

BrokerCheck Report

JULIUS WILLIAM AEBLY JR

CRD# 1604730

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When communicating online or investing with any professional, make sure you know who you're dealing with. [Imposters](#) might link to sites like BrokerCheck from [phishing](#) or similar scam websites, or through [social media](#), trying to steal your personal information or your money.

Please contact FINRA with any concerns.

About BrokerCheck®



BrokerCheck offers information on all current, and many former, registered securities brokers, and all current and former registered securities firms. FINRA strongly encourages investors to use BrokerCheck to check the background of securities brokers and brokerage firms before deciding to conduct, or continue to conduct, business with them.

- **What is included in a BrokerCheck report?**

- BrokerCheck reports for individual brokers include information such as employment history, professional qualifications, disciplinary actions, criminal convictions, civil judgments and arbitration awards. BrokerCheck reports for brokerage firms include information on a firm's profile, history, and operations, as well as many of the same disclosure events mentioned above.
- Please note that the information contained in a BrokerCheck report may include pending actions or allegations that may be contested, unresolved or unproven. In the end, these actions or allegations may be resolved in favor of the broker or brokerage firm, or concluded through a negotiated settlement with no admission or finding of wrongdoing.

- **Where did this information come from?**

- The information contained in BrokerCheck comes from FINRA's Central Registration Depository, or CRD® and is a combination of:
 - information FINRA and/or the Securities and Exchange Commission (SEC) require brokers and brokerage firms to submit as part of the registration and licensing process, and
 - information that regulators report regarding disciplinary actions or allegations against firms or brokers.

- **How current is this information?**

- Generally, active brokerage firms and brokers are required to update their professional and disciplinary information in CRD within 30 days. Under most circumstances, information reported by brokerage firms, brokers and regulators is available in BrokerCheck the next business day.

- **What if I want to check the background of an investment adviser firm or investment adviser representative?**

- To check the background of an investment adviser firm or representative, you can search for the firm or individual in BrokerCheck. If your search is successful, click on the link provided to view the available licensing and registration information in the SEC's Investment Adviser Public Disclosure (IAPD) website at <https://www.adviserinfo.sec.gov>. In the alternative, you may search the IAPD website directly or contact your state securities regulator at <http://www.finra.org/Investors/ToolsCalculators/BrokerCheck/P455414>.

- **Are there other resources I can use to check the background of investment professionals?**

- FINRA recommends that you learn as much as possible about an investment professional before deciding to work with them. Your state securities regulator can help you research brokers and investment adviser representatives doing business in your state.

Thank you for using FINRA BrokerCheck.



Using this site/information means that you accept the FINRA BrokerCheck Terms and Conditions. A complete list of Terms and Conditions can be found at brokercheck.finra.org



For additional information about the contents of this report, please refer to the User Guidance or www.finra.org/brokercheck. It provides a glossary of terms and a list of frequently asked questions, as well as additional resources. For more information about FINRA, visit www.finra.org.

**JULIUS W. AEBLY JR**

CRD# 1604730

Currently employed by and registered with the following Firm(s):

IA LYTLE INVESTMENTS
 101 LANG BOULEVARD
 GRAND ISLAND, NY 14072
 CRD# 297591
 Registered with this firm since: 08/27/2018

B OSAIC WEALTH, INC.
 101 LANG BLVD
 GRAND ISLAND, NY 14072
 CRD# 23131
 Registered with this firm since: 10/27/2017

Report Summary for this Broker

This report summary provides an overview of the broker's professional background and conduct. Additional information can be found in the detailed report.

Broker Qualifications**This broker is registered with:**

- 1 Self-Regulatory Organization
- 1 U.S. state or territory

This broker has passed:

- 1 Principal/Supervisory Exam
- 2 General Industry/Product Exams
- 2 State Securities Law Exams

Registration History**This broker was previously registered with the following securities firm(s):**

- IA ROYAL ALLIANCE ASSOCIATES, INC.**
 CRD# 23131
 SCOTTSDALE, AZ
 02/2018 - 08/2018
- B CHUBB SECURITIES CORPORATION**
 CRD# 3870
 05/1990 - 12/1992
- B VANDERBILT SECURITIES, INC.**
 CRD# 14280
 02/1990 - 06/1990

Disclosure Events

All individuals registered to sell securities or provide investment advice are required to disclose customer complaints and arbitrations, regulatory actions, employment terminations, bankruptcy filings, and criminal or civil judicial proceedings.

