FINRA

BrokerCheck Report

JERROD ALLEN PINKSTON

CRD# 2194716

About BrokerCheck®

BrokerCheck offers information on all current, and many former, registered securities brokers, and all current and former registered securities firms. FINRA strongly encourages investors to use BrokerCheck to check the background of securities brokers and brokerage firms before deciding to conduct, or continue to conduct, business with them.

- **What is included in a BrokerCheck report?**
- BrokerCheck reports for individual brokers include information such as employment history, professional qualifications, disciplinary actions, criminal convictions, civil judgments and arbitration awards. BrokerCheck reports for brokerage firms include information on a firm's profile, history, and operations, as well as many of the same disclosure events mentioned above.
- Please note that the information contained in a BrokerCheck report may include pending actions or allegations that may be contested, unresolved or unproven. In the end, these actions or allegations may be resolved in favor of the broker or brokerage firm, or concluded through a negotiated settlement with no admission or finding of wrongdoing.
- **Where did this information come from?**
- The information contained in BrokerCheck comes from FINRA's Central Registration Depository, or CRD® and is a combination of:
  - information FINRA and/or the Securities and Exchange Commission (SEC) require brokers and brokerage firms to submit as part of the registration and licensing process, and
  - information that regulators report regarding disciplinary actions or allegations against firms or brokers.
- **How current is this information?**
- Generally, active brokerage firms and brokers are required to update their professional and disciplinary information in CRD within 30 days. Under most circumstances, information reported by brokerage firms, brokers and regulators is available in BrokerCheck the next business day.
- **What if I want to check the background of an investment adviser firm or investment adviser representative?**
- To check the background of an investment adviser firm or representative, you can search for the firm or individual in BrokerCheck. If your search is successful, click on the link provided to view the available licensing and registration information in the SEC's Investment Adviser Public Disclosure (IAPD) website at https://www.adviserinfo.sec.gov. In the alternative, you may search the IAPD website directly or contact your state securities regulator at http://www.finra.org/Investors/ToolsCalculators/BrokerCheck/P455414.
- **Are there other resources I can use to check the background of investment professionals?**
- FINRA recommends that you learn as much as possible about an investment professional before deciding to work with them. Your state securities regulator can help you research brokers and investment adviser representatives doing business in your state.
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Thank you for using FINRA BrokerCheck.

For additional information about the contents of this report, please refer to the User Guidance or www.finra.org/brokercheck. It provides a glossary of terms and a list of frequently asked questions, as well as additional resources. For more information about FINRA, visit www.finra.org.

JERROD A. PINKSTON

CRD# 2194716

Currently employed by and registered with the following Firm(s):

IA **NEWEDGE ADVISORS**
8 Shackleford Plaza
Suite 207
Little Rock, AR 72211
CRD# 171351
Registered with this firm since: 11/17/2017

B **LPL FINANCIAL LLC**
8 SHACKLEFORD PLZ STE 207
LITTLE ROCK, AR 72211
CRD# 6413
Registered with this firm since: 11/17/2017

Report Summary for this Broker

This report summary provides an overview of the broker's professional background and conduct. Additional information can be found in the detailed report.

Broker Qualifications

This broker is registered with:

- 1 Self-Regulatory Organization
- 24 U.S. states and territories

This broker has passed:

- 2 Principal/Supervisory Exams
- 2 General Industry/Product Exams
- 2 State Securities Law Exams

Registration History

This broker was previously registered with the following securities firm(s):

IA **NEXT FINANCIAL GROUP, INC.**
CRD# 46214
HOUSTON, TX
10/2006 - 11/2017

B **NEXT FINANCIAL GROUP, INC.**
CRD# 46214
LITTLE ROCK, AR
10/2006 - 11/2017

IA **RAYMOND JAMES FINANCIAL SERVICES**
CRD# 6694
ST. PETERSBURG, FL
09/2005 - 10/2006

Disclosure Events

All individuals registered to sell securities or provide investment advice are required to disclose customer complaints and arbitrations, regulatory actions, employment terminations, bankruptcy filings, and criminal or civil judicial proceedings.

Are there events disclosed about this broker? **No**

Broker Qualifications

Registrations

This section provides the self-regulatory organizations (SROs) and U.S. states/territories the broker is currently registered and licensed with, the category of each license, and the date on which it became effective. This section also provides, for every brokerage firm with which the broker is currently employed, the address of each branch where the broker works.

