

## BrokerCheck Report

**CARLA JUNE CARGLE**

CRD# 2307882

<u>Section Title</u>	<u>Page(s)</u>
Report Summary	1
Broker Qualifications	2 - 4
Registration and Employment History	6 - 7



When communicating online or investing with any professional, make sure you know who you're dealing with. [Imposters](#) might link to sites like BrokerCheck from [phishing](#) or similar scam websites, or through [social media](#), trying to steal your personal information or your money.

Please contact FINRA with any concerns.

## About BrokerCheck®

BrokerCheck offers information on all current, and many former, registered securities brokers, and all current and former registered securities firms. FINRA strongly encourages investors to use BrokerCheck to check the background of securities brokers and brokerage firms before deciding to conduct, or continue to conduct, business with them.

- **What is included in a BrokerCheck report?**

- BrokerCheck reports for individual brokers include information such as employment history, professional qualifications, disciplinary actions, criminal convictions, civil judgments and arbitration awards. BrokerCheck reports for brokerage firms include information on a firm's profile, history, and operations, as well as many of the same disclosure events mentioned above.
- Please note that the information contained in a BrokerCheck report may include pending actions or allegations that may be contested, unresolved or unproven. In the end, these actions or allegations may be resolved in favor of the broker or brokerage firm, or concluded through a negotiated settlement with no admission or finding of wrongdoing.

- **Where did this information come from?**

- The information contained in BrokerCheck comes from FINRA's Central Registration Depository, or CRD® and is a combination of:
  - information FINRA and/or the Securities and Exchange Commission (SEC) require brokers and brokerage firms to submit as part of the registration and licensing process, and
  - information that regulators report regarding disciplinary actions or allegations against firms or brokers.

- **How current is this information?**

- Generally, active brokerage firms and brokers are required to update their professional and disciplinary information in CRD within 30 days. Under most circumstances, information reported by brokerage firms, brokers and regulators is available in BrokerCheck the next business day.

- **What if I want to check the background of an investment adviser firm or investment adviser representative?**

- To check the background of an investment adviser firm or representative, you can search for the firm or individual in BrokerCheck. If your search is successful, click on the link provided to view the available licensing and registration information in the SEC's Investment Adviser Public Disclosure (IAPD) website at <https://www.adviserinfo.sec.gov>. In the alternative, you may search the IAPD website directly or contact your state securities regulator at <http://www.finra.org/Investors/ToolsCalculators/BrokerCheck/P455414>.

- **Are there other resources I can use to check the background of investment professionals?**

- FINRA recommends that you learn as much as possible about an investment professional before deciding to work with them. Your state securities regulator can help you research brokers and investment adviser representatives doing business in your state.

**Thank you for using FINRA BrokerCheck.**



Using this site/information means that you accept the FINRA BrokerCheck Terms and Conditions. A complete list of Terms and Conditions can be found at [brokercheck.finra.org](http://brokercheck.finra.org)



For additional information about the contents of this report, please refer to the User Guidance or [www.finra.org/brokercheck](http://www.finra.org/brokercheck). It provides a glossary of terms and a list of frequently asked questions, as well as additional resources. For more information about FINRA, visit [www.finra.org](http://www.finra.org).

**CARLA J. CARGLE**

CRD# 2307882

**Currently employed by and registered with the following Firm(s):**

**IA AMERITAS ADVISORY SERVICES, LLC**  
 14090 SW FREEWAY STE 300  
 SUGARLAND, TX 77478  
 CRD# 317245  
 Registered with this firm since: 11/01/2021

**B AMERITAS INVESTMENT COMPANY, LLC**  
 STAFFORD, TX  
 CRD# 14869  
 Registered with this firm since: 06/26/2013

**Report Summary for this Broker**

This report summary provides an overview of the broker's professional background and conduct. Additional information can be found in the detailed report.