Are there events disclosed about this broker? **No**



Broker Qualifications

Registrations

This section provides the self-regulatory organizations (SROs) and U.S. states/territories the broker is currently registered and licensed with, the category of each license, and the date on which it became effective. This section also provides, for every brokerage firm with which the broker is currently employed, the address of each branch where the broker works.

This individual is currently registered with 1 SRO and is licensed in 1 U.S. state or territory through his or her employer.

Employment 1 of 2

Firm Name: **LYTLE INVESTMENTS**
 Main Office Address: **101 LANG BOULEVARD
 GRAND ISLAND, NY 14072**
 Firm CRD#: **297591**

	U.S. State/ Territory	Category	Status	Date
IA	New York	Investment Adviser Representative	Approved	08/21/2024

Branch Office Locations

101 LANG BOULEVARD
 GRAND ISLAND, NY 14072

Employment 2 of 2

Firm Name: **OSAIC WEALTH, INC.**
 Main Office Address: **18700 N. HAYDEN ROAD
 SUITE 255
 SCOTTSDALE, AZ 85255**
 Firm CRD#: **23131**

	SRO	Category	Status	Date
B	FINRA	General Securities Representative	Approved	10/27/2017

	U.S. State/ Territory	Category	Status	Date
B	New York	Agent	Approved	02/26/2018

Broker Qualifications



Employment 2 of 2, continued

Branch Office Locations

OSAIC WEALTH, INC.

101 LANG BLVD
GRAND ISLAND, NY 14072



Broker Qualifications

Industry Exams this Broker has Passed

This section includes all securities industry exams that the broker has passed. Under limited circumstances, a broker may attain a registration after receiving an exam waiver based on exams the broker has passed and/or qualifying work experience. Any exam waivers that the broker has received are not included below. A passed exam or exam waiver does not permit a broker to do business without an active SRO or state registration.

This individual has passed 1 principal/supervisory exam, 2 general industry/product exams, and 2 state securities law exams.

Principal/Supervisory Exams

Exam	Category	Date
B General Securities Principal Examination	Series 24	11/08/1989

General Industry/Product Exams

Exam	Category	Date
B Securities Industry Essentials Examination	SIE	10/01/2018
B General Securities Representative Examination	Series 7	10/27/2017

State Securities Law Exams

Exam	Category	Date
B IA Uniform Combined State Law Examination	Series 66	02/23/2018
B Uniform Securities Agent State Law Examination	Series 63	01/13/1987

Additional information about the above exams or other exams FINRA administers to brokers and other securities professionals can be found at www.finra.org/brokerqualifications/registeredrep/.

Broker Qualifications



Professional Designations

This section details that the representative has reported **0** professional designation(s).

No information reported.



Registration and Employment History

Registration History

The broker previously was registered with the following firms:

Registration Dates	Firm Name	CRD#	Branch Location
IA 02/2018 - 08/2018	ROYAL ALLIANCE ASSOCIATES, INC.	23131	SCOTTSDALE, AZ
B 05/1990 - 12/1992	CHUBB SECURITIES CORPORATION	3870	
B 02/1990 - 06/1990	VANDERBILT SECURITIES, INC.	14280	
B 05/1990 - 05/1990	ROBERT TODD FINANCIAL CORP.	7423	
B 05/1988 - 02/1990	J. T. MORAN & CO., INC.	15655	
B 01/1990 - 01/1990	VANDERBILT SECURITIES, INC.	14280	
B 02/1987 - 05/1988	SHERWOOD CAPITAL, INC.	10474	
B 12/1986 - 01/1987	FIRST JERSEY SECURITIES, INC.	6621	

Employment History

This section provides up to 10 years of an individual broker's employment history as reported by the individual broker on the most recently filed Form U4.

