

BrokerCheck Report

RUSSELL GERARD TIGGES

CRD# 2288537

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When communicating online or investing with any professional, make sure you know who you're dealing with. [Imposters](#) might link to sites like BrokerCheck from [phishing](#) or similar scam websites, or through [social media](#), trying to steal your personal information or your money.

Please contact FINRA with any concerns.

About BrokerCheck®



BrokerCheck offers information on all current, and many former, registered securities brokers, and all current and former registered securities firms. FINRA strongly encourages investors to use BrokerCheck to check the background of securities brokers and brokerage firms before deciding to conduct, or continue to conduct, business with them.

- **What is included in a BrokerCheck report?**

- BrokerCheck reports for individual brokers include information such as employment history, professional qualifications, disciplinary actions, criminal convictions, civil judgments and arbitration awards. BrokerCheck reports for brokerage firms include information on a firm's profile, history, and operations, as well as many of the same disclosure events mentioned above.
- Please note that the information contained in a BrokerCheck report may include pending actions or allegations that may be contested, unresolved or unproven. In the end, these actions or allegations may be resolved in favor of the broker or brokerage firm, or concluded through a negotiated settlement with no admission or finding of wrongdoing.

- **Where did this information come from?**

- The information contained in BrokerCheck comes from FINRA's Central Registration Depository, or CRD® and is a combination of:
 - information FINRA and/or the Securities and Exchange Commission (SEC) require brokers and brokerage firms to submit as part of the registration and licensing process, and
 - information that regulators report regarding disciplinary actions or allegations against firms or brokers.

- **How current is this information?**

- Generally, active brokerage firms and brokers are required to update their professional and disciplinary information in CRD within 30 days. Under most circumstances, information reported by brokerage firms, brokers and regulators is available in BrokerCheck the next business day.

- **What if I want to check the background of an investment adviser firm or investment adviser representative?**

- To check the background of an investment adviser firm or representative, you can search for the firm or individual in BrokerCheck. If your search is successful, click on the link provided to view the available licensing and registration information in the SEC's Investment Adviser Public Disclosure (IAPD) website at <https://www.adviserinfo.sec.gov>. In the alternative, you may search the IAPD website directly or contact your state securities regulator at <http://www.finra.org/Investors/ToolsCalculators/BrokerCheck/P455414>.

- **Are there other resources I can use to check the background of investment professionals?**

- FINRA recommends that you learn as much as possible about an investment professional before deciding to work with them. Your state securities regulator can help you research brokers and investment adviser representatives doing business in your state.

Thank you for using FINRA BrokerCheck.



Using this site/information means that you accept the FINRA BrokerCheck Terms and Conditions. A complete list of Terms and Conditions can be found at brokercheck.finra.org



For additional information about the contents of this report, please refer to the User Guidance or www.finra.org/brokercheck. It provides a glossary of terms and a list of frequently asked questions, as well as additional resources. For more information about FINRA, visit www.finra.org.



RUSSELL G. TIGGES

CRD# 2288537

Currently employed by and registered with the following Firm(s):

- IA FBL WEALTH MANAGEMENT, LLC**
 5400 University Ave
 West Des Moines, IA 50266
 CRD# 291396
 Registered with this firm since: 08/29/2018
- B FBL MARKETING SERVICES, LLC**
 5400 UNIVERSITY AVE
 WEST DES MOINES, IA 50266
 CRD# 5309
 Registered with this firm since: 08/22/2002

Report Summary for this Broker

This report summary provides an overview of the broker's professional background and conduct. Additional information can be found in the detailed report.

