

# **BrokerCheck Report**

# **DANIEL ALAN BOYER**

CRD# 1609555

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When communicating online or investing with any professional, make sure you know who you're dealing with. <u>Imposters</u> might link to sites like BrokerCheck from <u>phishing</u> or similar scam websites, or through <u>social media</u>, trying to steal your personal information or your money.

Please contact FINRA with any concerns.

#### About BrokerCheck®



BrokerCheck offers information on all current, and many former, registered securities brokers, and all current and former registered securities firms. FINRA strongly encourages investors to use BrokerCheck to check the background of securities brokers and brokerage firms before deciding to conduct, or continue to conduct, business with them.

#### What is included in a BrokerCheck report?

- BrokerCheck reports for individual brokers include information such as employment history, professional
  qualifications, disciplinary actions, criminal convictions, civil judgments and arbitration awards. BrokerCheck
  reports for brokerage firms include information on a firm's profile, history, and operations, as well as many of the
  same disclosure events mentioned above.
- Please note that the information contained in a BrokerCheck report may include pending actions or allegations that may be contested, unresolved or unproven. In the end, these actions or allegations may be resolved in favor of the broker or brokerage firm, or concluded through a negotiated settlement with no admission or finding of wrongdoing.
- Where did this information come from?
- The information contained in BrokerCheck comes from FINRA's Central Registration Depository, or CRD® and is a combination of:
  - o information FINRA and/or the Securities and Exchange Commission (SEC) require brokers and brokerage firms to submit as part of the registration and licensing process, and
  - o information that regulators report regarding disciplinary actions or allegations against firms or brokers.
- How current is this information?
- Generally, active brokerage firms and brokers are required to update their professional and disciplinary information in CRD within 30 days. Under most circumstances, information reported by brokerage firms, brokers and regulators is available in BrokerCheck the next business day.
- What if I want to check the background of an investment adviser firm or investment adviser representative?
- To check the background of an investment adviser firm or representative, you can search for the firm or individual in BrokerCheck. If your search is successful, click on the link provided to view the available licensing and registration information in the SEC's Investment Adviser Public Disclosure (IAPD) website at https://www.adviserinfo.sec.gov. In the alternative, you may search the IAPD website directly or contact your state securities regulator at http://www.finra.org/Investors/ToolsCalculators/BrokerCheck/P455414.
- Are there other resources I can use to check the background of investment professionals?
- FINRA recommends that you learn as much as possible about an investment professional before deciding
  to work with them. Your state securities regulator can help you research brokers and investment adviser
  representatives doing business in your state.

Thank you for using FINRA BrokerCheck.



Using this site/information means that you accept the FINRA BrokerCheck Terms and Conditions. A complete list of Terms and Conditions can be found at brokercheck.finra.org



For additional information about the contents of this report, please refer to the User Guidance or www.finra.org/brokercheck. It provides a glossary of terms and a list of frequently asked questions, as well as additional resources. For more information about FINRA, visit www.finra.org.

www.finra.org/brokercheck User Guidance

#### **DANIEL A. BOYER**

CRD# 1609555

This broker is not currently registered.

# **Report Summary for this Broker**



This report summary provides an overview of the broker's professional background and conduct. Additional information can be found in the detailed report.

#### **Broker Qualifications**

This broker is not currently registered.

#### This broker has passed:

- 0 Principal/Supervisory Exams
- 1 General Industry/Product Exam
- 1 State Securities Law Exam

### **Registration History**

This broker was previously registered with the following securities firm(s):

B MUTUAL OF OMAHA INVESTOR SERVICES, INC.

CRD# 611 OMAHA, NE 11/1999 - 01/2000

B COUNTRY CAPITAL MANAGEMENT COMPANY

CRD# 12060 BLOOMINGTON, IL 12/1998 - 09/1999

B COUNTRY CAPITAL MANAGEMENT COMPANY

CRD# 12060 04/1990 - 12/1990

#### **Disclosure Events**

This broker has been involved in one or more disclosure events involving certain final criminal matters, regulatory actions, civil judicial proceedings, or arbitrations or civil litigations.

Are there events disclosed about this broker? Yes

The following types of disclosures have been reported:

Type Count
Criminal 1

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### **Broker Qualifications**



## Registrations

This section provides the self-regulatory organizations (SROs), states and U.S. territories the broker is currently registered and licensed with, the category of each registration, and the date on which the registration became effective. This section also provides, for each firm with which the broker is currently employed, the address of each branch where the broker works.

This broker is not currently registered.

### **Broker Qualifications**



### **Industry Exams this Broker has Passed**

This section includes all securities industry exams that the broker has passed. Under limited circumstances, a broker may attain a registration after receiving an exam waiver based on exams the broker has passed and/or qualifying work experience. Any exam waivers that the broker has received are not included below. A passed exam or exam waiver does not permit a broker to do business without an active SRO or state registration.

