

BrokerCheck Report

MICHAEL DAVID POITINGER

CRD# 1176123

<u>Section Title</u>	<u>Page(s)</u>
Report Summary	1
Broker Qualifications	2 - 5
Registration and Employment History	7 - 8



When communicating online or investing with any professional, make sure you know who you're dealing with. [Imposters](#) might link to sites like BrokerCheck from [phishing](#) or similar scam websites, or through [social media](#), trying to steal your personal information or your money.

Please contact FINRA with any concerns.

About BrokerCheck®

BrokerCheck offers information on all current, and many former, registered securities brokers, and all current and former registered securities firms. FINRA strongly encourages investors to use BrokerCheck to check the background of securities brokers and brokerage firms before deciding to conduct, or continue to conduct, business with them.

- **What is included in a BrokerCheck report?**

- BrokerCheck reports for individual brokers include information such as employment history, professional qualifications, disciplinary actions, criminal convictions, civil judgments and arbitration awards. BrokerCheck reports for brokerage firms include information on a firm's profile, history, and operations, as well as many of the same disclosure events mentioned above.
- Please note that the information contained in a BrokerCheck report may include pending actions or allegations that may be contested, unresolved or unproven. In the end, these actions or allegations may be resolved in favor of the broker or brokerage firm, or concluded through a negotiated settlement with no admission or finding of wrongdoing.

- **Where did this information come from?**

- The information contained in BrokerCheck comes from FINRA's Central Registration Depository, or CRD® and is a combination of:
 - information FINRA and/or the Securities and Exchange Commission (SEC) require brokers and brokerage firms to submit as part of the registration and licensing process, and
 - information that regulators report regarding disciplinary actions or allegations against firms or brokers.

- **How current is this information?**

- Generally, active brokerage firms and brokers are required to update their professional and disciplinary information in CRD within 30 days. Under most circumstances, information reported by brokerage firms, brokers and regulators is available in BrokerCheck the next business day.

- **What if I want to check the background of an investment adviser firm or investment adviser representative?**

- To check the background of an investment adviser firm or representative, you can search for the firm or individual in BrokerCheck. If your search is successful, click on the link provided to view the available licensing and registration information in the SEC's Investment Adviser Public Disclosure (IAPD) website at <https://www.adviserinfo.sec.gov>. In the alternative, you may search the IAPD website directly or contact your state securities regulator at <http://www.finra.org/Investors/ToolsCalculators/BrokerCheck/P455414>.

- **Are there other resources I can use to check the background of investment professionals?**

- FINRA recommends that you learn as much as possible about an investment professional before deciding to work with them. Your state securities regulator can help you research brokers and investment adviser representatives doing business in your state.

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Thank you for using FINRA BrokerCheck.



Using this site/information means that you accept the FINRA BrokerCheck Terms and Conditions. A complete list of Terms and Conditions can be found at

brokercheck.finra.org



For additional information about the contents of this report, please refer to the User Guidance or www.finra.org/brokercheck. It provides a glossary of terms and a list of frequently asked questions, as well as additional resources.

[For more information about FINRA, visit www.finra.org.](http://www.finra.org)



MICHAEL D. POITINGER

CRD# 1176123

Currently employed by and registered with the following Firm(s):

IA VALMARK ADVISERS, INC.
 2735 CRAWFIS BLVD.
 SUITE 100
 FAIRLAWN, OH 44333
 CRD# 108050
 Registered with this firm since: 05/31/2011

IA POITINGER FINANCIAL, INC.
 2735 CRAWFIS BLVD.
 FAIRLAWN, OH 44333
 CRD# 119867
 Registered with this firm since: 10/11/2006

B VALMARK SECURITIES, INC.
 2735 CRAWFIS BLVD.
 SUITE 100
 FAIRLAWN, OH 44333-2878
 CRD# 31243
 Registered with this firm since: 10/02/1998

Report Summary for this Broker

This report summary provides an overview of the broker's professional background and conduct. Additional information can be found in the detailed report.