This individual is currently registered with 1 SRO and is licensed in 24 U.S. states and territories through his or her employer.

Employment 1 of 2

Firm Name: **LPL FINANCIAL LLC**

Main Office Address: **1055 LPL WAY**
FORT MILL, SC 29715

Firm CRD#: **6413**

	SRO	Category	Status	Date
B	FINRA	General Securities Principal	Approved	11/17/2017
B	FINRA	General Securities Representative	Approved	11/17/2017
B	FINRA	Municipal Fund	Approved	11/17/2017

	U.S. State/ Territory	Category	Status	Date
B	Alabama	Agent	Approved	12/10/2017
B	Arkansas	Agent	Approved	11/17/2017
B	California	Agent	Approved	07/10/2023
B	Colorado	Agent	Approved	11/19/2019
B	Florida	Agent	Approved	06/17/2020
B	Indiana	Agent	Approved	08/28/2020
B	Kansas	Agent	Approved	02/05/2019
B	Kentucky	Agent	Approved	11/30/2020
B	Louisiana	Agent	Approved	11/17/2017
B	Maine	Agent	Approved	01/18/2018

Broker Qualifications

Employment 1 of 2, continued

	U.S. State/ Territory	Category	Status	Date
B	Maryland	Agent	Approved	01/06/2025
B	Massachusetts	Agent	Approved	01/23/2018
B	Minnesota	Agent	Approved	09/07/2018
B	Mississippi	Agent	Approved	11/17/2017
B	Missouri	Agent	Approved	04/09/2018
B	New York	Agent	Approved	07/10/2023
B	Ohio	Agent	Approved	11/17/2017
B	Oklahoma	Agent	Approved	11/17/2017
B	Pennsylvania	Agent	Approved	03/01/2022
B	Rhode Island	Agent	Approved	11/19/2019
B	South Carolina	Agent	Approved	11/17/2017
B	Tennessee	Agent	Approved	01/30/2018
B	Texas	Agent	Approved	11/17/2017
B	Virginia	Agent	Approved	11/19/2019

Branch Office Locations

LPL FINANCIAL LLC
8 SHACKLEFORD PLZ STE 207
LITTLE ROCK, AR 72211

LPL FINANCIAL LLC
1120 S WALTON BLVD, SUITE 137
BENTONVILLE, AR 72712

Broker Qualifications

Employment 2 of 2

Firm Name: **NEWEDGE ADVISORS**

Main Office Address: **858 CAMP STREET**
NEW ORLEANS, LA 70130

Firm CRD#: **171351**

	U.S. State/ Territory	Category	Status	Date
IA	Arkansas	Investment Adviser Representative	Approved	11/17/2017
IA	Texas	Investment Adviser Representative	Approved	11/17/2017

Branch Office Locations

858 CAMP STREET
NEW ORLEANS, LA 70130

8 Shackleford Plaza
Suite 207
Little Rock, AR 72211

1880 South 48th St.
Springdale, AR 72211

Broker Qualifications

Industry Exams this Broker has Passed

This section includes all securities industry exams that the broker has passed. Under limited circumstances, a broker may attain a registration after receiving an exam waiver based on exams the broker has passed and/or qualifying work experience. Any exam waivers that the broker has received are not included below. A passed exam or exam waiver does not permit a broker to do business without an active SRO or state registration.

This individual has passed 2 principal/supervisory exams, 2 general industry/product exams, and 2 state securities law exams.

Principal/Supervisory Exams

	Exam	Category	Date
B	Municipal Fund Securities Principal Examination	Series 51	10/15/2008
B	General Securities Principal Examination	Series 24	07/10/2007

General Industry/Product Exams

	Exam	Category	Date
B	Securities Industry Essentials Examination	SIE	10/01/2018
B	General Securities Representative Examination	Series 7	10/03/2002

State Securities Law Exams

	Exam	Category	Date
B IA	Uniform Combined State Law Examination	Series 66	10/29/2002
B	Uniform Securities Agent State Law Examination	Series 63	12/31/1991

Additional information about the above exams or other exams FINRA administers to brokers and other securities professionals can be found at www.finra.org/brokerqualifications/registeredrep/.

Broker Qualifications

Professional Designations

This section details that the representative has reported **0** professional designation(s).

