BrokerCheck Report

STEVEN DUANE BURT

CRD# 1955116

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When communicating online or investing with any professional, make sure you know who you're dealing with. Imposters might link to sites like BrokerCheck from phishing or similar scam websites, or through social media, trying to steal your personal information or your money.

Please contact FINRA with any concerns.

About BrokerCheck®

BrokerCheck offers information on all current, and many former, registered securities brokers, and all current and former registered securities firms. FINRA strongly encourages investors to use BrokerCheck to check the background of securities brokers and brokerage firms before deciding to conduct, or continue to conduct, business with them.

- **What is included in a BrokerCheck report?**
- BrokerCheck reports for individual brokers include information such as employment history, professional qualifications, disciplinary actions, criminal convictions, civil judgments and arbitration awards. BrokerCheck reports for brokerage firms include information on a firm's profile, history, and operations, as well as many of the same disclosure events mentioned above.
- Please note that the information contained in a BrokerCheck report may include pending actions or allegations that may be contested, unresolved or unproven. In the end, these actions or allegations may be resolved in favor of the broker or brokerage firm, or concluded through a negotiated settlement with no admission or finding of wrongdoing.
- **Where did this information come from?**
- The information contained in BrokerCheck comes from FINRA's Central Registration Depository, or CRD® and is a combination of:
  - information FINRA and/or the Securities and Exchange Commission (SEC) require brokers and brokerage firms to submit as part of the registration and licensing process, and
  - information that regulators report regarding disciplinary actions or allegations against firms or brokers.
- **How current is this information?**
- Generally, active brokerage firms and brokers are required to update their professional and disciplinary information in CRD within 30 days. Under most circumstances, information reported by brokerage firms, brokers and regulators is available in BrokerCheck the next business day.
- **What if I want to check the background of an investment adviser firm or investment adviser representative?**
- To check the background of an investment adviser firm or representative, you can search for the firm or individual in BrokerCheck. If your search is successful, click on the link provided to view the available licensing and registration information in the SEC's Investment Adviser Public Disclosure (IAPD) website at https://www.adviserinfo.sec.gov. In the alternative, you may search the IAPD website directly or contact your state securities regulator at http://www.finra.org/Investors/ToolsCalculators/BrokerCheck/P455414.
- **Are there other resources I can use to check the background of investment professionals?**
- FINRA recommends that you learn as much as possible about an investment professional before deciding to work with them. Your state securities regulator can help you research brokers and investment adviser representatives doing business in your state.

Thank you for using FINRA BrokerCheck.

For additional information about the contents of this report, please refer to the User Guidance or www.finra.org/brokercheck. It provides a glossary of terms and a list of frequently asked questions, as well as additional resources. For more information about FINRA, visit www.finra.org.

STEVEN D. BURT

CRD# 1955116

Currently employed by and registered with the following Firm(s):

IA **CENTAURUS FINANCIAL, INC.**
38 E. SHERIDAN
FREMONT, MI 49412
CRD# 30833
Registered with this firm since: 10/18/2010

B **CENTAURUS FINANCIAL, INC.**
38 E. SHERIDAN
FREMONT, MI 49412
CRD# 30833
Registered with this firm since: 10/18/2010

Report Summary for this Broker

This report summary provides an overview of the broker's professional background and conduct. Additional information can be found in the detailed report.

Broker Qualifications

This broker is registered with:

- 1 Self-Regulatory Organization
- 2 U.S. states and territories

This broker has passed:

- 0 Principal/Supervisory Exams
- 2 General Industry/Product Exams
- 2 State Securities Law Exams

Registration History

This broker was previously registered with the following securities firm(s):

IA **USA WEALTH MANAGEMENT LLC**
CRD# 122082
GRAND RAPIDS, MI
01/2009 - 10/2010

B **USA ADVANCED PLANNERS INC.**
CRD# 131282
FREMONT, MI
09/2006 - 10/2010

B **USA FINANCIAL SECURITIES CORPORATION**
CRD# 103857
ADA, MI
10/2000 - 09/2006

Disclosure Events

All individuals registered to sell securities or provide investment advice are required to disclose customer complaints and arbitrations, regulatory actions, employment terminations, bankruptcy filings, and criminal or civil judicial proceedings.

