

BrokerCheck Report

CARLA VOSS GENELLY

CRD# 1319534

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When communicating online or investing with any professional, make sure you know who you're dealing with. [Imposters](#) might link to sites like BrokerCheck from [phishing](#) or similar scam websites, or through [social media](#), trying to steal your personal information or your money.

Please contact FINRA with any concerns.

About BrokerCheck®



BrokerCheck offers information on all current, and many former, registered securities brokers, and all current and former registered securities firms. FINRA strongly encourages investors to use BrokerCheck to check the background of securities brokers and brokerage firms before deciding to conduct, or continue to conduct, business with them.

- **What is included in a BrokerCheck report?**

- BrokerCheck reports for individual brokers include information such as employment history, professional qualifications, disciplinary actions, criminal convictions, civil judgments and arbitration awards. BrokerCheck reports for brokerage firms include information on a firm's profile, history, and operations, as well as many of the same disclosure events mentioned above.
- Please note that the information contained in a BrokerCheck report may include pending actions or allegations that may be contested, unresolved or unproven. In the end, these actions or allegations may be resolved in favor of the broker or brokerage firm, or concluded through a negotiated settlement with no admission or finding of wrongdoing.

- **Where did this information come from?**

- The information contained in BrokerCheck comes from FINRA's Central Registration Depository, or CRD® and is a combination of:
 - information FINRA and/or the Securities and Exchange Commission (SEC) require brokers and brokerage firms to submit as part of the registration and licensing process, and
 - information that regulators report regarding disciplinary actions or allegations against firms or brokers.

- **How current is this information?**

- Generally, active brokerage firms and brokers are required to update their professional and disciplinary information in CRD within 30 days. Under most circumstances, information reported by brokerage firms, brokers and regulators is available in BrokerCheck the next business day.

- **What if I want to check the background of an investment adviser firm or investment adviser representative?**

- To check the background of an investment adviser firm or representative, you can search for the firm or individual in BrokerCheck. If your search is successful, click on the link provided to view the available licensing and registration information in the SEC's Investment Adviser Public Disclosure (IAPD) website at <https://www.adviserinfo.sec.gov>. In the alternative, you may search the IAPD website directly or contact your state securities regulator at <http://www.finra.org/Investors/ToolsCalculators/BrokerCheck/P455414>.

- **Are there other resources I can use to check the background of investment professionals?**

- FINRA recommends that you learn as much as possible about an investment professional before deciding to work with them. Your state securities regulator can help you research brokers and investment adviser representatives doing business in your state.

Thank you for using FINRA BrokerCheck.



Using this site/information means that you accept the FINRA BrokerCheck Terms and Conditions. A complete list of Terms and Conditions can be found at

brokercheck.finra.org



For additional information about the contents of this report, please refer to the User Guidance or www.finra.org/brokercheck. It provides a glossary of terms and a list of frequently asked questions, as well as additional resources.

For more information about FINRA, visit www.finra.org.

**CARLA V. GENELLY**

CRD# 1319534

Currently employed by and registered with the following Firm(s):

IA SANCTUARY ADVISORS, LLC
 209 S LASALLE
 STE 970
 CHICAGO, IL 60604
 CRD# 226606
 Registered with this firm since: 08/11/2015

IA CARLA VOSS GENELLY
 209 S. LASALLE STREET, SUITE 12
 CHICAGO, IL 60604
 CRD# 132849
 Registered with this firm since: 05/09/2005

B SANCTUARY SECURITIES, INC.
 209 S LASALLE
 STE 970
 CHICAGO, IL 60604
 CRD# 205
 Registered with this firm since: 04/08/2009

Report Summary for this Broker

This report summary provides an overview of the broker's professional background and conduct. Additional information can be found in the detailed report.

Broker Qualifications

This broker is registered with:

- 1 Self-Regulatory Organization
- 24 U.S. states and territories

This broker has passed:

- 1 Principal/Supervisory Exam
- 3 General Industry/Product Exams
- 2 State Securities Law Exams

Registration History

This broker was previously registered with the following securities firm(s):

- IA DAVID A. NOYES & COMPANY**
 CRD# 205
 CHICAGO, IL
 04/2009 - 08/2015
- IA NATIONS FINANCIAL GROUP, INC.**
 CRD# 44181
 CEDAR RAPIDS, IA
 09/1998 - 04/2009
- B NATIONS FINANCIAL GROUP, INC.**
 CRD# 44181
 CHICAGO, IL
 04/1998 - 04/2009

Disclosure Events

All individuals registered to sell securities or provide investment advice are required to disclose customer complaints and arbitrations, regulatory actions, employment terminations, bankruptcy filings, and criminal or civil judicial proceedings.

