

## BrokerCheck Report

### PER-ARNE WEINER

CRD# 1874779

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When communicating online or investing with any professional, make sure you know who you're dealing with. [Imposters](#) might link to sites like BrokerCheck from [phishing](#) or similar scam websites, or through [social media](#), trying to steal your personal information or your money.

Please contact FINRA with any concerns.

## About BrokerCheck®

BrokerCheck offers information on all current, and many former, registered securities brokers, and all current and former registered securities firms. FINRA strongly encourages investors to use BrokerCheck to check the background of securities brokers and brokerage firms before deciding to conduct, or continue to conduct, business with them.

- **What is included in a BrokerCheck report?**

- BrokerCheck reports for individual brokers include information such as employment history, professional qualifications, disciplinary actions, criminal convictions, civil judgments and arbitration awards. BrokerCheck reports for brokerage firms include information on a firm's profile, history, and operations, as well as many of the same disclosure events mentioned above.
- Please note that the information contained in a BrokerCheck report may include pending actions or allegations that may be contested, unresolved or unproven. In the end, these actions or allegations may be resolved in favor of the broker or brokerage firm, or concluded through a negotiated settlement with no admission or finding of wrongdoing.

- **Where did this information come from?**

- The information contained in BrokerCheck comes from FINRA's Central Registration Depository, or CRD® and is a combination of:
  - information FINRA and/or the Securities and Exchange Commission (SEC) require brokers and brokerage firms to submit as part of the registration and licensing process, and
  - information that regulators report regarding disciplinary actions or allegations against firms or brokers.

- **How current is this information?**

- Generally, active brokerage firms and brokers are required to update their professional and disciplinary information in CRD within 30 days. Under most circumstances, information reported by brokerage firms, brokers and regulators is available in BrokerCheck the next business day.

- **What if I want to check the background of an investment adviser firm or investment adviser representative?**

- To check the background of an investment adviser firm or representative, you can search for the firm or individual in BrokerCheck. If your search is successful, click on the link provided to view the available licensing and registration information in the SEC's Investment Adviser Public Disclosure (IAPD) website at <https://www.adviserinfo.sec.gov>. In the alternative, you may search the IAPD website directly or contact your state securities regulator at <http://www.finra.org/Investors/ToolsCalculators/BrokerCheck/P455414>.

- **Are there other resources I can use to check the background of investment professionals?**

- FINRA recommends that you learn as much as possible about an investment professional before deciding to work with them. Your state securities regulator can help you research brokers and investment adviser representatives doing business in your state.

Thank you for using FINRA BrokerCheck.



Using this site/information means that you accept the FINRA BrokerCheck Terms and Conditions. A complete list of Terms and Conditions can be found at

[brokercheck.finra.org](http://brokercheck.finra.org)



For additional information about the contents of this report, please refer to the User Guidance or [www.finra.org/brokercheck](http://www.finra.org/brokercheck). It provides a glossary of terms and a list of frequently asked questions, as well as additional resources. For more information about FINRA, visit [www.finra.org](http://www.finra.org).

**PER-ARNE WEINER**

CRD# 1874779

**Currently employed by and registered with the following Firm(s):**

- B** **CAPSTONE PARTNERS**  
10 POST OFFICE SQUARE  
SUITE 800N  
BOSTON, MA 02109  
CRD# 132185  
Registered with this firm since: 01/09/2026

**Report Summary for this Broker**

This report summary provides an overview of the broker's professional background and conduct. Additional information can be found in the detailed report.

**Broker Qualifications****This broker is registered with:**

- 1 Self-Regulatory Organization
- 1 U.S. state or territory

**This broker has passed:**

- 1 Principal/Supervisory Exam
- 4 General Industry/Product Exams
- 1 State Securities Law Exam

**Registration History****This broker was previously registered with the following securities firm(s):**

- B** **JANNEY MONTGOMERY SCOTT LLC**  
CRD# 463  
Franklin, TN  
11/2023 - 01/2026
- B** **TRUIST SECURITIES, INC.**  
CRD# 6271  
NASHVILLE, TN  
06/2017 - 08/2023
- B** **SIGNAL HILL CAPITAL GROUP LLC**  
CRD# 122782  
NEW YORK, NY  
05/2016 - 05/2017

**Disclosure Events**

All individuals registered to sell securities or provide investment advice are required to disclose customer complaints and arbitrations, regulatory actions, employment terminations, bankruptcy filings, and criminal or civil judicial proceedings.

Are there events disclosed about this broker? **No**



## Broker Qualifications

### Registrations

This section provides the self-regulatory organizations (SROs) and U.S. states/territories the broker is currently registered and licensed with, the category of each license, and the date on which it became effective. This section also provides, for every brokerage firm with which the broker is currently employed, the address of each branch where the broker works.

