

**BrokerCheck Report**

**BRIAN DRAKE HLIDEK**

CRD# 2150908

<b><u>Section Title</u></b>	<b><u>Page(s)</u></b>
Report Summary	1
Broker Qualifications	2 - 5
Registration and Employment History	6

## About BrokerCheck®

BrokerCheck offers information on all current, and many former, registered securities brokers, and all current and former registered securities firms. FINRA strongly encourages investors to use BrokerCheck to check the background of securities brokers and brokerage firms before deciding to conduct, or continue to conduct, business with them.

- **What is included in a BrokerCheck report?**

- BrokerCheck reports for individual brokers include information such as employment history, professional qualifications, disciplinary actions, criminal convictions, civil judgments and arbitration awards. BrokerCheck reports for brokerage firms include information on a firm's profile, history, and operations, as well as many of the same disclosure events mentioned above.

- Please note that the information contained in a BrokerCheck report may include pending actions or allegations that may be contested, unresolved or unproven. In the end, these actions or allegations may be resolved in favor of the broker or brokerage firm, or concluded through a negotiated settlement with no admission or finding of wrongdoing.

- **Where did this information come from?**

- The information contained in BrokerCheck comes from FINRA's Central Registration Depository, or CRD® and is a combination of:

- o information FINRA and/or the Securities and Exchange Commission (SEC) require brokers and brokerage firms to submit as part of the registration and licensing process, and
- o information that regulators report regarding disciplinary actions or allegations against firms or brokers.

- **How current is this information?**

- Generally, active brokerage firms and brokers are required to update their professional and disciplinary information in CRD within 30 days. Under most circumstances, information reported by brokerage firms, brokers and regulators is available in BrokerCheck the next business day.

- **What if I want to check the background of an investment adviser firm or investment adviser representative?**

- To check the background of an investment adviser firm or representative, you can search for the firm or individual in BrokerCheck. If your search is successful, click on the link provided to view the available licensing and registration information in the SEC's Investment Adviser Public Disclosure (IAPD) website at <https://www.adviserinfo.sec.gov>. In the alternative, you may search the IAPD website directly or contact your state securities regulator at <http://www.finra.org/Investors/ToolsCalculators/BrokerCheck/P455414>.

- **Are there other resources I can use to check the background of investment professionals?**

- FINRA recommends that you learn as much as possible about an investment professional before deciding to work with them. Your state securities regulator can help you research brokers and investment adviser representatives doing business in your state.



Using this site/information means that you accept the FINRA BrokerCheck Terms and Conditions. A complete list of Terms and Conditions can be found at

[brokercheck.finra.org](http://brokercheck.finra.org)



For additional information about the contents of this report, please refer to the User Guidance or [www.finra.org/brokercheck](http://www.finra.org/brokercheck). It provides a glossary of terms and a list of frequently asked questions, as well as additional resources. [For more information about FINRA, visit www.finra.org.](http://www.finra.org)

**Thank you for using FINRA BrokerCheck.**

**BRIAN D. HLIDEK**

CRD# 2150908

**Currently employed by and registered with the following Firm(s):****FORESIDE FUND SERVICES, LLC**

18 SHIPYARD DRIVE  
SUITE 3C  
HINGHAM, MA 02043  
CRD# 46106

Registered with this firm since: 03/30/2005

**Report Summary for this Broker**

This report summary provides an overview of the broker's professional background and conduct. Additional information can be found in the detailed report.

**Broker Qualifications****This broker is registered with:**

- 1 Self-Regulatory Organization
- 52 U.S. states and territories

**This broker has passed:**

- 1 Principal/Supervisory Exam
- 2 General Industry/Product Exams
- 2 State Securities Law Exams

**Registration History****This broker was previously registered with the following securities firm(s):****COBURN & MEREDITH, INC.**

CRD# 164  
SIMSBURY, CT  
02/1995 - 02/2005

**MERIDIAN, DUNHILL & CO., INC.**

CRD# 15294  
10/1994 - 02/1995

**FIRST MONTAUK SECURITIES CORP.**

CRD# 13755  
RED BANK, NJ  
01/1995 - 02/1995

**Disclosure Events**

All individuals registered to sell securities or provide investment advice are required to disclose customer complaints and arbitrations, regulatory actions, employment terminations, bankruptcy filings, and criminal or civil judicial proceedings.