**Broker Qualifications****This broker is registered with:**

- 1 Self-Regulatory Organization
- 10 U.S. states and territories

**This broker has passed:**

- 0 Principal/Supervisory Exams
- 2 General Industry/Product Exams
- 2 State Securities Law Exams

**Registration History****This broker was previously registered with the following securities firm(s):**

- IA AMERITAS ADVISORY SERVICES**  
 CRD# 14869  
 LINCOLN, NE  
 06/2013 - 11/2021
- B THE O.N. EQUITY SALES COMPANY**  
 CRD# 2936  
 STAFFORD, TX  
 01/2004 - 06/2013
- IA NOVODYN ADVISORS LLC**  
 CRD# 156451  
 THE WOODLANDS, TX  
 04/2011 - 12/2012

**Disclosure Events**

All individuals registered to sell securities or provide investment advice are required to disclose customer complaints and arbitrations, regulatory actions, employment terminations, bankruptcy filings, and criminal or civil judicial proceedings.

Are there events disclosed about this broker? **No**



## Broker Qualifications

### Registrations

This section provides the self-regulatory organizations (SROs) and U.S. states/territories the broker is currently registered and licensed with, the category of each license, and the date on which it became effective. This section also provides, for every brokerage firm with which the broker is currently employed, the address of each branch where the broker works.

**This individual is currently registered with 1 SRO and is licensed in 10 U.S. states and territories through his or her employer.**

### Employment 1 of 2

Firm Name: **AMERITAS ADVISORY SERVICES, LLC**

Main Office Address: **5900 O STREET  
LINCOLN, NE 68510**

Firm CRD#: **317245**

	U.S. State/ Territory	Category	Status	Date
IA	Texas	Investment Adviser Representative	Approved	11/01/2021

### Branch Office Locations

14090 SW FREEWAY STE 300  
SUGARLAND, TX 77478

### Employment 2 of 2

Firm Name: **AMERITAS INVESTMENT COMPANY, LLC**

Main Office Address: **5900 "O" STREET  
LINCOLN, NE 68510-2234**

Firm CRD#: **14869**

	SRO	Category	Status	Date
B	FINRA	Invest. Co and Variable Contracts	Approved	06/26/2013

	U.S. State/ Territory	Category	Status	Date
B	Arizona	Agent	Approved	08/28/2015
B	California	Agent	Approved	01/17/2014



Broker Qualifications

Employment 2 of 2, continued

	U.S. State/ Territory	Category	Status	Date
B	Florida	Agent	Approved	11/26/2018
B	Georgia	Agent	Approved	05/02/2025
B	Illinois	Agent	Approved	09/16/2024
B	Maryland	Agent	Approved	01/05/2021
B	Michigan	Agent	Approved	03/28/2018
B	Ohio	Agent	Approved	03/02/2022
B	Texas	Agent	Approved	06/26/2013
B	Virginia	Agent	Approved	01/18/2022

Branch Office Locations

AMERITAS INVESTMENT COMPANY, LLC  
STAFFORD, TX

AMERITAS INVESTMENT COMPANY, LLC  
14090 SW FREEWAY  
STE 300  
SUGARLAND, TX 77478



## Broker Qualifications

### Industry Exams this Broker has Passed

This section includes all securities industry exams that the broker has passed. Under limited circumstances, a broker may attain a registration after receiving an exam waiver based on exams the broker has passed and/or qualifying work experience. Any exam waivers that the broker has received are not included below. A passed exam or exam waiver does not permit a broker to do business without an active SRO or state registration.

**This individual has passed 0 principal/supervisory exams, 2 general industry/product exams, and 2 state securities law exams.**

### Principal/Supervisory Exams

Exam	Category	Date
No information reported.		

### General Industry/Product Exams

Exam	Category	Date
<b>B</b> Securities Industry Essentials Examination	SIE	10/01/2018
<b>B</b> Investment Company Products/Variable Contracts Representative Examination	Series 6	09/22/1993

### State Securities Law Exams

Exam	Category	Date
<b>IA</b> Uniform Investment Adviser Law Examination	Series 65	01/10/2002
<b>B</b> Uniform Securities Agent State Law Examination	Series 63	09/22/1993

Additional information about the above exams or other exams FINRA administers to brokers and other securities professionals can be found at [www.finra.org/brokerqualifications/registeredrep/](http://www.finra.org/brokerqualifications/registeredrep/).