Are there events disclosed about this broker? **No**

Broker Qualifications

Registrations

This section provides the self-regulatory organizations (SROs) and U.S. states/territories the broker is currently registered and licensed with, the category of each license, and the date on which it became effective. This section also provides, for every brokerage firm with which the broker is currently employed, the address of each branch where the broker works.

This individual is currently registered with 1 SRO and is licensed in 2 U.S. states and territories through his or her employer.

Employment 1 of 1

Firm Name: **CENTAURUS FINANCIAL, INC.**

Main Office Address: **2300 E. KATELLA AVENUE**
SUITE #200
ANAHEIM, CA 92806

Firm CRD#: **30833**

	SRO	Category	Status	Date
B	FINRA	Invest. Co and Variable Contracts	Approved	10/18/2010

	U.S. State/ Territory	Category	Status	Date
B	Illinois	Agent	Approved	01/02/2024
B	Michigan	Agent	Approved	10/18/2010
IA	Michigan	Investment Adviser Representative	Approved	10/18/2010

Branch Office Locations

CENTAURUS FINANCIAL, INC.
38 E. SHERIDAN
FREMONT, MI 49412

Broker Qualifications

Industry Exams this Broker has Passed

This section includes all securities industry exams that the broker has passed. Under limited circumstances, a broker may attain a registration after receiving an exam waiver based on exams the broker has passed and/or qualifying work experience. Any exam waivers that the broker has received are not included below. A passed exam or exam waiver does not permit a broker to do business without an active SRO or state registration.

This individual has passed 0 principal/supervisory exams, 2 general industry/product exams, and 2 state securities law exams.

Principal/Supervisory Exams

	Exam	Category	Date
	No information reported.		

General Industry/Product Exams

	Exam	Category	Date
B	Securities Industry Essentials Examination	SIE	10/01/2018
B	Investment Company Products/Variable Contracts Representative Examination	Series 6	07/24/1989

State Securities Law Exams

	Exam	Category	Date
IA	Uniform Investment Adviser Law Examination	Series 65	07/19/2007
B	Uniform Securities Agent State Law Examination	Series 63	07/24/1989

Additional information about the above exams or other exams FINRA administers to brokers and other securities professionals can be found at www.finra.org/brokerqualifications/registeredrep/.

Broker Qualifications

Professional Designations

This section details that the representative has reported **0** professional designation(s).

No information reported.

Registration and Employment History

Registration History

The broker previously was registered with the following firms:

	Registration Dates	Firm Name	CRD#	Branch Location
IA	01/2009 - 10/2010	USA WEALTH MANAGEMENT LLC	122082	FREMONT, MI
B	09/2006 - 10/2010	USA ADVANCED PLANNERS INC.	131282	FREMONT, MI
B	10/2000 - 09/2006	USA FINANCIAL SECURITIES CORPORATION	103857	ADA, MI
B	07/1999 - 10/2000	LIFEUSA SECURITIES, INC.	40875	MINNEAPOLIS, MN
B	07/1989 - 07/1999	H.D. VEST INVESTMENT SECURITIES, INC.	13686	DALLAS, TX

Employment History

This section provides up to 10 years of an individual broker's employment history as reported by the individual broker on the most recently filed Form U4.

Please note that the broker is required to provide this information only while registered with FINRA or a national securities exchange and the information is not updated via Form U4 after the broker ceases to be registered. Therefore, an employment end date of "Present" may not reflect the broker's current employment status.

Employment	Employer Name	Position	Investment Related	Employer Location
10/2010 - Present	CENTAURUS FINANCIAL, INC.	REGISTERED REPRESENTATIVE	Y	ANAHEIM, CA, United States
01/1990 - Present	OOSTING BURT & ASSOCIATES	OTHER - PARTNER	N	FREMONT, MI, United States
04/1983 - Present	STEVEN D BURT, ENROLLED AGENT	OOSTING, BURT & ASSOCIATES	N	FREMONT, MI, United States

Other Business Activities

This section includes information, if any, as provided by the broker regarding other business activities the broker is currently engaged in either as a proprietor, partner, officer, director, employee, trustee, agent or otherwise. This section does not include non-investment related activity that is exclusively charitable, civic, religious or fraternal and is recognized as tax exempt.

