

BrokerCheck Report

CHRISTOPHER ANDREW MCCAMAN

CRD# 1619888

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When communicating online or investing with any professional, make sure you know who you're dealing with. [Imposters](#) might link to sites like BrokerCheck from [phishing](#) or similar scam websites, or through [social media](#), trying to steal your personal information or your money.

Please contact FINRA with any concerns.

About BrokerCheck®

BrokerCheck offers information on all current, and many former, registered securities brokers, and all current and former registered securities firms. FINRA strongly encourages investors to use BrokerCheck to check the background of securities brokers and brokerage firms before deciding to conduct, or continue to conduct, business with them.

- **What is included in a BrokerCheck report?**

- BrokerCheck reports for individual brokers include information such as employment history, professional qualifications, disciplinary actions, criminal convictions, civil judgments and arbitration awards. BrokerCheck reports for brokerage firms include information on a firm's profile, history, and operations, as well as many of the same disclosure events mentioned above.
- Please note that the information contained in a BrokerCheck report may include pending actions or allegations that may be contested, unresolved or unproven. In the end, these actions or allegations may be resolved in favor of the broker or brokerage firm, or concluded through a negotiated settlement with no admission or finding of wrongdoing.

- **Where did this information come from?**

- The information contained in BrokerCheck comes from FINRA's Central Registration Depository, or CRD® and is a combination of:
 - information FINRA and/or the Securities and Exchange Commission (SEC) require brokers and brokerage firms to submit as part of the registration and licensing process, and
 - information that regulators report regarding disciplinary actions or allegations against firms or brokers.

- **How current is this information?**

- Generally, active brokerage firms and brokers are required to update their professional and disciplinary information in CRD within 30 days. Under most circumstances, information reported by brokerage firms, brokers and regulators is available in BrokerCheck the next business day.

- **What if I want to check the background of an investment adviser firm or investment adviser representative?**

- To check the background of an investment adviser firm or representative, you can search for the firm or individual in BrokerCheck. If your search is successful, click on the link provided to view the available licensing and registration information in the SEC's Investment Adviser Public Disclosure (IAPD) website at <https://www.adviserinfo.sec.gov>. In the alternative, you may search the IAPD website directly or contact your state securities regulator at <http://www.finra.org/Investors/ToolsCalculators/BrokerCheck/P455414>.

- **Are there other resources I can use to check the background of investment professionals?**

- FINRA recommends that you learn as much as possible about an investment professional before deciding to work with them. Your state securities regulator can help you research brokers and investment adviser representatives doing business in your state.

Thank you for using FINRA BrokerCheck.



Using this site/information means that you accept the FINRA BrokerCheck Terms and Conditions. A complete list of Terms and Conditions can be found at brokercheck.finra.org



For additional information about the contents of this report, please refer to the User Guidance or www.finra.org/brokercheck. It provides a glossary of terms and a list of frequently asked questions, as well as additional resources. For more information about FINRA, visit www.finra.org.

**CHRISTOPHER A. MCCAMAN**

CRD# 1619888

Currently employed by and registered with the following Firm(s):

- IA GATEWAY WEALTH PARTNERS, LLC**
 206 E. Pier Street
 Port Washington, WI 53074
 CRD# 322789
 Registered with this firm since: 11/22/2022
- IA LPL FINANCIAL LLC**
 206 E PIER ST
 PORT WASHINGTON, WI 53074
 CRD# 6413
 Registered with this firm since: 08/22/2018
- B LPL FINANCIAL LLC**
 206 E PIER ST
 PORT WASHINGTON, WI 53074
 CRD# 6413
 Registered with this firm since: 08/22/2018

Report Summary for this Broker

This report summary provides an overview of the broker's professional background and conduct. Additional information can be found in the detailed report.

Broker Qualifications**This broker is registered with:**

- 1 Self-Regulatory Organization
- 10 U.S. states and territories

This broker has passed:

- 0 Principal/Supervisory Exams
- 4 General Industry/Product Exams
- 3 State Securities Law Exams

Registration History**This broker was previously registered with the following securities firm(s):**

- IA RAYMOND JAMES FINANCIAL SERVICES ADVISORS, INC**
 CRD# 149018
 SAINT PETERSBURG, FL
 03/2016 - 08/2018
- B RAYMOND JAMES FINANCIAL SERVICES, INC.**
 CRD# 6694
 STURGEON BAY, WI
 03/2016 - 08/2018
- IA DEL MAR WEALTH MANAGEMENT, LLC**
 CRD# 165367
 PORT WASHINGTON, WI
 10/2012 - 04/2016

Disclosure Events

All individuals registered to sell securities or provide investment advice are required to disclose customer complaints and arbitrations, regulatory actions, employment terminations, bankruptcy filings, and criminal or civil judicial proceedings.