Are there events disclosed about this broker? **No**

**Investment Adviser Representative Information**

The information below represents the individual's record as a broker. For details on this individual's record as an investment adviser representative, visit the SEC's Investment Adviser Public Disclosure website at <https://www.adviserinfo.sec.gov>



## Broker Qualifications

### Registrations

This section provides the self-regulatory organizations (SROs) and U.S. states/territories the broker is currently registered and licensed with, the category of each license, and the date on which it became effective. This section also provides, for every brokerage firm with which the broker is currently employed, the address of each branch where the broker works.

**This individual is currently registered with 1 SRO and is licensed in 52 U.S. states and territories through his or her employer.**

### Employment 1 of 1

Firm Name: **FORESIDE FUND SERVICES, LLC**

Main Office Address: **THREE CANAL PLAZA  
3RD FLOOR  
PORTLAND, ME 04101**

Firm CRD#: **46106**

SRO	Category	Status	Date
FINRA	General Securities Representative	APPROVED	03/30/2005
FINRA	Investment Co./Variable Contracts Prin	APPROVED	01/02/2007

U.S. State/ Territory	Category	Status	Date	U.S. State/ Territory	Category	Status	Date
Alabama	Agent	APPROVED	01/19/2007	Hawaii	Agent	APPROVED	01/19/2007
Alaska	Agent	APPROVED	01/19/2007	Idaho	Agent	APPROVED	01/19/2007
Arizona	Agent	APPROVED	01/19/2007	Illinois	Agent	APPROVED	03/30/2005
Arkansas	Agent	APPROVED	01/19/2007	Indiana	Agent	APPROVED	03/30/2005
California	Agent	APPROVED	03/30/2005	Iowa	Agent	APPROVED	03/30/2005
Colorado	Agent	APPROVED	01/19/2007	Kansas	Agent	APPROVED	03/30/2005
Connecticut	Agent	APPROVED	03/30/2005	Kentucky	Agent	APPROVED	01/19/2007
Delaware	Agent	APPROVED	01/19/2007	Louisiana	Agent	APPROVED	01/19/2007
District of Columbia	Agent	APPROVED	01/19/2007	Maine	Agent	APPROVED	01/19/2007
Florida	Agent	APPROVED	03/30/2005	Maryland	Agent	APPROVED	01/19/2007
Georgia	Agent	APPROVED	03/30/2005	Massachusetts	Agent	APPROVED	03/30/2005
				Michigan	Agent	APPROVED	01/19/2007



## Broker Qualifications

### Employment 1 of 1, continued

U.S. State/ Territory	Category	Status	Date
Minnesota	Agent	APPROVED	03/30/2005
Mississippi	Agent	APPROVED	01/19/2007
Missouri	Agent	APPROVED	03/30/2005
Montana	Agent	APPROVED	01/19/2007
Nebraska	Agent	APPROVED	01/19/2007
Nevada	Agent	APPROVED	01/19/2007
New Hampshire	Agent	APPROVED	01/19/2007
New Jersey	Agent	APPROVED	03/30/2005
New Mexico	Agent	APPROVED	01/19/2007
New York	Agent	APPROVED	03/30/2005
North Carolina	Agent	APPROVED	01/19/2007
North Dakota	Agent	APPROVED	01/19/2007
Ohio	Agent	APPROVED	03/30/2005
Oklahoma	Agent	APPROVED	01/19/2007
Oregon	Agent	APPROVED	01/19/2007
Pennsylvania	Agent	APPROVED	03/30/2005
Puerto Rico	Agent	APPROVED	01/19/2007
Rhode Island	Agent	APPROVED	01/19/2007
South Carolina	Agent	APPROVED	01/19/2007
South Dakota	Agent	APPROVED	01/19/2007
Tennessee	Agent	APPROVED	03/30/2005
Texas	Agent	APPROVED	03/30/2005
Utah	Agent	APPROVED	01/19/2007
Vermont	Agent	APPROVED	01/19/2007
Virginia	Agent	APPROVED	01/19/2007