Broker Qualifications

This broker is registered with:

- 1 Self-Regulatory Organization
- 16 U.S. states and territories

This broker has passed:

- 2 Principal/Supervisory Exams
- 2 General Industry/Product Exams
- 1 State Securities Law Exam

Registration History

This broker was previously registered with the following securities firm(s):

- B M.D. POITINGER AND CO., INC.**
 CRD# 16808
 AKRON, OH
 01/1986 - 10/1998
- B E.R. KELLER & CO., INC.**
 CRD# 10715
 01/1985 - 01/1986
- B INTEGRATED RESOURCES EQUITY CORPORATION**
 CRD# 6403
 10/1983 - 01/1985

Disclosure Events

All individuals registered to sell securities or provide investment advice are required to disclose customer complaints and arbitrations, regulatory actions, employment terminations, bankruptcy filings, and criminal or civil judicial proceedings.

Are there events disclosed about this broker? **No**



Broker Qualifications

Registrations

This section provides the self-regulatory organizations (SROs) and U.S. states/territories the broker is currently registered and licensed with, the category of each license, and the date on which it became effective. This section also provides, for every brokerage firm with which the broker is currently employed, the address of each branch where the broker works.

This individual is currently registered with 1 SRO and is licensed in 16 U.S. states and territories through his or her employer.

Employment 1 of 3

Firm Name: **POITINGER FINANCIAL, INC.**

Main Office Address: **2735 CRAWFIS BLVD.
FAIRLAWN, OH 44333**

Firm CRD#: **119867**

	U.S. State/ Territory	Category	Status	Date
IA	Ohio	Investment Adviser Representative	Approved	10/11/2006

Branch Office Locations

2735 CRAWFIS BLVD.
FAIRLAWN, OH 44333

2735 CRAWFIS BLVD.
FAIRLAWN, OH 44333

Employment 2 of 3

Firm Name: **VALMARK ADVISERS, INC.**

Main Office Address: **130 SPRINGSIDE DRIVE
SUITE 300
AKRON, OH 44333**

Firm CRD#: **108050**

	U.S. State/ Territory	Category	Status	Date
IA	California	Investment Adviser Representative	Approved	02/17/2021



Broker Qualifications

Employment 2 of 3, continued

	U.S. State/ Territory	Category	Status	Date
IA	Colorado	Investment Adviser Representative	Approved	05/21/2021
IA	Florida	Investment Adviser Representative	Approved	07/23/2018
IA	Idaho	Investment Adviser Representative	Approved	05/07/2021
IA	Massachusetts	Investment Adviser Representative	Approved	11/17/2022
IA	Michigan	Investment Adviser Representative	Approved	11/23/2021
IA	Ohio	Investment Adviser Representative	Approved	05/31/2011
IA	Pennsylvania	Investment Adviser Representative	Approved	04/01/2022
IA	South Carolina	Investment Adviser Representative	Approved	10/13/2021
IA	Texas	Investment Adviser Representative	Approved	01/14/2022

Branch Office Locations

2735 CRAWFIS BLVD.
SUITE 100
FAIRLAWN, OH 44333

Employment 3 of 3

Firm Name: **VALMARK SECURITIES, INC.**

Main Office Address: **130 SPRINGSIDE DRIVE
SUITE 300
AKRON, OH 44333-2431**

Firm CRD#: **31243**

	SRO	Category	Status	Date
B	FINRA	Direct Participation Programs Principal	Approved	10/02/1998
B	FINRA	General Securities Principal	Approved	10/02/1998



Broker Qualifications

Employment 3 of 3, continued

SRO	Category	Status	Date
B FINRA	General Securities Representative	Approved	10/02/1998

U.S. State/ Territory	Category	Status	Date
B California	Agent	Approved	05/22/2019
B Colorado	Agent	Approved	07/12/2006
B Florida	Agent	Approved	11/13/1998
B Georgia	Agent	Approved	10/31/2024
B Indiana	Agent	Approved	12/08/2016
B Michigan	Agent	Approved	02/02/2004
B New Jersey	Agent	Approved	07/28/2025
B North Carolina	Agent	Approved	04/16/2019
B Ohio	Agent	Approved	10/02/1998
B Pennsylvania	Agent	Approved	02/22/2018
B South Carolina	Agent	Approved	11/09/2021
B Virginia	Agent	Approved	06/12/2023
B Washington	Agent	Approved	12/03/1999