Are there events disclosed about this broker? **Yes**

The following types of disclosures have been reported:

Type	Count
Regulatory Event	1



Broker Qualifications

Registrations

This section provides the self-regulatory organizations (SROs) and U.S. states/territories the broker is currently registered and licensed with, the category of each license, and the date on which it became effective. This section also provides, for every brokerage firm with which the broker is currently employed, the address of each branch where the broker works.

This individual is currently registered with 1 SRO and is licensed in 10 U.S. states and territories through his or her employer.

Employment 1 of 2

Firm Name: **GATEWAY WEALTH PARTNERS, LLC**
 Main Office Address: **100 W. LAWRENCE STREET
 SUITE 304
 APPLETON, WI 54911**
 Firm CRD#: **322789**

	U.S. State/ Territory	Category	Status	Date
IA	Wisconsin	Investment Adviser Representative	Approved	11/22/2022

Branch Office Locations

100 W. LAWRENCE STREET
 SUITE 304
 APPLETON, WI 54911

206 E. Pier Street
 Port Washington, WI 53074

Employment 2 of 2

Firm Name: **LPL FINANCIAL LLC**
 Main Office Address: **1055 LPL WAY
 FORT MILL, SC 29715**
 Firm CRD#: **6413**

	SRO	Category	Status	Date
B	FINRA	General Securities Representative	Approved	08/22/2018

Broker Qualifications



Employment 2 of 2, continued

	U.S. State/ Territory	Category	Status	Date
B	Arizona	Agent	Approved	05/20/2019
B	California	Agent	Approved	08/22/2018
B	Florida	Agent	Approved	08/22/2018
B	Illinois	Agent	Approved	10/21/2021
B	Maryland	Agent	Approved	04/10/2019
B	Massachusetts	Agent	Approved	05/19/2023
B	New Mexico	Agent	Approved	12/06/2022
B	Pennsylvania	Agent	Approved	04/07/2023
B	Washington	Agent	Approved	05/17/2022
B	Wisconsin	Agent	Approved	08/22/2018
IA	Wisconsin	Investment Adviser Representative	Approved	08/22/2018

Branch Office Locations

LPL FINANCIAL LLC
 206 E PIER ST
 PORT WASHINGTON, WI 53074



Broker Qualifications

Industry Exams this Broker has Passed

This section includes all securities industry exams that the broker has passed. Under limited circumstances, a broker may attain a registration after receiving an exam waiver based on exams the broker has passed and/or qualifying work experience. Any exam waivers that the broker has received are not included below. A passed exam or exam waiver does not permit a broker to do business without an active SRO or state registration.

This individual has passed 0 principal/supervisory exams, 4 general industry/product exams, and 3 state securities law exams.

Principal/Supervisory Exams

Exam	Category	Date
No information reported.		

General Industry/Product Exams

Exam	Category	Date
B Securities Industry Essentials Examination	SIE	10/01/2018
B General Securities Representative Examination	Series 7	03/05/2016
B Investment Company Products/Variable Contracts Representative Examination	Series 6	03/24/2009
B Non-Member General Securities Examination	Series 2	07/19/1996

State Securities Law Exams

Exam	Category	Date
B Uniform Securities Agent State Law Examination	Series 63	03/11/2016
B IA Uniform Combined State Law Examination	Series 66	04/16/2010
IA Uniform Investment Adviser Law Examination	Series 65	08/24/1995

Additional information about the above exams or other exams FINRA administers to brokers and other securities professionals can be found at www.finra.org/brokerqualifications/registeredrep/.



Broker Qualifications

Professional Designations

This section details that the representative has reported **2** professional designation(s).

Certified Financial Planner
Chartered Financial Analyst

This representative holds or did hold **2** professional designation(s) that may have been used to qualify as an Investment Advisor representative. Please check with the appropriate designation authority for verification that the designation is still in effect. The contact information for these professional designation authorities can be found on the website for the North American Securities Administrators Association at <http://www.nasaa.org>



Registration and Employment History

Registration History

The broker previously was registered with the following firms:

Registration Dates	Firm Name	CRD#	Branch Location
IA 03/2016 - 08/2018	RAYMOND JAMES FINANCIAL SERVICES ADVISORS, INC	149018	STURGEON BAY, WI
B 03/2016 - 08/2018	RAYMOND JAMES FINANCIAL SERVICES, INC.	6694	STURGEON BAY, WI
IA 10/2012 - 04/2016	DEL MAR WEALTH MANAGEMENT, LLC	165367	PORT WASHINGTON, WI
IA 09/2013 - 09/2014	CAPITAL WEALTH ADVISORS, LTD.	155263	WEST BEND, WI
B 10/2012 - 10/2012	J.P. MORGAN SECURITIES LLC	79	WEST BEND, WI
IA 10/2012 - 10/2012	J.P. MORGAN SECURITIES LLC	79	WEST BEND, WI
IA 04/2010 - 10/2012	CHASE INVESTMENT SERVICES CORP.	25574	WEST BEND, WI
B 03/2009 - 10/2012	CHASE INVESTMENT SERVICES CORP.	25574	WEST BEND, WI
IA 07/2000 - 12/2005	STRATUS CAPITAL MANAGEMENT, LLC	111385	SAN DIEGO, CA
B 02/1987 - 08/1987	FIRST INVESTORS CORPORATION	305	

Employment History

This section provides up to 10 years of an individual broker's employment history as reported by the individual broker on the most recently filed Form U4.

Please note that the broker is required to provide this information only while registered with FINRA or a national securities exchange and the information is not updated via Form U4 after the broker ceases to be registered. Therefore, an employment end date of "Present" may not reflect the broker's current employment status.

Employment	Employer Name	Position	Investment Related	Employer Location
11/2022 - Present	GATEWAY WEALTH PARTNERS, LLC	INVESTMENT ADVISOR REPRESENTATIVE	Y	MANITOWOC, WI, United States
08/2018 - Present	LPL Financial, LLC	Registered Representative	Y	Port Washington, WI, United States
03/2016 - 08/2018	RAYMOND JAMES FINANCIAL SERVICES	REGISTERED REPRESENTATIVE	Y	PORT WASHINGTON, WI, United States



Registration and Employment History

Employment History, continued

Employment	Employer Name	Position	Investment Related	Employer Location
03/2016 - 08/2018	RAYMOND JAMES FINANCIAL SERVICES ADVISORS INC.	INVESTMENT ADVISER REP	Y	STURGEON BAY, WI, United States
02/2016 - 08/2018	BLAHNIK INVESTMENT GROUP	ADVISOR	N	PORT WASHINGTON, WI, United States
10/2012 - 03/2016	DEL MAR WEALTH MANAGEMENT	PORTFOLIO MANAGER	Y	PORT WASHINGTON, WI, United States

Other Business Activities

This section includes information, if any, as provided by the broker regarding other business activities the broker is currently engaged in either as a proprietor, partner, officer, director, employee, trustee, agent or otherwise. This section does not include non-investment related activity that is exclusively charitable, civic, religious or fraternal and is recognized as tax exempt.

1) 9/13/2018 - Del Mar Strategic Partners, LLC - Investment Related - Home Based - Business Entity For Tax/Investment Purposes Only - Start Date: 08/29/2018 - 1 Hour Per Month/0 Hours During Securities Trading.

2) 02/19/2019 - Miller and Mecoli LLC - Investment related - Weston, WI - Real Estate Rental - start date:08/22/2018 - 2 hours/mo - 0 hours during trading - Property is run by a professional and local property manager so my activity is minimal.

3) 11/21/2022 - Gateway Financial Partners - Investment Related - At Reported Business Location(s) - DBA for LPL Business (entity for LPL business) - Start Date - 11/15/2022 - 160 Hours Per Month/160 Hours During Securities Trading

4) 12/01/2022 - Gateway Wealth Partners LLC - Investment Related - At Reported Business Location(s) - Registered Investment Advisor Hybrid - IAR - Start Date - 11/15/2022 - 160 Hours Per Month/160 Hours During Securities Trading - I provide investment advisory services through Gateway Wealth Partners, an independent investment advisor firm. I started this business activity in 11/2022. I expect to spend approximately 160 hours per month on this activity. Please see the advisory firm's Form ADV for more information about its address, the nature of its business, its owners, and its services at <http://www.adviserinfo.sec.gov/IAPD>. The firm is separate from and independent of LPL Financial.

