

## BrokerCheck Report

**Judith Chu**

CRD# 2140975

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When communicating online or investing with any professional, make sure you know who you're dealing with. [Imposters](#) might link to sites like BrokerCheck from [phishing](#) or similar scam websites, or through [social media](#), trying to steal your personal information or your money.

Please contact FINRA with any concerns.

## About BrokerCheck®

BrokerCheck offers information on all current, and many former, registered securities brokers, and all current and former registered securities firms. FINRA strongly encourages investors to use BrokerCheck to check the background of securities brokers and brokerage firms before deciding to conduct, or continue to conduct, business with them.

- **What is included in a BrokerCheck report?**

- BrokerCheck reports for individual brokers include information such as employment history, professional qualifications, disciplinary actions, criminal convictions, civil judgments and arbitration awards. BrokerCheck reports for brokerage firms include information on a firm's profile, history, and operations, as well as many of the same disclosure events mentioned above.
- Please note that the information contained in a BrokerCheck report may include pending actions or allegations that may be contested, unresolved or unproven. In the end, these actions or allegations may be resolved in favor of the broker or brokerage firm, or concluded through a negotiated settlement with no admission or finding of wrongdoing.

- **Where did this information come from?**

- The information contained in BrokerCheck comes from FINRA's Central Registration Depository, or CRD® and is a combination of:
  - information FINRA and/or the Securities and Exchange Commission (SEC) require brokers and brokerage firms to submit as part of the registration and licensing process, and
  - information that regulators report regarding disciplinary actions or allegations against firms or brokers.

- **How current is this information?**

- Generally, active brokerage firms and brokers are required to update their professional and disciplinary information in CRD within 30 days. Under most circumstances, information reported by brokerage firms, brokers and regulators is available in BrokerCheck the next business day.

- **What if I want to check the background of an investment adviser firm or investment adviser representative?**

- To check the background of an investment adviser firm or representative, you can search for the firm or individual in BrokerCheck. If your search is successful, click on the link provided to view the available licensing and registration information in the SEC's Investment Adviser Public Disclosure (IAPD) website at <https://www.adviserinfo.sec.gov>. In the alternative, you may search the IAPD website directly or contact your state securities regulator at <http://www.finra.org/Investors/ToolsCalculators/BrokerCheck/P455414>.

- **Are there other resources I can use to check the background of investment professionals?**

- FINRA recommends that you learn as much as possible about an investment professional before deciding to work with them. Your state securities regulator can help you research brokers and investment adviser representatives doing business in your state.

**Thank you for using FINRA BrokerCheck.**



Using this site/information means that you accept the FINRA BrokerCheck Terms and Conditions. A complete list of Terms and Conditions can be found at [brokercheck.finra.org](http://brokercheck.finra.org)



For additional information about the contents of this report, please refer to the User Guidance or [www.finra.org/brokercheck](http://www.finra.org/brokercheck). It provides a glossary of terms and a list of frequently asked questions, as well as additional resources. For more information about FINRA, visit [www.finra.org](http://www.finra.org).

**Judith Chu**

CRD# 2140975

**Currently employed by and registered with the following Firm(s):**

- B** **P.J. ROBB VARIABLE, LLC**  
 4135 NORTH FRONT STREET  
 HARRISBURG, PA 17110  
 CRD# 38339  
 Registered with this firm since: 12/16/2022

## Report Summary for this Broker



This report summary provides an overview of the broker's professional background and conduct. Additional information can be found in the detailed report.

### Broker Qualifications

**This broker is registered with:**

- 1 Self-Regulatory Organization
- 10 U.S. states and territories

**This broker has passed:**

- 2 Principal/Supervisory Exams
- 2 General Industry/Product Exams
- 2 State Securities Law Exams

### Registration History

**This broker was previously registered with the following securities firm(s):**

- IA** **BLACKRIDGE ASSET MANAGEMENT, LLC**  
 CRD# 277085  
 JUPITER, FL  
 03/2022 - 10/2022
- B** **PEAK BROKERAGE SERVICES, LLC**  
 CRD# 157045  
 Jupiter, FL  
 02/2022 - 10/2022
- IA** **PRUDENTIAL FINANCIAL PLANNING SERVICES**  
 CRD# 5685  
 NEWARK, NJ  
 05/2015 - 03/2022

### Disclosure Events

All individuals registered to sell securities or provide investment advice are required to disclose customer complaints and arbitrations, regulatory actions, employment terminations, bankruptcy filings, and criminal or civil judicial proceedings.

