

BrokerCheck Report

Melissa ANNE Callison

CRD# 1845742

<u>Section Title</u>	<u>Page(s)</u>
Report Summary	1
Broker Qualifications	2 - 7
Registration and Employment History	9 - 10



When communicating online or investing with any professional, make sure you know who you're dealing with. [Imposters](#) might link to sites like BrokerCheck from [phishing](#) or similar scam websites, or through [social media](#), trying to steal your personal information or your money.

Please contact FINRA with any concerns.

About BrokerCheck®



BrokerCheck offers information on all current, and many former, registered securities brokers, and all current and former registered securities firms. FINRA strongly encourages investors to use BrokerCheck to check the background of securities brokers and brokerage firms before deciding to conduct, or continue to conduct, business with them.

- **What is included in a BrokerCheck report?**

- BrokerCheck reports for individual brokers include information such as employment history, professional qualifications, disciplinary actions, criminal convictions, civil judgments and arbitration awards. BrokerCheck reports for brokerage firms include information on a firm's profile, history, and operations, as well as many of the same disclosure events mentioned above.
- Please note that the information contained in a BrokerCheck report may include pending actions or allegations that may be contested, unresolved or unproven. In the end, these actions or allegations may be resolved in favor of the broker or brokerage firm, or concluded through a negotiated settlement with no admission or finding of wrongdoing.

- **Where did this information come from?**

- The information contained in BrokerCheck comes from FINRA's Central Registration Depository, or CRD® and is a combination of:
 - o information FINRA and/or the Securities and Exchange Commission (SEC) require brokers and brokerage firms to submit as part of the registration and licensing process, and
 - o information that regulators report regarding disciplinary actions or allegations against firms or brokers.

- **How current is this information?**

- Generally, active brokerage firms and brokers are required to update their professional and disciplinary information in CRD within 30 days. Under most circumstances, information reported by brokerage firms, brokers and regulators is available in BrokerCheck the next business day.

- **What if I want to check the background of an investment adviser firm or investment adviser representative?**

- To check the background of an investment adviser firm or representative, you can search for the firm or individual in BrokerCheck. If your search is successful, click on the link provided to view the available licensing and registration information in the SEC's Investment Adviser Public Disclosure (IAPD) website at <https://www.adviserinfo.sec.gov>. In the alternative, you may search the IAPD website directly or contact your state securities regulator at <http://www.finra.org/Investors/ToolsCalculators/BrokerCheck/P455414>.

- **Are there other resources I can use to check the background of investment professionals?**

- FINRA recommends that you learn as much as possible about an investment professional before deciding to work with them. Your state securities regulator can help you research brokers and investment adviser representatives doing business in your state.

Thank you for using FINRA BrokerCheck.



Using this site/information means that you accept the FINRA BrokerCheck Terms and Conditions. A complete list of Terms and Conditions can be found at brokercheck.finra.org



For additional information about the contents of this report, please refer to the User Guidance or www.finra.org/brokercheck. It provides a glossary of terms and a list of frequently asked questions, as well as additional resources. For more information about FINRA, visit www.finra.org.

Melissa A. Callison

CRD# 1845742

Currently employed by and registered with the following Firm(s):

- B BOFA SECURITIES, INC.**
 ONE BRYANT PARK
 NEW YORK, NY 10036
 CRD# 283942
 Registered with this firm since: 05/10/2019

Report Summary for this Broker



This report summary provides an overview of the broker's professional background and conduct. Additional information can be found in the detailed report.

Broker Qualifications

This broker is registered with:

- 26 Self-Regulatory Organizations
- 1 U.S. state or territory

This broker has passed:

- 3 Principal/Supervisory Exams
- 2 General Industry/Product Exams
- 1 State Securities Law Exam

Registration History

This broker was previously registered with the following securities firm(s):

- B MERRILL LYNCH, PIERCE, FENNER & SMITH INCORPORATED**
 CRD# 7691
 NEW YORK, NY
 03/2016 - 05/2019
- B CHARLES SCHWAB & CO., INC.**
 CRD# 5393
 SAN FRANCISCO, CA
 05/2002 - 12/2014
- B SALOMON SMITH BARNEY INC.**
 CRD# 7059
 NEW YORK, NY
 07/1999 - 04/2002

Disclosure Events

All individuals registered to sell securities or provide investment advice are required to disclose customer complaints and arbitrations, regulatory actions, employment terminations, bankruptcy filings, and criminal or civil judicial proceedings.

