BrokerCheck Report

WALTER MARTIN PECZON

CRD# 1762762

When communicating online or investing with any professional, make sure you know who you're dealing with. Imposters might link to sites like BrokerCheck from phishing or similar scam websites, or through social media, trying to steal your personal information or your money.

Please contact FINRA with any concerns.

About BrokerCheck®

BrokerCheck offers information on all current, and many former, registered securities brokers, and all current and former registered securities firms. FINRA strongly encourages investors to use BrokerCheck to check the background of securities brokers and brokerage firms before deciding to conduct, or continue to conduct, business with them.

- **What is included in a BrokerCheck report?**
- BrokerCheck reports for individual brokers include information such as employment history, professional qualifications, disciplinary actions, criminal convictions, civil judgments and arbitration awards. BrokerCheck reports for brokerage firms include information on a firm's profile, history, and operations, as well as many of the same disclosure events mentioned above.
- Please note that the information contained in a BrokerCheck report may include pending actions or allegations that may be contested, unresolved or unproven. In the end, these actions or allegations may be resolved in favor of the broker or brokerage firm, or concluded through a negotiated settlement with no admission or finding of wrongdoing.
- **Where did this information come from?**
- The information contained in BrokerCheck comes from FINRA's Central Registration Depository, or CRD® and is a combination of:
  - information FINRA and/or the Securities and Exchange Commission (SEC) require brokers and brokerage firms to submit as part of the registration and licensing process, and
  - information that regulators report regarding disciplinary actions or allegations against firms or brokers.
- **How current is this information?**
- Generally, active brokerage firms and brokers are required to update their professional and disciplinary information in CRD within 30 days. Under most circumstances, information reported by brokerage firms, brokers and regulators is available in BrokerCheck the next business day.
- **What if I want to check the background of an investment adviser firm or investment adviser representative?**
- To check the background of an investment adviser firm or representative, you can search for the firm or individual in BrokerCheck. If your search is successful, click on the link provided to view the available licensing and registration information in the SEC's Investment Adviser Public Disclosure (IAPD) website at https://www.adviserinfo.sec.gov. In the alternative, you may search the IAPD website directly or contact your state securities regulator at http://www.finra.org/Investors/ToolsCalculators/BrokerCheck/P455414.
- **Are there other resources I can use to check the background of investment professionals?**
- FINRA recommends that you learn as much as possible about an investment professional before deciding to work with them. Your state securities regulator can help you research brokers and investment adviser representatives doing business in your state.

Thank you for using FINRA BrokerCheck.

For additional information about the contents of this report, please refer to the User Guidance or www.finra.org/brokercheck. It provides a glossary of terms and a list of frequently asked questions, as well as additional resources. For more information about FINRA, visit www.finra.org.

WALTER M. PECZON

CRD# 1762762

Currently employed by and registered with the following Firm(s):

B **AIRWALLEX CAPITAL (US) LLC**
188 SPEAR STREET, 9TH FLOOR
SAN FRANCISCO, CA 94105
CRD# 332388
Registered with this firm since: 10/15/2025

Report Summary for this Broker

This report summary provides an overview of the broker's professional background and conduct. Additional information can be found in the detailed report.

Broker Qualifications

This broker is registered with:

- 1 Self-Regulatory Organization
- 53 U.S. states and territories

This broker has passed:

- 1 Principal/Supervisory Exam
- 4 General Industry/Product Exams
- 2 State Securities Law Exams

Registration History

This broker was previously registered with the following securities firm(s):

B **TECHSPEED CLEARING LLC**
CRD# 313766
ADDISON, TX
02/2022 - 08/2025

B **HI2 SECURITIES, INC.**
CRD# 151120
NEW YORK, NY
09/2021 - 11/2023

B **PETER ELISH INVESTMENTS SECURITIES**
CRD# 24409
FORT WORTH, TX
06/2022 - 10/2023

Disclosure Events

All individuals registered to sell securities or provide investment advice are required to disclose customer complaints and arbitrations, regulatory actions, employment terminations, bankruptcy filings, and criminal or civil judicial proceedings.

Are there events disclosed about this broker? **No**

Broker Qualifications

Registrations

This section provides the self-regulatory organizations (SROs) and U.S. states/territories the broker is currently registered and licensed with, the category of each license, and the date on which it became effective. This section also provides, for every brokerage firm with which the broker is currently employed, the address of each branch where the broker works.

This individual is currently registered with 1 SRO and is licensed in 53 U.S. states and territories through his or her employer.

