

BrokerCheck Report

Steven Nathan Bronson

CRD# 1223717

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When communicating online or investing with any professional, make sure you know who you're dealing with. [Imposters](#) might link to sites like BrokerCheck from [phishing](#) or similar scam websites, or through [social media](#), trying to steal your personal information or your money.

Please contact FINRA with any concerns.

About BrokerCheck®



BrokerCheck offers information on all current, and many former, registered securities brokers, and all current and former registered securities firms. FINRA strongly encourages investors to use BrokerCheck to check the background of securities brokers and brokerage firms before deciding to conduct, or continue to conduct, business with them.

- **What is included in a BrokerCheck report?**

- BrokerCheck reports for individual brokers include information such as employment history, professional qualifications, disciplinary actions, criminal convictions, civil judgments and arbitration awards. BrokerCheck reports for brokerage firms include information on a firm's profile, history, and operations, as well as many of the same disclosure events mentioned above.
- Please note that the information contained in a BrokerCheck report may include pending actions or allegations that may be contested, unresolved or unproven. In the end, these actions or allegations may be resolved in favor of the broker or brokerage firm, or concluded through a negotiated settlement with no admission or finding of wrongdoing.

- **Where did this information come from?**

- The information contained in BrokerCheck comes from FINRA's Central Registration Depository, or CRD® and is a combination of:
 - o information FINRA and/or the Securities and Exchange Commission (SEC) require brokers and brokerage firms to submit as part of the registration and licensing process, and
 - o information that regulators report regarding disciplinary actions or allegations against firms or brokers.

- **How current is this information?**

- Generally, active brokerage firms and brokers are required to update their professional and disciplinary information in CRD within 30 days. Under most circumstances, information reported by brokerage firms, brokers and regulators is available in BrokerCheck the next business day.

- **What if I want to check the background of an investment adviser firm or investment adviser representative?**

- To check the background of an investment adviser firm or representative, you can search for the firm or individual in BrokerCheck. If your search is successful, click on the link provided to view the available licensing and registration information in the SEC's Investment Adviser Public Disclosure (IAPD) website at <https://www.adviserinfo.sec.gov>. In the alternative, you may search the IAPD website directly or contact your state securities regulator at <http://www.finra.org/Investors/ToolsCalculators/BrokerCheck/P455414>.

- **Are there other resources I can use to check the background of investment professionals?**

- FINRA recommends that you learn as much as possible about an investment professional before deciding to work with them. Your state securities regulator can help you research brokers and investment adviser representatives doing business in your state.

Thank you for using FINRA BrokerCheck.



Using this site/information means that you accept the FINRA BrokerCheck Terms and Conditions. A complete list of Terms and Conditions can be found at brokercheck.finra.org



For additional information about the contents of this report, please refer to the User Guidance or www.finra.org/brokercheck. It provides a glossary of terms and a list of frequently asked questions, as well as additional resources. For more information about FINRA, visit www.finra.org.

Steven N. Bronson

CRD# 1223717

This broker is not currently registered.

Report Summary for this Broker



This report summary provides an overview of the broker's professional background and conduct. Additional information can be found in the detailed report.

Broker Qualifications

This broker is not currently registered.

This broker has passed:

- 4 Principal/Supervisory Exams
- 8 General Industry/Product Exams
- 3 State Securities Law Exams

Registration History

This broker was previously registered with the following securities firm(s):

- B BRONSON FINANCIAL LLC**
CRD# 308284
Irvine, CA
06/2021 - 07/2024
- B PUMA CAPITAL, LLC**
CRD# 146744
RYE, NY
10/2018 - 06/2019
- B NOBLE FINANCIAL CAPITAL MARKETS**
CRD# 15768
Westlake Village, CA
04/2016 - 10/2016

Disclosure Events

All individuals registered to sell securities or provide investment advice are required to disclose customer complaints and arbitrations, regulatory actions, employment terminations, bankruptcy filings, and criminal or civil judicial proceedings.

Are there events disclosed about this broker? **No**

Broker Qualifications



Registrations

This section provides the self-regulatory organizations (SROs) and U.S. states/territories the broker is currently registered and licensed with, the category of each license, and the date on which it became effective. This section also provides, for every brokerage firm with which the broker is currently employed, the address of each branch where the broker works.

This broker is not currently registered.



Broker Qualifications

Industry Exams this Broker has Passed

This section includes all securities industry exams that the broker has passed. Under limited circumstances, a broker may attain a registration after receiving an exam waiver based on exams the broker has passed and/or qualifying work experience. Any exam waivers that the broker has received are not included below. A passed exam or exam waiver does not permit a broker to do business without an active SRO or state registration.