Are there events disclosed about this broker? **No**



Broker Qualifications

Registrations

This section provides the self-regulatory organizations (SROs) and U.S. states/territories the broker is currently registered and licensed with, the category of each license, and the date on which it became effective. This section also provides, for every brokerage firm with which the broker is currently employed, the address of each branch where the broker works.

This individual is currently registered with 26 SROs and is licensed in 1 U.S. state or territory through his or her employer.

Employment 1 of 1

Firm Name: **BOFA SECURITIES, INC.**

Main Office Address: **ONE BRYANT PARK
NEW YORK, NY 10036**

Firm CRD#: **283942**

	SRO	Category	Status	Date
B	BOX Exchange LLC	General Securities Principal	Approved	05/10/2019
B	BOX Exchange LLC	General Securities Representative	Approved	05/10/2019
B	BOX Exchange LLC	General Securities Sales Supervisor	Approved	05/10/2019
B	Cboe BYX Exchange, Inc.	General Securities Principal	Approved	05/10/2019
B	Cboe BYX Exchange, Inc.	General Securities Representative	Approved	05/10/2019
B	Cboe BZX Exchange, Inc.	General Securities Principal	Approved	05/10/2019
B	Cboe BZX Exchange, Inc.	General Securities Representative	Approved	05/10/2019
B	Cboe C2 Exchange, Inc.	General Securities Representative	Approved	05/10/2019
B	Cboe C2 Exchange, Inc.	General Securities Sales Supervisor	Approved	05/10/2019
B	Cboe C2 Exchange, Inc.	General Securities Principal	Approved	07/06/2021
B	Cboe EDGA Exchange, Inc.	General Securities Principal	Approved	05/10/2019
B	Cboe EDGA Exchange, Inc.	General Securities Representative	Approved	05/10/2019
B	Cboe EDGA Exchange, Inc.	General Securities Sales Supervisor	Approved	05/10/2019
B	Cboe EDGX Exchange, Inc.	General Securities Principal	Approved	05/10/2019
B	Cboe EDGX Exchange, Inc.	General Securities Representative	Approved	05/10/2019

Broker Qualifications



Employment 1 of 1, continued

SRO	Category	Status	Date
B Cboe EDGX Exchange, Inc.	General Securities Sales Supervisor	Approved	05/10/2019
B Cboe Exchange, Inc.	General Securities Representative	Approved	05/10/2019
B Cboe Exchange, Inc.	General Securities Sales Supervisor	Approved	05/10/2019
B Cboe Exchange, Inc.	General Securities Principal	Approved	07/06/2021
B FINRA	General Securities Principal	Approved	05/10/2019
B FINRA	General Securities Representative	Approved	05/10/2019
B FINRA	General Securities Sales Supervisor	Approved	05/10/2019
B Investors' Exchange LLC	General Securities Principal	Approved	05/10/2019
B Investors' Exchange LLC	General Securities Representative	Approved	05/10/2019
B Long-Term Stock Exchange, Inc.	General Securities Representative	Approved	09/08/2020
B Long-Term Stock Exchange, Inc.	General Securities Principal	Approved	09/09/2020
B MEMX LLC	General Securities Principal	Approved	09/09/2020
B MEMX LLC	General Securities Representative	Approved	09/09/2020
B MEMX LLC	General Securities Sales Supervisor	Approved	09/09/2020
B MIAX Emerald, LLC	General Securities Principal	Approved	05/10/2019
B MIAX Emerald, LLC	General Securities Representative	Approved	05/10/2019
B MIAX Emerald, LLC	General Securities Sales Supervisor	Approved	05/10/2019
B MIAX PEARL, LLC	General Securities Principal	Approved	05/10/2019
B MIAX PEARL, LLC	General Securities Representative	Approved	05/10/2019
B MIAX PEARL, LLC	General Securities Sales Supervisor	Approved	05/10/2019
B MIAX Sapphire	General Securities Principal	Approved	09/23/2024
B MIAX Sapphire	General Securities Representative	Approved	09/23/2024