Employment 1 of 1

Firm Name: **AIRWALLEX CAPITAL (US) LLC**

Main Office Address: **188 SPEAR STREET, 9TH FLOOR**
SAN FRANCISCO, CA 94105

Firm CRD#: **332388**

	SRO	Category	Status	Date
B	FINRA	General Securities Principal	Approved	10/15/2025
B	FINRA	General Securities Representative	Approved	10/15/2025
B	FINRA	Limited Representative-Prvt Scrts Ofrngs	Approved	10/15/2025
B	FINRA	Operations Professional	Approved	10/15/2025

	U.S. State/ Territory	Category	Status	Date
B	Alabama	Agent	Approved	07/21/2026
B	Alaska	Agent	Approved	03/05/2026
B	Arizona	Agent	Approved	03/18/2026
B	Arkansas	Agent	Approved	03/25/2026
B	California	Agent	Approved	12/09/2025
B	Colorado	Agent	Approved	04/23/2026
B	Connecticut	Agent	Approved	03/09/2026
B	Delaware	Agent	Approved	04/23/2026
B	District of Columbia	Agent	Approved	04/01/2026

Broker Qualifications

Employment 1 of 1, continued

	U.S. State/ Territory	Category	Status	Date
B	Florida	Agent	Approved	12/19/2025
B	Georgia	Agent	Approved	03/06/2026
B	Hawaii	Agent	Approved	04/23/2026
B	Idaho	Agent	Approved	04/23/2026
B	Illinois	Agent	Approved	04/03/2026
B	Indiana	Agent	Approved	03/24/2026
B	Iowa	Agent	Approved	03/05/2026
B	Kansas	Agent	Approved	04/23/2026
B	Kentucky	Agent	Approved	03/05/2026
B	Louisiana	Agent	Approved	02/04/2026
B	Maine	Agent	Approved	03/05/2026
B	Maryland	Agent	Approved	04/23/2026
B	Massachusetts	Agent	Approved	03/30/2026
B	Michigan	Agent	Approved	03/05/2026
B	Minnesota	Agent	Approved	04/03/2026
B	Mississippi	Agent	Approved	04/23/2026
B	Missouri	Agent	Approved	03/20/2026
B	Montana	Agent	Approved	03/27/2026
B	Nebraska	Agent	Approved	03/13/2026
B	Nevada	Agent	Approved	03/05/2026
B	New Hampshire	Agent	Approved	03/10/2026

Broker Qualifications

Employment 1 of 1, continued

	U.S. State/ Territory	Category	Status	Date
B	New Jersey	Agent	Approved	04/23/2026
B	New Mexico	Agent	Approved	03/09/2026
B	New York	Agent	Approved	01/08/2026
B	North Carolina	Agent	Approved	01/30/2026
B	North Dakota	Agent	Approved	04/23/2026
B	Ohio	Agent	Approved	03/11/2026
B	Oklahoma	Agent	Approved	04/23/2026
B	Oregon	Agent	Approved	03/19/2026
B	Pennsylvania	Agent	Approved	01/21/2026
B	Puerto Rico	Agent	Approved	06/16/2026
B	Rhode Island	Agent	Approved	03/05/2026
B	South Carolina	Agent	Approved	03/23/2026
B	South Dakota	Agent	Approved	02/09/2026
B	Tennessee	Agent	Approved	05/18/2026
B	Texas	Agent	Approved	06/03/2026
B	Utah	Agent	Approved	04/23/2026
B	Vermont	Agent	Approved	01/21/2026
B	Virgin Islands	Agent	Approved	04/23/2026
B	Virginia	Agent	Approved	03/17/2026
B	Washington	Agent	Approved	04/23/2026
B	West Virginia	Agent	Approved	03/19/2026

Broker Qualifications

Employment 1 of 1, continued

	U.S. State/ Territory	Category	Status	Date
B	Wisconsin	Agent	Approved	03/05/2026
B	Wyoming	Agent	Approved	04/23/2026

Branch Office Locations

AIRWALLEX CAPITAL (US) LLC
188 SPEAR STREET, 9TH FLOOR
SAN FRANCISCO, CA 94105

AIRWALLEX CAPITAL (US) LLC
188 SPEAR STREET, 9TH FLOOR
SAN FRANCISCO, CA 94105

Broker Qualifications

Industry Exams this Broker has Passed

This section includes all securities industry exams that the broker has passed. Under limited circumstances, a broker may attain a registration after receiving an exam waiver based on exams the broker has passed and/or qualifying work experience. Any exam waivers that the broker has received are not included below. A passed exam or exam waiver does not permit a broker to do business without an active SRO or state registration.

This individual has passed 1 principal/supervisory exam, 4 general industry/product exams, and 2 state securities law exams.

Principal/Supervisory Exams

	Exam	Category	Date
B	General Securities Principal Examination	Series 24	01/08/2021

General Industry/Product Exams

	Exam	Category	Date
B	Limited Representative-Private Securities Offerings	Series 82TO	05/15/2023
B	Operations Professional Examination	Series 99TO	01/02/2023
B	Securities Industry Essentials Examination	SIE	10/01/2018
B	General Securities Representative Examination	Series 7	05/09/1994

State Securities Law Exams

	Exam	Category	Date
IA	Uniform Investment Adviser Law Examination	Series 65	12/20/1999
B	Uniform Securities Agent State Law Examination	Series 63	11/29/1995

Additional information about the above exams or other exams FINRA administers to brokers and other securities professionals can be found at www.finra.org/brokerqualifications/registeredrep/.