U.S. State/ Territory	Category	Status	Date
Washington	Agent	APPROVED	01/19/2007
West Virginia	Agent	APPROVED	01/19/2007
Wisconsin	Agent	APPROVED	03/30/2005
Wyoming	Agent	APPROVED	01/19/2007

## Broker Qualifications



### Employment 1 of 1, continued

#### Branch Office Locations

**FORESIDE FUND SERVICES, LLC**

18 SHIPYARD DRIVE

SUITE 3C

HINGHAM, MA 02043

---



## Broker Qualifications

### Industry Exams this Broker has Passed

This section includes all securities industry exams that the broker has passed. Under limited circumstances, a broker may attain a registration after receiving an exam waiver based on exams the broker has passed and/or qualifying work experience. Any exam waivers that the broker has received are not included below.

**This individual has passed 1 principal/supervisory exam, 2 general industry/product exams, and 2 state securities law exams.**

### Principal/Supervisory Exams

Exam	Category	Date
Investment Company Products/Variable Contracts Principal Examination	Series 26	12/29/2006

### General Industry/Product Exams

Exam	Category	Date
Securities Industry Essentials Examination	SIE	10/01/2018
General Securities Representative Examination	Series 7	07/11/1991

### State Securities Law Exams

Exam	Category	Date
Uniform Investment Adviser Law Examination	Series 65	05/18/2007
Uniform Securities Agent State Law Examination	Series 63	07/29/1991

Additional information about the above exams or other exams FINRA administers to brokers and other securities professionals can be found at [www.finra.org/brokerqualifications/registeredrep/](http://www.finra.org/brokerqualifications/registeredrep/).



## Registration and Employment History

### Registration History

The broker previously was registered with the following firms:

Registration Dates	Firm Name	CRD#	Branch Location
02/1995 - 02/2005	COBURN & MEREDITH, INC.	164	SIMSBURY, CT
10/1994 - 02/1995	MERIDIAN, DUNHILL & CO., INC.	15294	
01/1995 - 02/1995	FIRST MONTAUK SECURITIES CORP.	13755	RED BANK, NJ
08/1992 - 10/1994	DUNHILL EQUITIES, INC.	21822	GARDEN CITY, NY
07/1991 - 08/1992	THOMAS JAMES ASSOCIATES, INC.	15609	ROCHESTER, NY

### Employment History

This section provides up to 10 years of an individual broker's employment history as reported by the individual broker on the most recently filed Form U4.

**Please note that the broker is required to provide this information only while registered with FINRA or a national securities exchange and the information is not updated via Form U4 after the broker ceases to be registered. Therefore, an employment end date of "Present" may not reflect the broker's current employment status.**

Employment Dates	Employer Name	Employer Location
03/2005 - Present	FORSIDE FUND SERVICES, LLC	HINGHAM, MA
08/2004 - Present	ABSOLUTE INVESTMENT ADVISERS LLC	HINGHAM, MA

### Other Business Activities

This section includes information, if any, as provided by the broker regarding other business activities the broker is currently engaged in either as a proprietor, partner, officer, director, employee, trustee, agent or otherwise. This section does not include non-investment related activity that is exclusively charitable, civic, religious or fraternal and is recognized as tax exempt.

- 1) FORESIDE FUND SERVICES, HINGHAM, MA, START DATE, 3/05; REGISTERED REPRESENTATIVE; INVESTMENT RELATED; CORRESPONDING WHOLESALING ACTIVITIES FOR MUTUAL FUNDS; 60 HRS/WEEK; .
- 2) ABSOLUTE PARTNERS ADVISORS, HINGHAM, MA; INVESTMENT RELATED; BEGAN 11/2014; EMPLOYEE HEDGE FUND; OWNER; 2 HOURS PER MONTH ALL DURING SECURITIES TRADING HOURS.
- 3) ABSOLUTE PARTNERS ADVISERS LLC; HINGHAM, MA; INVESTMENT RELATED; BEGAN 11/2014; GENERAL PARTNER TO ABSOLUTE PARTNERS FUND, VOTING MEMBER; 1 HOUR PER MONTH DEVOTED TO BUSINESS DURING TRADING HOURS.



## End of Report



**This page is intentionally left blank.**