Broker Qualifications



Employment 1 of 1, continued

SRO	Category	Status	Date
B MIAX Sapphire	General Securities Sales Supervisor	Approved	09/23/2024
B Miami International Securities Exchange, LLC	General Securities Principal	Approved	05/10/2019
B Miami International Securities Exchange, LLC	General Securities Representative	Approved	05/10/2019
B Miami International Securities Exchange, LLC	General Securities Sales Supervisor	Approved	05/10/2019
B NYSE American LLC	General Securities Principal	Approved	05/10/2019
B NYSE American LLC	General Securities Representative	Approved	05/10/2019
B NYSE American LLC	General Securities Sales Supervisor	Approved	05/10/2019
B NYSE American LLC	Securities Manager	Approved	05/10/2019
B NYSE Arca, Inc.	General Securities Principal	Approved	05/10/2019
B NYSE Arca, Inc.	General Securities Representative	Approved	05/10/2019
B NYSE Arca, Inc.	General Securities Sales Supervisor	Approved	05/10/2019
B NYSE National, Inc.	General Securities Principal	Approved	05/10/2019
B NYSE National, Inc.	General Securities Representative	Approved	05/10/2019
B NYSE National, Inc.	General Securities Sales Supervisor	Approved	05/10/2019
B NYSE Texas, Inc.	General Securities Principal	Approved	05/10/2019
B NYSE Texas, Inc.	General Securities Representative	Approved	05/10/2019
B NYSE Texas, Inc.	General Securities Sales Supervisor	Approved	05/10/2019
B Nasdaq BX, Inc.	General Securities Principal	Approved	05/10/2019
B Nasdaq BX, Inc.	General Securities Representative	Approved	05/10/2019
B Nasdaq BX, Inc.	General Securities Sales Supervisor	Approved	05/10/2019

Broker Qualifications



Employment 1 of 1, continued

SRO	Category	Status	Date
B Nasdaq GEMX, LLC	General Securities Principal	Approved	05/10/2019
B Nasdaq GEMX, LLC	General Securities Representative	Approved	05/10/2019
B Nasdaq GEMX, LLC	General Securities Sales Supervisor	Approved	05/10/2019
B Nasdaq ISE, LLC	General Securities Principal	Approved	05/10/2019
B Nasdaq ISE, LLC	General Securities Representative	Approved	05/10/2019
B Nasdaq ISE, LLC	General Securities Sales Supervisor	Approved	05/10/2019
B Nasdaq MRX, LLC	General Securities Principal	Approved	05/10/2019
B Nasdaq MRX, LLC	General Securities Representative	Approved	05/10/2019
B Nasdaq MRX, LLC	General Securities Sales Supervisor	Approved	05/10/2019
B Nasdaq PHLX LLC	General Securities Principal	Approved	05/10/2019
B Nasdaq PHLX LLC	General Securities Representative	Approved	05/10/2019
B Nasdaq PHLX LLC	General Securities Sales Supervisor	Approved	05/10/2019
B Nasdaq Stock Market	General Securities Principal	Approved	05/10/2019
B Nasdaq Stock Market	General Securities Representative	Approved	05/10/2019
B Nasdaq Stock Market	General Securities Sales Supervisor	Approved	05/10/2019
B New York Stock Exchange	General Securities Principal	Approved	05/10/2019
B New York Stock Exchange	General Securities Representative	Approved	05/10/2019
B New York Stock Exchange	General Securities Sales Supervisor	Approved	05/10/2019
B New York Stock Exchange	Securities Manager	Approved	05/10/2019

U.S. State/ Territory	Category	Status	Date
B California	Agent	Approved	07/09/2022

Broker Qualifications



Employment 1 of 1, continued

Branch Office Locations

BOFA SECURITIES, INC.
ONE BRYANT PARK
NEW YORK, NY 10036



Broker Qualifications

Industry Exams this Broker has Passed

This section includes all securities industry exams that the broker has passed. Under limited circumstances, a broker may attain a registration after receiving an exam waiver based on exams the broker has passed and/or qualifying work experience. Any exam waivers that the broker has received are not included below. A passed exam or exam waiver does not permit a broker to do business without an active SRO or state registration.