Broker Qualifications

Professional Designations

This section details that the representative has reported **0** professional designation(s).

No information reported.

Only professional designations listed in Question 8 of the Form U4 will appear in this section if the appropriate box is checked and verified by the issuing organization at the time of the filing. Learn more about eligible designations at IARD and NASAA.

Registration and Employment History

Registration History

The broker previously was registered with the following firms:

Registration Dates		Firm Name	CRD#	Branch Location
B	02/2022 - 08/2025	TECHSPEED CLEARING LLC	313766	ADDISON, TX
B	09/2021 - 11/2023	HI2 SECURITIES, INC.	151120	NEW YORK, NY
B	06/2022 - 10/2023	PETER ELISH INVESTMENTS SECURITIES	24409	FORT WORTH, TX
B	05/2023 - 06/2023	SPRINKLEBROKERAGE, INC.	285216	SAN DIEGO, CA
B	05/2018 - 02/2021	VELOX CLEARING LLC	290215	MIAMI, FL
B	02/2017 - 07/2017	VELOX SECURITIES, INC.	285402	ANAHEIM, CA
B	07/2011 - 01/2017	ELECTRONIC TRANSACTION CLEARING, INC.	146122	DALLAS, TX
B	03/2009 - 07/2011	FINANCIAL ADVISERS OF AMERICA, LLC	142170	CARLSBAD, CA
B	06/2006 - 12/2008	GOLD COAST SECURITIES, INC.	110925	GOLD RIVER, CA
B	04/2000 - 06/2004	NATIONAL PLANNING CORPORATION	29604	LOS ANGELES, CA
B	11/1995 - 08/1998	BEAR, STEARNS & CO. INC.	79	NEW YORK, NY
B	11/1995 - 08/1998	BEAR, STEARNS SECURITIES CORP.	28432	BROOKLYN, NY
B	05/1994 - 11/1995	WEDBUSH MORGAN SECURITIES INC.	877	PASADENA, CA
B	11/1989 - 06/1990	WEDBUSH MORGAN SECURITIES INC.	877	PASADENA, CA
B	02/1988 - 04/1989	MERRILL LYNCH, PIERCE, FENNER & SMITH INCORPORATED	7691	NEW YORK, NY

Employment History

Registration and Employment History

Employment History, continued

This section provides up to 10 years of an individual broker's employment history as reported by the individual broker on the most recently filed Form U4.

Please note that the broker is required to provide this information only while registered with FINRA or a national securities exchange and the information is not updated via Form U4 after the broker ceases to be registered. Therefore, an employment end date of "Present" may not reflect the broker's current employment status.

Employment	Employer Name	Position	Investment Related	Employer Location
06/2024 - Present	Airwallex Capital (US) LLC	Chief Executive Officer	Y	San Francisco, CA, United States
02/2021 - 08/2025	TECHSPEED CLEARING LLC	Chief Executive Officer	Y	Rancho Santa Margarita, CA, United States
09/2021 - 11/2023	HAITOU SECURITIES, INC.	PRINCIPAL	Y	NEW YORK, NY, United States
06/2022 - 10/2023	Peter Elish Investments Securities	Principal	Y	Fort Worth, TX, United States
05/2023 - 06/2023	SPRINKLEBROKERAGE, INC.	PRINICIPAL	Y	SAN DIEGO, CA, United States
09/2020 - 01/2021	Velox Clearing LLC	Chief Executive Officer	Y	Anaheim, CA, United States
09/2017 - 09/2020	VELOX CLEARING LLC	EXECUTIVE VICE PRESIDENT - SALES	Y	ANAHEIM, CA, United States
01/2017 - 09/2017	VELOX SECURITIES, INC.	EXECUTIVE VICE PRESIDENT- SALES	Y	ANAHEIM, CA, United States
06/2011 - 01/2017	ELECTRONIC TRANSACTION CLEARING, INC.	DIRECTOR, CLIENT SERVICES	Y	LOS ANGELES, CA, United States

Other Business Activities

This section includes information, if any, as provided by the broker regarding other business activities the broker is currently engaged in either as a proprietor, partner, officer, director, employee, trustee, agent or otherwise. This section does not include non-investment related activity that is exclusively charitable, civic, religious or fraternal and is recognized as tax exempt.

Big Brown Dog LLC, 11 Bushwood Circle, Ladera Ranch, CA 92694
non-FINRA member, Owner & Sole Member, business consulting for broker dealers
Non-Investment related, 0-1 hour per month during non-market hours, started 02/2021 as Independent Contractor/Consultant

Compliers Consulting Services LLC, 530 East 76th St., #30H, New York, NY 10021
non-FINRA member, business consulting for broker dealers
Non-Investment related, 0-1 hour per month during non-market hours, started 06/2022 as Independent Contractor/Consultant

End of Report

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