Broker Qualifications

This broker is registered with:

- 1 Self-Regulatory Organization
- 16 U.S. states and territories

This broker has passed:

- 1 Principal/Supervisory Exam
- 3 General Industry/Product Exams
- 1 State Securities Law Exam

Registration History

This broker was previously registered with the following securities firm(s):

- IA FBL INVESTMENT MANAGEMENT SERVICES, INC.**
 CRD# 5308
 WEST DES MOINES, IA
 09/2008 - 02/2019
- IA PRUDENTIAL FINANCIAL PLANNING SERVICES**
 CRD# 5685
 NEWARK, NJ
 07/2000 - 08/2002
- B PRUCO SECURITIES CORPORATION**
 CRD# 5685
 NEWARK, NJ
 05/2000 - 08/2002

Disclosure Events

All individuals registered to sell securities or provide investment advice are required to disclose customer complaints and arbitrations, regulatory actions, employment terminations, bankruptcy filings, and criminal or civil judicial proceedings.

Are there events disclosed about this broker? **No**



Broker Qualifications

Registrations

This section provides the self-regulatory organizations (SROs) and U.S. states/territories the broker is currently registered and licensed with, the category of each license, and the date on which it became effective. This section also provides, for every brokerage firm with which the broker is currently employed, the address of each branch where the broker works.

This individual is currently registered with 1 SRO and is licensed in 16 U.S. states and territories through his or her employer.

Employment 1 of 2

Firm Name: **FBL MARKETING SERVICES, LLC**

Main Office Address: **5400 UNIVERSITY AVENUE
WEST DES MOINES, IA 50266**

Firm CRD#: **5309**

	SRO	Category	Status	Date
B	FINRA	General Securities Representative	Approved	08/22/2002
B	FINRA	Invest. Co and Variable Contracts	Approved	08/22/2002
B	FINRA	General Securities Principal	Approved	02/11/2004

	U.S. State/ Territory	Category	Status	Date
B	Arizona	Agent	Approved	02/25/2016
B	Colorado	Agent	Approved	02/25/2016
B	Idaho	Agent	Approved	02/25/2016
B	Indiana	Agent	Approved	02/25/2016
B	Iowa	Agent	Approved	08/22/2002
B	Kansas	Agent	Approved	02/25/2016
B	Minnesota	Agent	Approved	08/22/2002
B	Montana	Agent	Approved	02/18/2016
B	Nebraska	Agent	Approved	02/25/2016
B	New Mexico	Agent	Approved	02/25/2016



Broker Qualifications

Employment 1 of 2, continued

	U.S. State/ Territory	Category	Status	Date
B	North Dakota	Agent	Approved	02/25/2016
B	Oklahoma	Agent	Approved	02/25/2016
B	South Dakota	Agent	Approved	02/25/2016
B	Utah	Agent	Approved	02/25/2016
B	Wisconsin	Agent	Approved	08/22/2002
B	Wyoming	Agent	Approved	02/25/2016

Branch Office Locations

FBL MARKETING SERVICES, LLC

5400 UNIVERSITY AVE
WEST DES MOINES, IA 50266

Employment 2 of 2

Firm Name: **FBL WEALTH MANAGEMENT, LLC**

Main Office Address: **5400 UNIVERSITY AVENUE
WEST DES MOINES, IA 50266**

Firm CRD#: **291396**

	U.S. State/ Territory	Category	Status	Date
IA	Iowa	Investment Adviser Representative	Approved	08/29/2018

Branch Office Locations

5400 University Ave
West Des Moines, IA 50266



Broker Qualifications

Industry Exams this Broker has Passed

This section includes all securities industry exams that the broker has passed. Under limited circumstances, a broker may attain a registration after receiving an exam waiver based on exams the broker has passed and/or qualifying work experience. Any exam waivers that the broker has received are not included below. A passed exam or exam waiver does not permit a broker to do business without an active SRO or state registration.

This individual has passed 1 principal/supervisory exam, 3 general industry/product exams, and 1 state securities law exam.