Please note that the broker is required to provide this information only while registered with FINRA or a national securities exchange and the information is not updated via Form U4 after the broker ceases to be registered. Therefore, an employment end date of "Present" may not reflect the broker's current employment status.

Employment	Employer Name	Position	Investment Related	Employer Location
07/2017 - Present	Lytle Investments	President	Y	Grand Island, NY, United States
07/2017 - Present	OSAIC WEALTH INC	REGISTERED REPRESENTATIVE	Y	GRAND ISLAND, NY, United States
01/1998 - Present	Aebly & Associates Insurance Services	President	Y	West Seneca, NY, United States

Other Business Activities

This section includes information, if any, as provided by the broker regarding other business activities the broker is currently engaged in either as a proprietor, partner, officer, director, employee, trustee, agent or otherwise. This section does not include non-investment related activity that is exclusively charitable, civic, religious or fraternal and is recognized as tax exempt.



Registration and Employment History

Other Business Activities, continued

1. AEBLY AND ASSOCIATES INSURANCE SERVICES, INC.

POSITION: Owner NATURE: Corporation INVESTMENT RELATED: Yes NUMBER OF HOURS: 130 SECURITIES TRADING HOURS: 130
START DATE: 01/01/1977

ADDRESS: 1471 UNION RD West Seneca NY 14224, United States

DESCRIPTION: President and 100% owner. Sell group medical, dental, life & disability insurance, long term care, individual life insurance.

2. AEBLY & ASSOCIATES LLC

POSITION: Owner NATURE: LLC INVESTMENT RELATED: Yes NUMBER OF HOURS: 10 SECURITIES TRADING HOURS: 10 START DATE:
05/01/2008

ADDRESS: 12125 Townsend Rd., Springville NY 14141, United States

DESCRIPTION: Own commercial buildings/real estate

3. AEBLY AND ASSOCIATES INSURANCE SERVICES 401(K) PLAN

POSITION: Trustee NATURE: 401(k) Plan INVESTMENT RELATED: Yes NUMBER OF HOURS: 1 SECURITIES TRADING HOURS: 1 START
DATE: 01/01/1977

ADDRESS: 1471 UNION RD West Seneca NY 14224, United States, West Seneca NY 14224, United States

DESCRIPTION: Trustee duties

4. ALPHA ASSOCIATES, INC.

POSITION: Owner NATURE: Corporation INVESTMENT RELATED: Yes NUMBER OF HOURS: 10 SECURITIES TRADING HOURS: 10 START
DATE: 01/01/2008

ADDRESS: So. Main Street, Batavia NY 14020, United States

DESCRIPTION: Owner, responsible for sale and servicing of property & casualty insurance.

5. RISK TRANSFER SOLUTIONS

POSITION: Owner NATURE: LLC INVESTMENT RELATED: No NUMBER OF HOURS: 4 SECURITIES TRADING HOURS: 4 START DATE:
02/01/2012

ADDRESS: 1471 UNION RD West Seneca NY 14224, United States, West Seneca NY 14224, United States

DESCRIPTION: 50% owner. Consult with other owner regarding insurance strategies for clients.

6. LYTLE INVESTMENTS

POSITION: President & 100% Owner NATURE: Corporation INVESTMENT RELATED: Yes NUMBER OF HOURS: 4 SECURITIES TRADING
HOURS: 4 START DATE: 06/01/2018

ADDRESS: 101 Lang Boulevard, Grand Island NY 14072, United States

DESCRIPTION: Person in-charge of Independent Investment Advisory firm.

7. LYTLE ASSOCIATES

POSITION: President & 100% Owner NATURE: Corporation INVESTMENT RELATED: Yes NUMBER OF HOURS: 4 SECURITIES TRADING
HOURS: 4 START DATE: 07/01/2017

ADDRESS: 101 Lang Boulevard, Grand Island NY 14072, United States

DESCRIPTION: Owner of firm selling fixed insurance.

End of Report



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