1. LAKESHORE PROPERTIES OF LUDINGTON, LLC, INVESTMENT RELATED, 5784 W. US 10 LUDINGTON, MI 49431 & 807 E. FILER ST., LUDINGTON, MI 49431, LEASE OF RESIDENTIAL/COMMERICIAL PROPERTY, MEMBER, SINCE 5/28/2014, DEVOTED TIME IS 5 HRS A MONTH, PAY THE BILLS, DEPOSIT THE RENTS, AND FILE THE TAX RETURN.

2. BOIS BLANC VINEYARD, LLC, NON-INVESTMENT RELATED, 51 ROCKY ROAD, VOIS BLANC ISLAND, MI 49775, PRODUCTION OF GRAPES TO SELL FOR WINE IN 4-5 YEARS, MEMBER, SINCE 1/1/2014, DEVOTED TIME IS 13 HRS A MONTH. PARTNER,

Registration and Employment History

Other Business Activities, continued

3. MEMBER, HARDY GROCERY, LLC, 7607 E. 36TH ST., NEWAYGO, MI 49337. NON INVESTMENT RELATED SINCE 02/2004 & I SPEND APPROX. 5 HOURS PER MONTH ON THIS ACTIVITY. BOOKKEPPING & MANAGEMENT.

4. SECRETARY, PIZZA NOTIONS, INC. 1042 W. MAIN, FREMONT, MI 49412. NON INVESTMENT RELATED SINCE 08/1994 & I SPEND APPROX. 5 HOURS PER MONTH ON THIS ACTIVITY. I GIVE ADVISE TO OWNER/PRESIDENT WHO IS MY SPOUSE.

5. MEMBER, BOSS PROPERTIE,LLC, 1843 S. BALDWIN AVE., FREMONT, MI 49412. NON INVESTMENT SINCE 01/2002 & I SPEND APPROX. 5 HOURS PER MONTH ON THIS ACTIVITY. CASH CROPING & FARMLAND RENTAL. BEEF & COMMERCIAL LAND LEASE.

6. MEMBER, S-M BURT PROPERTIES, LLC., 5829 W. 32ND ST., FREMONT, MI 49412. NON INVESTMENT SINCE 08/2006 & I SPEND APPROX. 10 HOURS PER MONTH ON THIS ACTIVITY. RENTAL PROPERTIES RESIDENTIAL & COMMERCIAL.

7. SPOUSE OF OWNER/SPANKY'S PIZZA OF LUDINGTON, 4743 W. US. HWY 10, #7, LUDINGTON, MI 49431. NON INVESTMENT RELATED SINCE 08/2006 & I SPEND APPROX. 1 HOUR PER MONTH ON THIS ACTIVITY. ASSIST. SPOUSE WITH MANAGEMENT DECISIONS.

8. STEVEN D. BURT
POSITION: Enrolled Agent NATURE: I can answer any questions or represent clients to the internal revenue service without the client being present. INVESTMENT RELATED: No NUMBER OF HOURS: 5 SECURITIES TRADING HOURS: 1 START DATE: 08/28/1984
ADDRESS: 38 E. Sheridan, Fremont MI 49412, United States
DESCRIPTION: represent clients being audited in front of the internal revenue service. This includes document/data collecting, audit preparation, and audit presentations to the IRS on behalf of clients.

9. OOSTING, BURT & ASSOCIATES LLP
POSITION: Partner/Member NATURE: DBA for marketing purposes only. INVESTMENT RELATED: No NUMBER OF HOURS: 0 SECURITIES TRADING HOURS: 0 START DATE: 01/01/1990
ADDRESS: 38 E. Sheridan, Fremont MI 49412, United States
DESCRIPTION: DBA for marketing purposes only.

10. OOSTING, BURT & ASSOCIATES LLP
POSITION: Member/Partner NATURE: Tax preparation as a consultant and accountant. Payroll as a accounting professional. INVESTMENT RELATED: No NUMBER OF HOURS: 172 SECURITIES TRADING HOURS: 8 START DATE: 01/01/1990
ADDRESS: 38 E. Sheridan, Fremont MI 49412, United States
DESCRIPTION: I work with clients and prepare tax returns, accounting for businesses, and payroll. Tax preparation includes meeting with clients, acquiring appropriate documentation and data to complete their tax returns before the deadline. For accounting, we prepare financial statements, reconcile banks, and assess figures from the data the clients provide on a monthly basis. For payroll, we acquire compensation and hours from clients employees to issue checks or make direct deposits based on their pay.

End of Report

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