Principal/Supervisory Exams

Exam	Category	Date
B General Securities Principal Examination	Series 24	02/10/2004

General Industry/Product Exams

Exam	Category	Date
B Securities Industry Essentials Examination	SIE	10/01/2018
B General Securities Representative Examination	Series 7	11/23/1999
B Investment Company Products/Variable Contracts Representative Examination	Series 6	07/07/1995

State Securities Law Exams

Exam	Category	Date
B IA Uniform Combined State Law Examination	Series 66	05/23/2000

Additional information about the above exams or other exams FINRA administers to brokers and other securities professionals can be found at www.finra.org/brokerqualifications/registeredrep/.



Broker Qualifications

Professional Designations

This section details that the representative has reported **2** professional designation(s).

Certified Financial Planner
Chartered Financial Consultant

This representative holds or did hold **2** professional designation(s) that may have been used to qualify as an Investment Advisor representative. Please check with the appropriate designation authority for verification that the designation is still in effect. The contact information for these professional designation authorities can be found on the website for the North American Securities Administrators Association at <http://www.nasaa.org>



Registration and Employment History

Registration History

The broker previously was registered with the following firms:

Registration Dates	Firm Name	CRD#	Branch Location
IA 09/2008 - 02/2019	FBL INVESTMENT MANAGEMENT SERVICES, INC.	5308	WEST DES MOINES, IA
IA 07/2000 - 08/2002	PRUDENTIAL FINANCIAL PLANNING SERVICES	5685	WEST DES MOINES, IA
B 05/2000 - 08/2002	PRUCO SECURITIES CORPORATION	5685	NEWARK, NJ
B 07/1995 - 05/2000	PRINCOR FINANCIAL SERVICES CORPORATION	1137	DES MOINES, IA

Employment History

This section provides up to 10 years of an individual broker's employment history as reported by the individual broker on the most recently filed Form U4.

Please note that the broker is required to provide this information only while registered with FINRA or a national securities exchange and the information is not updated via Form U4 after the broker ceases to be registered. Therefore, an employment end date of "Present" may not reflect the broker's current employment status.

Employment	Employer Name	Position	Investment Related	Employer Location
04/2025 - Present	FBL Financial Group	Financial Services Sales Support VP	N	West Des Moines, IA, United States
08/2002 - Present	FBL Marketing Services	Registered Rep	Y	WEST DES MOINES, IA, United States
01/2016 - 03/2025	Farm Bureau Financial Services	Sales Support Vice President	N	West Des Moines, IA, United States
08/2002 - 01/2016	Farm Bureau Financial Services	Director Sales Support	N	West Des Moines, IA, United States

Other Business Activities

This section includes information, if any, as provided by the broker regarding other business activities the broker is currently engaged in either as a proprietor, partner, officer, director, employee, trustee, agent or otherwise. This section does not include non-investment related activity that is exclusively charitable, civic, religious or fraternal and is recognized as tax exempt.

1. FBL INVESTMENT MANAGEMENT SERVICES, INC; INVESTMENT RELATED; 5400 UNIVERSITY AVE, WEST DES MOINES IA 50266; FINANCIAL PLANNING; INVESTMENT ADVISER REPRESENTATIVE; DATE OF FBLIMS RELEASE LETTER, UNTIL FURTHER NOTICE;

Registration and Employment History



Other Business Activities, continued

Varied HOURS PER MONTH; Varied HOURS DEVOTED PER MONTH DURING TRADING HOURS; PROVIDE FINANCIAL PLANNING SERVICES TO CUSTOMERS; FEE BASED, HOURLY RATE.

2. FBL WEALTH MANAGEMENT, LLC; INVESTMENT RELATED; 5400 UNIVERSITY AVE, WEST DES MOINES IA 50266; INVESTMENT ADVISORY AND FINANCIAL PLANNING; INVESTMENT ADVISER REPRESENTATIVE; DATE OF FBLIMS RELEASE LETTER, UNTIL FURTHER NOTICE; Varied HOURS PER MONTH; Varied HOURS DEVOTED PER MONTH DURING TRADING HOURS; PROVIDE INVESTMENT ADVISORY AND FINANCIAL PLANNING SERVICES TO CUSTOMERS; FEE BASED, HOURLY RATE.

End of Report



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