Disclosure Events



What you should know about reported disclosure events:

1. All individuals registered to sell securities or provide investment advice are required to disclose customer complaints and arbitrations, regulatory actions, employment terminations, bankruptcy filings, and criminal or civil judicial proceedings.
2. **Certain thresholds must be met before an event is reported to CRD, for example:**
 - A law enforcement agency must file formal charges before a broker is required to disclose a particular criminal event.
 - A customer dispute must involve allegations that a broker engaged in activity that violates certain rules or conduct governing the industry and that the activity resulted in damages of at least \$5,000.
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3. **Disclosure events in BrokerCheck reports come from different sources:**
 - As mentioned at the beginning of this report, information contained in BrokerCheck comes from brokers, brokerage firms and regulators. When more than one of these sources reports information for the same disclosure event, all versions of the event will appear in the BrokerCheck report. The different versions will be separated by a solid line with the reporting source labeled.
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4. **There are different statuses and dispositions for disclosure events:**
 - A disclosure event may have a status of *pending*, *on appeal*, or *final*.
 - A "pending" event involves allegations that have not been proven or formally adjudicated.
 - An event that is "on appeal" involves allegations that have been adjudicated but are currently being appealed.
 - A "final" event has been concluded and its resolution is not subject to change.
 - A final event generally has a disposition of *adjudicated*, *settled* or *otherwise resolved*.
 - An "adjudicated" matter includes a disposition by (1) a court of law in a criminal or civil matter, or (2) an administrative panel in an action brought by a regulator that is contested by the party charged with some alleged wrongdoing.
 - A "settled" matter generally involves an agreement by the parties to resolve the matter. Please note that brokers and brokerage firms may choose to settle customer disputes or regulatory matters for business or other reasons.
 - A "resolved" matter usually involves no payment to the customer and no finding of wrongdoing on the part of the individual broker. Such matters generally involve customer disputes.

For your convenience, below is a matrix of the number and status of disclosure events involving this broker. Further information regarding these events can be found in the subsequent pages of this report. You also may wish to contact the broker to obtain further information regarding these events.

	Pending	Final	On Appeal
Regulatory Event	0	1	0



Disclosure Event Details

When evaluating this information, please keep in mind that a disclosure event may be pending or involve allegations that are contested and have not been resolved or proven. The matter may, in the end, be withdrawn, dismissed, resolved in favor of the broker, or concluded through a negotiated settlement for certain business reasons (e.g., to maintain customer relationships or to limit the litigation costs associated with disputing the allegations) with no admission or finding of wrongdoing.

This report provides the information exactly as it was reported to CRD and therefore some of the specific data fields contained in the report may be blank if the information was not provided to CRD.

Regulatory - Final

This type of disclosure event may involve (1) a final, formal proceeding initiated by a regulatory authority (e.g., a state securities agency, self-regulatory organization, federal regulatory such as the Securities and Exchange Commission, foreign financial regulatory body) for a violation of investment-related rules or regulations; or (2) a revocation or suspension of a broker's authority to act as an attorney, accountant, or federal contractor.

Disclosure 1 of 1

Reporting Source:	Broker
Regulatory Action Initiated By:	CALIFORNIA DEPARTMENT OF CORPORATIONS
Sanction(s) Sought:	Other
Other Sanction(s) Sought:	ADMINISTRATIVE PENALTIES
Date Initiated:	05/02/2003
Docket/Case Number:	923-4074
Employing firm when activity occurred which led to the regulatory action:	STRATUS CAPITAL MANAGEMENT LLC
Product Type:	Mutual Fund(s)
Other Product Type(s):	
Allegations:	ON MAY 2ND, 2003 THE COMPANY WAS SERVED WITH A COMPLAINT FROM THE CA DOC INDICATING THAT ON SEVERAL OCCASSIONS THE COMPANY HAD VIOLATED THEIR RULE FOR MAINTAINING A MINIMUM CASH BALANCE IN THE CORPORATE ACCOUNT. DISCONTINUE VIOLATIONS PURSUANT TO CORPORATIONS CODE SECTION 25249. ADMINISTRATIVE PENALTIES PURSUANT TO CORPORATIONS CODE SECTION 25252.
Current Status:	Final



Resolution:	Settled
Resolution Date:	08/25/2003
Sanctions Ordered:	Monetary/Fine \$3,500.00
Other Sanctions Ordered:	
Sanction Details:	DISPOSTION WAS A FINE OF \$3,500.00 PAID ON 08/28/2003. INITIAL FINE WAS \$5,000.00 WITH A PORTION WAIVED TO A FINAL FINE OF \$3,500.00.
Broker Statement	FINAL RESOLUTION WAS A MINOR MONETARY FINE AND A VERBAL AGREEMENT BETWEEN MYSELF AND SEAN ROONEY (CA DOC COUNSEL) THAT I WOULD SEND MY MONTHLY TNC REPORTS BY BOTH FAX AND CERTIFIED MAIL EACH MONTH TO AVOID ANY FUTURE OMISSIONS.

End of Report



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