Branch Office Locations

VALMARK SECURITIES, INC.
 2735 CRAWFIS BLVD.
 SUITE 100
 FAIRLAWN, OH 44333-2878



Broker Qualifications

Industry Exams this Broker has Passed

This section includes all securities industry exams that the broker has passed. Under limited circumstances, a broker may attain a registration after receiving an exam waiver based on exams the broker has passed and/or qualifying work experience. Any exam waivers that the broker has received are not included below. A passed exam or exam waiver does not permit a broker to do business without an active SRO or state registration.

This individual has passed 2 principal/supervisory exams, 2 general industry/product exams, and 1 state securities law exam.

Principal/Supervisory Exams

Exam	Category	Date
B Direct Participation Programs Principal Examination	Series 39	01/02/2023
B General Securities Principal Examination	Series 24	01/24/1985

General Industry/Product Exams

Exam	Category	Date
B Securities Industry Essentials Examination	SIE	10/01/2018
B General Securities Representative Examination	Series 7	10/15/1983

State Securities Law Exams

Exam	Category	Date
B Uniform Securities Agent State Law Examination	Series 63	04/25/1985

Additional information about the above exams or other exams FINRA administers to brokers and other securities professionals can be found at www.finra.org/brokerqualifications/registeredrep/.



Broker Qualifications

Professional Designations

This section details that the representative has reported **0** professional designation(s).

No information reported.



Registration and Employment History

Registration History

The broker previously was registered with the following firms:

Registration Dates	Firm Name	CRD#	Branch Location
B 01/1986 - 10/1998	M.D. POITINGER AND CO., INC.	16808	AKRON, OH
B 01/1985 - 01/1986	E.R. KELLER & CO., INC.	10715	
B 10/1983 - 01/1985	INTEGRATED RESOURCES EQUITY CORPORATION	6403	

Employment History

This section provides up to 10 years of an individual broker's employment history as reported by the individual broker on the most recently filed Form U4.

Please note that the broker is required to provide this information only while registered with FINRA or a national securities exchange and the information is not updated via Form U4 after the broker ceases to be registered. Therefore, an employment end date of "Present" may not reflect the broker's current employment status.

Employment	Employer Name	Position	Investment Related	Employer Location
01/2017 - Present	POITINGER WEALTH MANAGEMENT INC	PRESIDENT/FINANCIAL PLANNER	N	FAIRLAWN, OH, United States
05/2011 - Present	VALMARK ADVISERS, INC.	INVESTMENT ADVISOR REPRESENTATIVE	Y	AKRON, OH, United States
03/2000 - Present	MICHAEL D. POITINGER	OHIO INVESTMENT ADVISOR	Y	FAIRLAWN, OH, United States
12/1999 - Present	POITINGER FINANCIAL INC	INVESTMENT ADVISOR REPRESENTATIVE	Y	FAIRLAWN, OH, United States
10/1998 - Present	VALMARK SECURITIES, INC.	REGISTERED REPRESENTATIVE	Y	AKRON, OH, United States
01/1986 - 12/2016	POITINGER, REDMOND, LYONS, KURA & CO	OTHER - PRESIDENT/FIN. PLANNER	N	AKRON, OH, United States

Registration and Employment History



Other Business Activities

This section includes information, if any, as provided by the broker regarding other business activities the broker is currently engaged in either as a proprietor, partner, officer, director, employee, trustee, agent or otherwise. This section does not include non-investment related activity that is exclusively charitable, civic, religious or fraternal and is recognized as tax exempt.

- 1) Fixed Insurance Sales commissions and trails involved in the sale of fixed life insurance, fixed annuities, and disability policies.//
 - 2) Owner and Investment Advisor Representative, Poitinger Financial, Inc., providing financial planning and consulting services for advisory fees.//
 - 3) Lox Management Company, LTD, 1100 Killian Rd., Akron, OH 44312. Rental Real Estate, Member, 50% owner, property management, not investment related. Started 01/2007//
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End of Report



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