This individual has passed 4 principal/supervisory exams, 8 general industry/product exams, and 3 state securities law exams.

Principal/Supervisory Exams

Exam	Category	Date
B Registered Options Principal Examination	Series 4	07/06/2001
B Municipal Securities Principal Examination	Series 53	12/03/1990
B Financial and Operations Principal Examination	Series 27	10/02/1990
B General Securities Principal Examination	Series 24	08/20/1990

General Industry/Product Exams

Exam	Category	Date
B Municipal Securities Representative Examination	Series 52TO	01/02/2023
B Investment Banking Registered Representative Examination	Series 79TO	01/02/2023
B Securities Trader Exam	Series 57TO	01/02/2023
B Limited Representative-Private Securities Offerings	Series 82TO	01/02/2023
B Operations Professional Examination	Series 99TO	01/02/2023
B Securities Industry Essentials Examination	SIE	10/12/2016
B Limited Representative-Equity Trader Exam	Series 55	12/27/1999
B General Securities Representative Examination	Series 7	01/19/1985

State Securities Law Exams

Exam	Category	Date
IA Uniform Investment Adviser Law Examination	Series 65	11/29/2012



Broker Qualifications

Industry Exams this Broker has Passed, continued
State Securities Law Exams Continued

Exam	Category	Date
B IA Uniform Combined State Law Examination	Series 66	01/22/2008
B Uniform Securities Agent State Law Examination	Series 63	02/14/1985

Additional information about the above exams or other exams FINRA administers to brokers and other securities professionals can be found at www.finra.org/brokerqualifications/registeredrep/.

Broker Qualifications



Professional Designations

This section details that the representative has reported **0** professional designation(s).

No information reported.

Only professional designations listed in Question 8 of the Form U4 will appear in this section if the appropriate box is checked and verified by the issuing organization at the time of the filing. Learn more about eligible designations at [IARD](#) and [NASAA](#).



Registration and Employment History

Registration History

The broker previously was registered with the following firms:

Registration Dates	Firm Name	CRD#	Branch Location
B 06/2021 - 07/2024	BRONSON FINANCIAL LLC	308284	Irvine, CA
B 10/2018 - 06/2019	PUMA CAPITAL, LLC	146744	RYE, NY
B 04/2016 - 10/2016	NOBLE FINANCIAL CAPITAL MARKETS	15768	Westlake Village, CA
B 09/1998 - 12/2014	BRONSON & CO., LLC	45025	BOCA RATON, FL
B 10/1998 - 01/2000	WESTPORT RESOURCES INVESTMENT SERVICES, INC.	24535	FT. WORTH, TX
B 12/1990 - 10/1998	BARBER & BRONSON INCORPORATED	26582	MIAMI, FL
B 08/1989 - 11/1990	PALM BEACH FINANCIAL, INC.	19477	
B 05/1989 - 08/1989	ALISON, BAER SECURITIES INC.	11808	
B 12/1987 - 05/1989	M. H. MEYERSON & CO., INC.	540	
B 04/1987 - 11/1987	SHELTER ROCK SECURITIES CORP.	11043	
B 10/1985 - 04/1987	ADVEST, INC.	10	
B 06/1985 - 10/1985	INDIVIDUAL'S SECURITIES LTD.	7279	
B 01/1985 - 04/1985	THE STUART-JAMES COMPANY, INC.	11691	

Employment History



Registration and Employment History

Employment History, continued

This section provides up to 10 years of an individual broker's employment history as reported by the individual broker on the most recently filed Form U4.

Please note that the broker is required to provide this information only while registered with FINRA or a national securities exchange and the information is not updated via Form U4 after the broker ceases to be registered. Therefore, an employment end date of "Present" may not reflect the broker's current employment status.