Are there events disclosed about this broker? **No**



Broker Qualifications

Registrations

This section provides the self-regulatory organizations (SROs) and U.S. states/territories the broker is currently registered and licensed with, the category of each license, and the date on which it became effective. This section also provides, for every brokerage firm with which the broker is currently employed, the address of each branch where the broker works.

This individual is currently registered with 1 SRO and is licensed in 24 U.S. states and territories through his or her employer.

Employment 1 of 3

Firm Name: **CARLA VOSS GENELLY**
 Main Office Address: **16440 TIMBER LN
 NEW BUFFALO, MI 49117**
 Firm CRD#: **132849**

	U.S. State/ Territory	Category	Status	Date
IA	Illinois	Investment Adviser Representative	Approved	05/09/2005

Branch Office Locations

209 S. LASALLE STREET, SUITE 12
 CHICAGO, IL 60604

Employment 2 of 3

Firm Name: **SANCTUARY ADVISORS, LLC**
 Main Office Address: **1450 BRICKELL AVENUE
 SUITE 2610
 MIAMI, FL 33131**
 Firm CRD#: **226606**

	U.S. State/ Territory	Category	Status	Date
IA	Illinois	Investment Adviser Representative	Approved	08/11/2015
IA	Texas	Investment Adviser Representative	Restricted Approval	11/30/2023



Broker Qualifications

Employment 2 of 3, continued Branch Office Locations

209 S LASALLE
STE 970
CHICAGO, IL 60604

Employment 3 of 3

Firm Name: **SANCTUARY SECURITIES, INC.**

Main Office Address: **1450 BRICKELL AVENUE
SUITE 2610
MIAMI, FL 33131**

Firm CRD#: **205**

SRO	Category	Status	Date
B FINRA	General Securities Principal	Approved	04/08/2009
B FINRA	General Securities Representative	Approved	04/08/2009

U.S. State/ Territory	Category	Status	Date
B Alabama	Agent	Approved	01/03/2023
B Arizona	Agent	Approved	04/08/2009
B Arkansas	Agent	Approved	01/02/2019
B California	Agent	Approved	04/08/2009
B Colorado	Agent	Approved	04/08/2009
B Delaware	Agent	Approved	12/08/2025
B District of Columbia	Agent	Approved	01/03/2023
B Florida	Agent	Approved	04/08/2009
B Idaho	Agent	Approved	12/08/2025
B Illinois	Agent	Approved	04/08/2009



Broker Qualifications

Employment 3 of 3, continued

	U.S. State/ Territory	Category	Status	Date
B	Indiana	Agent	Approved	04/08/2009
B	Iowa	Agent	Approved	04/08/2009
B	Massachusetts	Agent	Approved	12/11/2020
B	Michigan	Agent	Approved	04/08/2009
B	Montana	Agent	Approved	09/03/2015
B	Nevada	Agent	Approved	04/08/2009
B	New Hampshire	Agent	Approved	11/25/2024
B	North Carolina	Agent	Approved	01/24/2020
B	Pennsylvania	Agent	Approved	11/05/2021
B	South Carolina	Agent	Approved	04/08/2009
B	Tennessee	Agent	Approved	12/08/2025
B	Texas	Agent	Approved	04/08/2009
B	Virginia	Agent	Approved	06/02/2011
B	Wisconsin	Agent	Approved	04/08/2009

Branch Office Locations

SANCTUARY SECURITIES, INC.
 209 S LASALLE
 STE 970
 CHICAGO, IL 60604



Broker Qualifications

Industry Exams this Broker has Passed

This section includes all securities industry exams that the broker has passed. Under limited circumstances, a broker may attain a registration after receiving an exam waiver based on exams the broker has passed and/or qualifying work experience. Any exam waivers that the broker has received are not included below. A passed exam or exam waiver does not permit a broker to do business without an active SRO or state registration.

This individual has passed 1 principal/supervisory exam, 3 general industry/product exams, and 2 state securities law exams.