No information reported.

Registration and Employment History

Registration History

The broker previously was registered with the following firms:

	Registration Dates	Firm Name	CRD#	Branch Location
B	10/2006 - 11/2017	NEXT FINANCIAL GROUP, INC.	46214	LITTLE ROCK, AR
IA	10/2006 - 11/2017	NEXT FINANCIAL GROUP, INC.	46214	LITTLE ROCK, AR
IA	09/2005 - 10/2006	RAYMOND JAMES FINANCIAL SERVICES	6694	LITTLE ROCK, AR
B	09/2005 - 10/2006	RAYMOND JAMES FINANCIAL SERVICES, INC.	6694	LITTLE ROCK, AR
B	01/2005 - 08/2005	ST. BERNARD FINANCIAL SERVICES, INC.	36956	RUSSELLVILLE, AR
IA	01/2005 - 08/2005	ST. BERNARD FINANCIAL SERVICES, INC.	36956	RUSSELLVILLE, AR
IA	02/2004 - 06/2004	FFP ADVISORY SERVICES INC	110778	SHERWOOD, AR
B	01/2004 - 06/2004	FFP SECURITIES, INC.	16337	CHESTERFIELD, MO
B	10/2002 - 01/2004	H.D. VEST INVESTMENT SERVICES	13686	DALLAS, TX
B	01/1992 - 09/1992	AMERICAN EXPRESS FINANCIAL ADVISORS INC.	6363	
B	01/1992 - 09/1992	IDS LIFE INSURANCE COMPANY	6321	

Employment History

This section provides up to 10 years of an individual broker's employment history as reported by the individual broker on the most recently filed Form U4.

Please note that the broker is required to provide this information only while registered with FINRA or a national securities exchange and the information is not updated via Form U4 after the broker ceases to be registered. Therefore, an employment end date of "Present" may not reflect the broker's current employment status.

Employment	Employer Name	Position	Investment Related	Employer Location
11/2017 - Present	LPL Financial LLC	Registered Representative	Y	Little Rock, AR, United States
11/2017 - Present	NewEdge Advisors, LLC (Formerly: GWM ADVISORS, LLC)	Investment Adviser Representative	Y	Little Rock, AR, United States

Registration and Employment History

Employment History, continued

Employment	Employer Name	Position	Investment Related	Employer Location
10/2006 - 11/2017	NEXT FINANCIAL GROUP INC	REGISTERED REPRESENTATIVE	Y	LITTLE ROCK, AR, United States

Other Business Activities

This section includes information, if any, as provided by the broker regarding other business activities the broker is currently engaged in either as a proprietor, partner, officer, director, employee, trustee, agent or otherwise. This section does not include non-investment related activity that is exclusively charitable, civic, religious or fraternal and is recognized as tax exempt.

1)11/17/17- Taxwise Wealth Management, Inc.- DBA for LPL Business (entity for LPL business)- Inv. Related- Little Rock, AR- 95%

2)11/17/17- Jerrod A Pinkston, CPA- Owner- Inv. Related- Little Rock, AR- 5%

3)11/17/17- Real Estate Rental- Property Owner- Inv. Related- Little Rock, AR - start date 11/16/17- 0%.

4) 11/20/2017 - Taxwise Wealth Management, Inc. - Investment Related - At Reported Business Location(s) - Registered Investment Advisor DBA - IAR - Started 11/16/2017 - 160 Hours Per Month/8 Hours During Securities Trading - Time Spent 95%.

5) 12/29/2021 - No Business Name - Investment Related - Home Based - Other-Notary Public - Start Date 10/10/2005 - 0 Hours Per Month/0 Hours During Securities Trading.

6) 2/9/2022 - NewEdge Advisors, LLC - Investment Related - At Reported Business Location(s) - Registered Investment Advisor Hybrid - IAR - Start Date: 11/16/2017 - 160 Hours Per Month/8 Hours During Securities Trading - Time Spent 95% - I provide investment advisory services through NewEdge Advisors LLC, an independent investment advisor firm. I started this business activity in 11/2017. I expect to spend approximately 160 hours per month on this activity. Please see the advisory firms Form ADV for more information about its address, the nature of its business, its owners, and its services at http://www.adviserinfo.sec.gov/IAPD. The firm is separate from and independent of LPL Financial.

End of Report

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