This individual has passed 3 principal/supervisory exams, 2 general industry/product exams, and 1 state securities law exam.

Principal/Supervisory Exams

Exam	Category	Date
B General Securities Principal Examination	Series 24	01/31/2003
B General Securities Sales Supervisor - General Module Examination	Series 10	12/23/1999
B General Securities Sales Supervisor - Options Module Examination	Series 9	10/28/1999

General Industry/Product Exams

Exam	Category	Date
B Securities Industry Essentials Examination	SIE	10/01/2018
B General Securities Representative Examination	Series 7	08/19/1994

State Securities Law Exams

Exam	Category	Date
B Uniform Securities Agent State Law Examination	Series 63	11/21/1994

Additional information about the above exams or other exams FINRA administers to brokers and other securities professionals can be found at www.finra.org/brokerqualifications/registeredrep/.

Broker Qualifications



Professional Designations

This section details that the representative has reported **0** professional designation(s).

No information reported.



Registration and Employment History

Registration History

The broker previously was registered with the following firms:

Registration Dates	Firm Name	CRD#	Branch Location
B 03/2016 - 05/2019	MERRILL LYNCH, PIERCE, FENNER & SMITH INCORPORATED	7691	NEW YORK, NY
B 05/2002 - 12/2014	CHARLES SCHWAB & CO., INC.	5393	SAN FRANCISCO, CA
B 07/1999 - 04/2002	SALOMON SMITH BARNEY INC.	7059	NEW YORK, NY
B 08/1994 - 07/1997	CHARLES SCHWAB & CO., INC.	5393	WESTLAKE, TX

Employment History

This section provides up to 10 years of an individual broker's employment history as reported by the individual broker on the most recently filed Form U4.

Please note that the broker is required to provide this information only while registered with FINRA or a national securities exchange and the information is not updated via Form U4 after the broker ceases to be registered. Therefore, an employment end date of "Present" may not reflect the broker's current employment status.

Employment	Employer Name	Position	Investment Related	Employer Location
05/2019 - Present	BOFA SECURITIES, INC.	Compliance and Operational Risk Executive	Y	NEW YORK, NY, United States
12/2014 - 05/2019	MERRILL LYNCH, PIERCE, FENNER & SMITH INCORPORATED	Compliance Executive	Y	LONDON, United Kingdom

Other Business Activities

This section includes information, if any, as provided by the broker regarding other business activities the broker is currently engaged in either as a proprietor, partner, officer, director, employee, trustee, agent or otherwise. This section does not include non-investment related activity that is exclusively charitable, civic, religious or fraternal and is recognized as tax exempt.

I*1362289

For profit or not for profit:

Name of outside business organization: 1907 Leavenworth HOA

Investment related: N

Address of business: San Francisco, California, 94133, United States

Nature of business: ["HOA, Co-op, Freehold"]

Position, title, association: ["Board Member"],

Start date of relationship: 6/20/2023

Registration and Employment History



Other Business Activities, continued

Number of hours devoted: 5 hour(s) Monthly

Number of hours devoted during trading hours: 0

Duties: , Revised: I am a signer on the HOA's bank accounts as a board member. However, CitiScape property Management by contract approves al operating account payments. Two board members are required to approve all reserve fund payments. This is done online through the Strongroom program. I and another board member are required to approve Reserve fund payments.

Contractor payments or invoices are required to be signed by the President or the Treasurer. In my role as Secretary I will NOT sign contracts or invoices.

End of Report



This page is intentionally left blank.