**This individual is currently registered with 1 SRO and is licensed in 1 U.S. state or territory through his or her employer.**

### Employment 1 of 1

Firm Name: **CAPSTONE PARTNERS**  
 Main Office Address: **10 POST OFFICE SQUARE  
 SUITE 800N  
 BOSTON, MA 02109**  
 Firm CRD#: **132185**

	SRO	Category	Status	Date
B	FINRA	Corporate Securities Represent	Approved	01/09/2026
B	FINRA	General Securities Principal	Approved	01/09/2026
B	FINRA	General Securities Representative	Approved	01/09/2026
B	FINRA	Investment Banking Principal	Approved	01/09/2026
B	FINRA	Investment Banking Representative	Approved	01/09/2026

	U.S. State/ Territory	Category	Status	Date
B	Massachusetts	Agent	Approved	01/09/2026

### Branch Office Locations

**CAPSTONE PARTNERS**  
 10 POST OFFICE SQUARE  
 SUITE 800N  
 BOSTON, MA 02109



## Broker Qualifications

### Industry Exams this Broker has Passed

This section includes all securities industry exams that the broker has passed. Under limited circumstances, a broker may attain a registration after receiving an exam waiver based on exams the broker has passed and/or qualifying work experience. Any exam waivers that the broker has received are not included below. A passed exam or exam waiver does not permit a broker to do business without an active SRO or state registration.

**This individual has passed 1 principal/supervisory exam, 4 general industry/product exams, and 1 state securities law exam.**

### Principal/Supervisory Exams

Exam	Category	Date
<b>B</b> General Securities Principal Examination	Series 24	07/17/2008

### General Industry/Product Exams

Exam	Category	Date
<b>B</b> Investment Banking Registered Representative Examination	Series 79TO	01/02/2023
<b>B</b> Securities Industry Essentials Examination	SIE	10/01/2018
<b>B</b> General Securities Representative Examination	Series 7	06/17/2008
<b>B</b> Corporate Securities Limited Representative Examination	Series 62	11/29/1995

### State Securities Law Exams

Exam	Category	Date
<b>B</b> Uniform Securities Agent State Law Examination	Series 63	11/03/2005

Additional information about the above exams or other exams FINRA administers to brokers and other securities professionals can be found at [www.finra.org/brokerqualifications/registeredrep/](http://www.finra.org/brokerqualifications/registeredrep/).

## Broker Qualifications



## Professional Designations

This section details that the representative has reported **0** professional designation(s).

No information reported.



## Registration and Employment History

### Registration History

The broker previously was registered with the following firms:

Registration Dates	Firm Name	CRD#	Branch Location
B 11/2023 - 01/2026	JANNEY MONTGOMERY SCOTT LLC	463	Franklin, TN
B 06/2017 - 08/2023	TRUIST SECURITIES, INC.	6271	NASHVILLE, TN
B 05/2016 - 05/2017	SIGNAL HILL CAPITAL GROUP LLC	122782	NEW YORK, NY
B 03/2011 - 09/2015	RBC CAPITAL MARKETS, LLC	31194	NEW YORK, NY
B 01/2010 - 02/2011	GLEACHER & COMPANY SECURITIES, INC.	298	NEW YORK, NY
B 06/2008 - 01/2010	GLEACHER PARTNERS LLC	47342	NEW YORK, NY
B 06/1999 - 02/2008	DEUTSCHE BANK SECURITIES INC.	2525	NEW YORK, NY
B 09/1997 - 06/1999	BT ALEX. BROWN INCORPORATED	17790	BALTIMORE, MD
B 03/1996 - 09/1997	ALEX. BROWN & SONS INCORPORATED	20	

### Employment History

This section provides up to 10 years of an individual broker's employment history as reported by the individual broker on the most recently filed Form U4.

**Please note that the broker is required to provide this information only while registered with FINRA or a national securities exchange and the information is not updated via Form U4 after the broker ceases to be registered. Therefore, an employment end date of "Present" may not reflect the broker's current employment status.**

Employment	Employer Name	Position	Investment Related	Employer Location
01/2026 - Present	Capstone Partners	Managing Director	Y	Boston, MA, United States
08/2024 - 12/2025	Janney Montgomery Scott LLC	Co-Head of Fintech	Y	Franklin, TN, United States
11/2023 - 08/2024	Janney Montgomery Scott LLC	Investment Banker	Y	Franklin, TN, United States
08/2020 - 08/2023	Truist Securities, Inc.	Managing Director	Y	New York, NY, United States
06/2017 - 08/2020	SunTrust Robinson Humphrey, Inc	Managing Director	Y	Atlanta, GA, United States
05/2016 - 05/2017	Signal Hill Capital Group LLC	Managing Director	Y	Baltimore, MD, United States
05/2016 - 05/2017	Signal Hill LLC	Managing Director	Y	Baltimore, MD, United States
12/2015 - 05/2016	Unemployed	Unemployed	N	Mendham, NJ, United States

## Registration and Employment History



### Other Business Activities

This section includes information, if any, as provided by the broker regarding other business activities the broker is currently engaged in either as a proprietor, partner, officer, director, employee, trustee, agent or otherwise. This section does not include non-investment related activity that is exclusively charitable, civic, religious or fraternal and is recognized as tax exempt.

Solutionize, Inc., Ramsey, NJ. Private start-up developing networking software for patients suffering from drug addiction or chronic illnesses. Advisory Board Member (04/2021), Act as a sounding board for management and BOD. On a best-efforts basis, asked to participate in one 60-90 minute call per quarter and one annual in-person meeting per year. Compensated, 1 hour/month.

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## End of Report



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