Employment	Employer Name	Position	Investment Related	Employer Location
03/2022 - Present	SB4 Investments LLC	Managing Member 03/22 - Present / Holding Company - Personal Investments in public and/or private companies. Office Address: 10100 Santa Monica Blvd., Suite 1400 (one hour per month).	Y	Los Angeles, CA, United States
05/2021 - Present	MyTabolite, Inc.	Chairman & Co- Founder	Y	Irvine, CA, United States
02/2020 - Present	Bronson Financial, LLC	CEO	Y	Irvine, CA, United States
07/2013 - Present	QUALSTAR CORP.	CHAIRMAN, CEO & PRESIDENT	N	Irvine, CA, United States
09/2012 - Present	BKF ASSET HOLDINGS, INC. - SUBSIDIARY OF BKF CAPITAL GROUP	CHAIRMAN, PRESIDENT & CEO	Y	Irvine, CA, United States
07/2010 - Present	INTERLINK ELECTRONICS INC.	CHAIRMAN & CEO	Y	Irvine, CA, United States
10/2008 - Present	BKF CAPITAL GROUP, INC.	CHAIRMAN, PRESIDENT & CEO	Y	Irvine, CA, United States
03/2000 - Present	RIDGEFIELD ACQUISITION CORP.	PRESIDENT, CEO & DIRECTOR	N	Carson City, NV, United States
10/2008 - 11/2021	BKF GP, INC. - SUBSIDIARY OF BKF CAPITAL GROUP	Chairman/CEO/Preside nt	Y	Irvine, CA, United States
04/2009 - 11/2019	BKF ASSET MANAGEMENT INC. - SUBSIDIARY OF BKF CAPITAL GROUP	CHAIRMAN, PRESIDENT & CEO	Y	WESTLAKE VILLAGE, CA, United States
04/2009 - 11/2019	BKF INVESTMENT GROUP INC. - SUBSIDIARY OF BKF CAPITAL GROUP	CHAIRMAN, PRESIDENT & CEO	Y	WESTLAKE VILLAGE, CA, United States
04/2016 - 12/2018	INTERNATIONAL ADVISORS LLC	MANAGING MEMBER	Y	WESTLAKE, CA, United States



Registration and Employment History

Employment History, continued

Employment	Employer Name	Position	Investment Related	Employer Location
09/2015 - 12/2018	SBRE4 LLC	MANAGING MEMBER	Y	WESTLAKE VILLAGE, CA, United States

Other Business Activities

This section includes information, if any, as provided by the broker regarding other business activities the broker is currently engaged in either as a proprietor, partner, officer, director, employee, trustee, agent or otherwise. This section does not include non-investment related activity that is exclusively charitable, civic, religious or fraternal and is recognized as tax exempt.

Interlink Electronics, Inc (LINK) 7/2010 - Present / Public Company / Chairman, CEO, Pres., & Acting CFO 81% Shareholder (67.5% Steven & Kimberly Bronson, 13.6% BKF which I am majority shareholder and Chairman & CEO)

Interlink Electronics, Inc., together with its subsidiaries, designs, develops, manufactures, and sells force-sensing technologies that incorporate proprietary materials technology, and firmware and software products and custom solutions.

Qualstar Corp (QBAK) 7/2013 - Present / Public Company / CEO, Pres., & Director

33.8% Shareholder, includes 548,085 owned by BKF Asset Holdings, Inc, 24,617 shares owned by Steven & Kimberly Bronson and 116,667 options owned by Steven Bronson.

Qualstar Corporation designs, develops, manufactures, and sells power supplies under the N2Power brand name; and data storage systems under the Qualstar brand in North America, Europe, the Asia Pacific, and internationally

BKF Capital Group, Inc. (BKFG) and Subsidiaries 10/2008 - Present / Public Company / Chairman, CEO & Pres. 65% owned by Steven & Kimberly Bronson including their children.

BKF Capital Group, Inc. does not have significant operations except through its subsidiary BKF Asset Holdings, Inc. which purchase operating companies and/or controlling interests in such companies either through direct investment or acquisition.

MyTabolite, Inc. 05/2021-Present / Private Company / Chairman & Co Founder

SB4 Investments LLC. 03/22 - Present / Holding Company - holds investments in public and/or private companies.

BKF Asset Holdings, Inc - purchase operating companies and/or controlling interests in such companies either through direct investment or acquisition

BKF Asset Management, Inc

BKF Investment Group, Inc.

BKF GP, Inc

Purchase Associates - In process of being liquidated - BKF GP, Inc is the General Partner

Purchase Associates II - In process of being liquidated -BKF GP, Inc is the General Partner

Ridgefield Acquisition Corporation (RDGA) 3/2000 - Present CEO & President

82% Shareholder owned by Steven & Kimberly Bronson

Bio-Medical Automation 3/2009 - Present Subsidiary of Ridgefield Acquisition Corporation CEO & President

Ridgefield Acquisition does not have significant operations. The company intends to seek a merger, acquisition, or other business combination with an operating entity. Previously, it was involved in the development of prototype micro-robotic devices.

International Advisors, LLC 4/2016 - Present LLC Member/Manager

Not active, previously provided management and commercial debt advisory services. Terminated 11/2018

SBRE4 LLC 9/2015 - Present LLC Member/Manager

Registration and Employment History



Other Business Activities, continued

Invests in real estate- Terminated 11/2018

All entities listed above office address is 31248 Oak Crest Drive, Suite 110 Westlake Village, CA 91361 except for Qualstar Corp. which is located at 130 West Cochran St. Unit C, Simi Valley, CA 93065.

End of Report



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