This individual has passed 0 principal/supervisory exams, 1 general industry/product exam, and 1 state securities law exam.

## **Principal/Supervisory Exams**

Exam		Category	Date		
	No information reported.				
Gene	General Industry/Product Exams				
Exam		Category	Date		
В	Investment Company Products/Variable Contracts Representative Examination	Series 6	12/21/1998		
State Securities Law Exams					
Exam		Category	Date		
В	Uniform Securities Agent State Law Examination	Series 63	12/22/1998		

Additional information about the above exams or other exams FINRA administers to brokers and other securities professionals can be found at www.finra.org/brokerqualifications/registeredrep/.

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# **Broker Qualifications**



# **Professional Designations**

This section details that the representative has reported **0** professional designation(s).

No information reported.

## **Registration and Employment History**



## **Registration History**

The broker previously was registered with the following securities firms:

Reg	istration Dates	Firm Name	CRD#	Branch Location
В	11/1999 - 01/2000	MUTUAL OF OMAHA INVESTOR SERVICES, INC.	611	OMAHA, NE
В	12/1998 - 09/1999	COUNTRY CAPITAL MANAGEMENT COMPANY	12060	BLOOMINGTON, IL
B	04/1990 - 12/1990	COUNTRY CAPITAL MANAGEMENT COMPANY	12060	
B	04/1987 - 06/1988	PML SECURITIES COMPANY	4082	

## **Employment History**

This section provides up to 10 years of an individual broker's employment history as reported by the individual broker on the most recently filed Form U4.

Please note that the broker is required to provide this information only while registered with FINRA or a national securities exchange and the information is not updated via Form U4 after the broker ceases to be registered. Therefore, an employment end date of "Present" may not reflect the broker's current employment status.

<b>Employment</b>	Employer Name	Position	Investment Related	Employer Location
02/2000 - Present	KANSAS FARM BUREAU	AGENT		MANHATTAN, KS, United States

### **Disclosure Events**



What you should know about reported disclosure events:

- 1. Disclosure events in BrokerCheck reports come from different sources:
  - As mentioned at the beginning of this report, information contained in BrokerCheck comes from brokers, their employing firms, and regulators. When more than one source reports information for the same disclosure event, all versions of the event will appear in the BrokerCheck report. The different versions are separated by a solid line with the reporting source labeled.

For your convenience, below is a matrix of the number and status of regulatory disclosure events involving this broker. Further information regarding these events can be found in the subsequent pages of this report. You also may wish to contact the broker to obtain further information regarding these events.

	Final	On Appeal
Criminal	1	0



#### **Disclosure Event Details**

This report provides the information exactly as it was reported to CRD and therefore some of the specific data fields contained in the report may be blank if the information was not provided to CRD.

#### **Criminal - Final Disposition**

This type of disclosure event involves a conviction or guilty plea for any felony or certain misdemeanor offenses, including bribery, perjury, forgery, counterfeiting, extortion, fraud, and wrongful taking of property that is currently on appeal.

Disclosure 1 of 1

Reporting Source: Broker

Court Details: CITY OF PHOENIX MUNICIPAL COURT, MERICOPA COUNTY, STATE OF

ARIZONA, COMPLAINT #8923313 CHARGE 13-2907.01A

**Charge Date:** 05/04/1999

Charge Details: 1. ONE COUNT ONLY. 2. MISDEMEANOR. 3. NO CONTACT/GUILTY

Felony? No
Current Status: Final

**Status Date:** 08/04/1999

Disposition Details: A. CONVICTED - 1ST DEGREE MISDEMEANOR (LOWEST POSSIBLE

CHARGE) B. 08/04/99 C. NO SENTANCE - NO PROBATION - ONLY FINE D. NONE E. ONLY PENALTY 08/04/99 FINE PAID - NOTHING ONGOING F. FINE

ONLY \$255.00 G. 08/04/99

Broker Statement SOLD HOME IN ANTICIPATION OF MOVE BACK TO KANSAS FROM ARIZONA.

WHILE TEMPORARILY STAYING WITH CO-WORKER ON 05/04/99 HE

WRECKED HIS CAR A BLOCK FROM HIS HOME (I WAS AT HIS HOME NOT INVOLVED IN THE ACCIDENT). HE LEFT THE SCENE WITH ANOTHER PARTY BEFORE POLICE ARRIVED. POLICE CAME TO HIS HOME AND INSISTED I KNEW WHERE HE WAS AND WAS EITHER HARBORING HIM IN THE HOUSE OR NOT GIVING THEM INFO TO FIND OUT HIS WHEREABOUTS, I DID NOT KNOW WHERE HE WENT. SINCE HE WASN'T THERE TO CHARGE OR ARREST. THEY DECIDED TO CHARGE ME WITH HINDERING THEIR

INVESTIGATION.

# **End of Report**



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