Are there events disclosed about this broker? **No**



## Broker Qualifications

### Registrations

This section provides the self-regulatory organizations (SROs) and U.S. states/territories the broker is currently registered and licensed with, the category of each license, and the date on which it became effective. This section also provides, for every brokerage firm with which the broker is currently employed, the address of each branch where the broker works.

**This individual is currently registered with 1 SRO and is licensed in 10 U.S. states and territories through his or her employer.**

### Employment 1 of 1

Firm Name: **P.J. ROBB VARIABLE, LLC**

Main Office Address: **6075 POPLAR AVE  
SUITE 400  
MEMPHIS, TN 38119**

Firm CRD#: **38339**

	SRO	Category	Status	Date
B	FINRA	Financial and Operations Principal	Approved	12/16/2022
B	FINRA	General Securities Representative	Approved	12/16/2022
B	FINRA	General Securities Principal	Approved	12/22/2022

	U.S. State/ Territory	Category	Status	Date
B	Arizona	Agent	Approved	03/06/2024
B	California	Agent	Approved	03/06/2024
B	Florida	Agent	Approved	12/16/2022
B	Georgia	Agent	Approved	01/17/2025
B	Massachusetts	Agent	Approved	01/25/2024
B	Michigan	Agent	Approved	09/17/2024
B	New York	Agent	Approved	12/16/2022
B	North Carolina	Agent	Approved	07/25/2025
B	Pennsylvania	Agent	Approved	01/31/2023
B	Texas	Agent	Approved	07/22/2025

## Broker Qualifications



### Employment 1 of 1, continued

#### Branch Office Locations

**P.J. ROBB VARIABLE, LLC**  
4135 NORTH FRONT STREET  
HARRISBURG, PA 17110

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## Broker Qualifications

### Industry Exams this Broker has Passed

This section includes all securities industry exams that the broker has passed. Under limited circumstances, a broker may attain a registration after receiving an exam waiver based on exams the broker has passed and/or qualifying work experience. Any exam waivers that the broker has received are not included below. A passed exam or exam waiver does not permit a broker to do business without an active SRO or state registration.

**This individual has passed 2 principal/supervisory exams, 2 general industry/product exams, and 2 state securities law exams.**

### Principal/Supervisory Exams

Exam	Category	Date
<b>B</b> Financial and Operations Principal Examination	Series 27	12/15/1995
<b>B</b> General Securities Principal Examination	Series 24	08/22/1995

### General Industry/Product Exams

Exam	Category	Date
<b>B</b> Securities Industry Essentials Examination	SIE	10/01/2018
<b>B</b> General Securities Representative Examination	Series 7	08/14/1991

### State Securities Law Exams

Exam	Category	Date
<b>B</b> <b>IA</b> Uniform Combined State Law Examination	Series 66	07/13/2005
<b>B</b> Uniform Securities Agent State Law Examination	Series 63	09/11/1991

Additional information about the above exams or other exams FINRA administers to brokers and other securities professionals can be found at [www.finra.org/brokerqualifications/registeredrep/](http://www.finra.org/brokerqualifications/registeredrep/).



## Broker Qualifications

### Professional Designations

This section details that the representative has reported **1** professional designation(s).

#### Chartered Financial Consultant

This representative holds or did hold **1** professional designation(s) that may have been used to qualify as an Investment Advisor representative. Please check with the appropriate designation authority for verification that the designation is still in effect. The contact information for these professional designation authorities can be found on the website for the North American Securities Administrators Association at <http://www.nasaa.org>



## Registration and Employment History

### Registration History

The broker previously was registered with the following firms:

	Registration Dates	Firm Name	CRD#	Branch Location
IA	03/2022 - 10/2022	BLACKRIDGE ASSET MANAGEMENT, LLC	277085	Jupiter, FL
B	02/2022 - 10/2022	PEAK BROKERAGE SERVICES, LLC	157045	Jupiter, FL
IA	05/2015 - 03/2022	PRUDENTIAL FINANCIAL PLANNING SERVICES	5685	North Palm Beach, FL
B	04/2015 - 03/2022	PRUCO SECURITIES, LLC.	5685	North Palm Beach, FL
B	11/2014 - 03/2015	TRIPOINT GLOBAL EQUITIES, LLC	143174	NEW YORK, NY
IA	10/2012 - 04/2014	INTERNATIONAL ASSETS INVESTMENT MANAGEMENT, LLC	144426	WEST PALM BEACH, FL
B	08/2012 - 04/2014	INTERNATIONAL ASSETS ADVISORY, LLC	10645	PALM BEACH GARDENS, FL
B	08/2013 - 04/2014	IAA FINANCIAL LLC	6578	WEST PALM BEACH, FL
IA	03/2008 - 09/2012	QUESTAR ASSET MANAGEMENT, INC.	133358	MINNEAPOLIS, MN
B	02/2008 - 09/2012	QUESTAR CAPITAL CORPORATION	43100	WEST PALM BEACH, FL
IA	05/2007 - 02/2008	RAYMOND JAMES & ASSOCIATES, INC.	705	WEST PALM BEACH, FL
B	05/2007 - 02/2008	RAYMOND JAMES & ASSOCIATES, INC.	705	WEST PALM BEACH, FL
B	04/2005 - 08/2006	USALLIANZ SECURITIES, INC.	40875	PALM BEACH GARDENS, FL
IA	05/2005 - 06/2006	USALLIANZ SECURITIES, INC.	40875	PALM BEACH GARDENS, FL
B	03/2003 - 10/2003	JEFFERSON PILOT SECURITIES CORPORATION	3870	FORT WAYNE, IN
IA	04/2002 - 04/2003	VERAVEST INVESTMENT ADVISORS, INC.	105796	MIAMI LAKES, FL
B	04/2002 - 04/2003	VERAVEST INVESTMENTS, INC.	3960	WORCESTER, MA
B	01/1999 - 04/2002	RAYMOND JAMES & ASSOCIATES, INC.	705	ST. PETERSBURG, FL
B	03/1997 - 05/1998	CAPITAL RESEARCH CORPORATION	6314	JACKSONVILLE, FL
B	08/1995 - 02/1996	KILEY CAPITAL, INC.	37814	HENDERSON, NV





## Registration and Employment History

### Registration History, continued

The broker previously was registered with the following firms:

Registration Dates	Firm Name	CRD#	Branch Location
<b>B</b> 12/1994 - 07/1995	SANFORD C. BERNSTEIN & CO., INC.	1232	NEW YORK, NY
<b>B</b> 08/1991 - 10/1994	ADAMS, VINER, & MOSLER, LTD.	16710	BOCA RATON, FL

### Employment History

This section provides up to 10 years of an individual broker's employment history as reported by the individual broker on the most recently filed Form U4.

**Please note that the broker is required to provide this information only while registered with FINRA or a national securities exchange and the information is not updated via Form U4 after the broker ceases to be registered. Therefore, an employment end date of "Present" may not reflect the broker's current employment status.**

Employment	Employer Name	Position	Investment Related	Employer Location
10/2022 - Present	Crump Life Insurance Services	Regional Insurance Services	Y	Harrisburg, PA, United States
02/2022 - 10/2022	Blackridge Asset Management, LLC	Financial Advisor	Y	Jupiter, FL, United States
02/2022 - 10/2022	Peak Brokerage Services, LLC	Registered Rep	Y	Jupiter, FL, United States
02/2022 - 10/2022	Top Advisors Group	Director of Insurance Sales	Y	Jupiter, FL, United States
03/2021 - 02/2022	Pruco Securities LLC	Registered Rep	Y	North Palm Beach, FL, United States
03/2015 - 02/2022	PRUCO SECURITIES LLC	Registered Representative	Y	North Palm Beach, FL, United States
03/2015 - 03/2021	THE PRUDENTIAL INSURANCE COMPANY OF AMERICA	Financial Professional	N	WEST PALM BEACH, FL, United States

### Other Business Activities

This section includes information, if any, as provided by the broker regarding other business activities the broker is currently engaged in either as a proprietor, partner, officer, director, employee, trustee, agent or otherwise. This section does not include non-investment related activity that is exclusively charitable, civic, religious or fraternal and is recognized as tax exempt.

Support fixed insurance distribution and sales with Crump Life Insurance Services and related entities since October 2022 approximately 144 hours per month. 4135 North Front Street Harrisburg, PA 17110

Name of Business: Prosperity Catalina, LLC: Business Activity: Real Estate: Duties of Position: Administrative; Title: Managing Member; Address:

## Registration and Employment History



### Other Business Activities, continued

North Palm Beach, FL; Start Date: 09/2020; Approx. # of hours per month spent on activity: 1; Approx. # of hours spent during trading hours: 0

Name of Business: Prosperity Investors, LLC; Business Activity: Real Estate; Duties of Position: Administrative; Title: Managing Member; Address: North Palm Beach, FL; Start Date: 09/2020; Approx. # of hours per month spent on activity: 1; Approx. # of hours spent during trading hours: 0

Name of Business: Prosperity Somerset, LLC; Business Activity: Real Estate; Duties of Position: Administrative; Title: Managing Member; Address: North Palm Beach, FL; Start Date: 09/2020; Approx. # of hours per month spent on activity: 1; Approx. # of hours spent during trading hours: 0

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## End of Report



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