Principal/Supervisory Exams

Exam	Category	Date
B General Securities Principal Examination	Series 24	09/15/1993

General Industry/Product Exams

Exam	Category	Date
B Securities Industry Essentials Examination	SIE	10/01/2018
B National Commodity Futures Examination	Series 3	04/14/1989
B General Securities Representative Examination	Series 7	11/17/1984

State Securities Law Exams

Exam	Category	Date
IA Uniform Investment Adviser Law Examination	Series 65	01/23/1992
B Uniform Securities Agent State Law Examination	Series 63	01/14/1985

Additional information about the above exams or other exams FINRA administers to brokers and other securities professionals can be found at www.finra.org/brokerqualifications/registeredrep/.

Broker Qualifications



Professional Designations

This section details that the representative has reported **0** professional designation(s).

No information reported.



Registration and Employment History

Registration History

The broker previously was registered with the following firms:

Registration Dates	Firm Name	CRD#	Branch Location
IA 04/2009 - 08/2015	DAVID A. NOYES & COMPANY	205	CHICAGO, IL
IA 09/1998 - 04/2009	NATIONS FINANCIAL GROUP, INC.	44181	CHICAGO, IL
B 04/1998 - 04/2009	NATIONS FINANCIAL GROUP, INC.	44181	CHICAGO, IL
IA 11/2003 - 12/2006	VMF CAPITAL	128980	CHICAGO, IL
IA 01/1999 - 12/2003	VMF CAPITAL LLC	108251	CHICAGO, IL
B 10/1991 - 04/1998	AEGON USA SECURITIES INC.	13302	CEDAR RAPIDS, IA
B 07/1987 - 05/1990	SECURITIES CORPORATION OF IOWA	6945	CEDAR RAPIDS, IA
B 03/1986 - 06/1987	THE ILLINOIS COMPANY, INC.	821	
B 11/1984 - 03/1987	GRIFFIN, KUBIK, STEPHENS & THOMPSON, INC.	8352	

Employment History

This section provides up to 10 years of an individual broker's employment history as reported by the individual broker on the most recently filed Form U4.

Please note that the broker is required to provide this information only while registered with FINRA or a national securities exchange and the information is not updated via Form U4 after the broker ceases to be registered. Therefore, an employment end date of "Present" may not reflect the broker's current employment status.

Employment	Employer Name	Position	Investment Related	Employer Location
08/2015 - Present	SANCTUARY ADVISORS LLC	INDEPENDENT ADVISER REPRESENTATIVE	Y	CHICAGO, IL, United States
04/2009 - Present	SANCTUARY SECURITIES, INC.	REGISTERED REPRESENTATIVE	Y	CHICAGO, IL, United States
01/2008 - Present	WB CAPITAL MANAGEMENT., DBA VMF CAPITAL	INDEPENDENT CONTRACTOR	Y	CHICAGO, IL, United States
01/2005 - Present	CARLA VOSS GENELLY	SOLE PROPRIETOR	Y	CHICAGO, IL, United States



Registration and Employment History

Other Business Activities

This section includes information, if any, as provided by the broker regarding other business activities the broker is currently engaged in either as a proprietor, partner, officer, director, employee, trustee, agent or otherwise. This section does not include non-investment related activity that is exclusively charitable, civic, religious or fraternal and is recognized as tax exempt.

(1) CARLA VOSS GENELLY, REGISTERED INVESTMENT ADVISOR. IS INVESTMENT RELATED. 209 S. LASALLE, SUITE 12, CHICAGO, IL 60604. RIA FIRM. POSITION IS SOLE PROPRIETOR. STARTED 5-9-2005. APPROX 20 HRS/MONTH, 15 HRS DURING SECURITIES TRADING HOURS. DUTIES ARE TO OFFER INVESTMENT ADVICE ON A CONSULTING BASIS.

(2) Name: Mission Eurasia

Position: Board member Nature: to evangelize, train, equip and mobilize the Next Generation in Christian their the countries of Eurasia to lead in church planting, growth and ministries by developing creative and strategic ministries and facilitating partnerships between nationals and Western Christians. Investment Related: No Hours: 1 Securities Trading Hours: 0 Start Date: 11/24/2018

Address: po box 496, wheaton IL 60187, United States

Description: attend 3 board meetings a year.

End of Report



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