report



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