## Broker Qualifications



## Professional Designations

This section details that the representative has reported **0** professional designation(s).

No information reported.



## Registration and Employment History

### Registration History

The broker previously was registered with the following firms:

Registration Dates	Firm Name	CRD#	Branch Location
<b>IA</b> 06/2013 - 11/2021	AMERITAS ADVISORY SERVICES	14869	LINCOLN, NE
<b>B</b> 01/2004 - 06/2013	THE O.N. EQUITY SALES COMPANY	2936	STAFFORD, TX
<b>IA</b> 04/2011 - 12/2012	NOVODYN ADVISORS LLC	156451	THE WOODLANDS, TX
<b>IA</b> 11/2004 - 12/2004	ON INVESTMENT MANAGEMENT CO	105662	SUGAR LAND, TX
<b>B</b> 10/2003 - 12/2003	AMERITAS INVESTMENT CORP.	14869	LINCOLN, NE
<b>IA</b> 04/2002 - 12/2003	THE ADVISORS GROUP, INC.	14035	HOUSTON, TX
<b>B</b> 02/1998 - 10/2003	THE ADVISORS GROUP, INC.	14035	BETHESDA, MD
<b>B</b> 07/1994 - 03/1998	THE VARIABLE ANNUITY MARKETING COMPANY	5081	HOUSTON, TX
<b>B</b> 11/1993 - 04/1995	NORTH AMERICAN MANAGEMENT, INC.	624	SIOUX FALLS, SD
<b>B</b> 09/1993 - 11/1993	PFS INVESTMENTS INC.	10111	DULUTH, GA

### Employment History

This section provides up to 10 years of an individual broker's employment history as reported by the individual broker on the most recently filed Form U4.

**Please note that the broker is required to provide this information only while registered with FINRA or a national securities exchange and the information is not updated via Form U4 after the broker ceases to be registered. Therefore, an employment end date of "Present" may not reflect the broker's current employment status.**

Employment	Employer Name	Position	Investment Related	Employer Location
09/2023 - Present	WOMEN GO SWISH	OWNER	N	STAFFORD, TX, United States
11/2021 - Present	AMERITAS ADVISORY SERVICES, LLC	Mass Transfer	Y	LINCOLN, NE, United States
01/2016 - Present	G1W LLC	Owner	N	Stafford, TX, United States
06/2013 - Present	AMERITAS INVESTMENT CORP	REGISTERED REPRESENTATIVE	Y	LINCOLN, NE, United States
11/2006 - Present	GENESIS ONE WEALTH BUILDERS	FOUNDER/ CEO	Y	SUGAR LAND, TX, United States





## Registration and Employment History

### Employment History, continued

Employment	Employer Name	Position	Investment Related	Employer Location
03/2006 - Present	AMERITAS LIFE INSURANCE CORP	LICENSED AGENT	Y	LINCOLN, NE, United States
08/2002 - Present	THE FINANCIAL TRUTH	OWNER	N	SUGAR LAND, TX, United States

### Other Business Activities

This section includes information, if any, as provided by the broker regarding other business activities the broker is currently engaged in either as a proprietor, partner, officer, director, employee, trustee, agent or otherwise. This section does not include non-investment related activity that is exclusively charitable, civic, religious or fraternal and is recognized as tax exempt.

LICENSED AS AN INDEPENDENT INSURANCE AGENT TO SELL FIXED INSURANCE PRODUCTS \* GENESIS ONE WEALTH BUILDERS; SAME AS BRANCH; INV REL; INSURANCE AND ANNUITIES; OWNER/CEO; START DATE=11/2006; HRS/MO=120; TRADING HRS/MO=100; ADVISE AND SELL LIFE INSURANCE, LTC, ANNUITIES, CONDUCT CLIENT REVIEWS \* THE FINANCIAL TRUTH; SAME AS BRANCH; NON-INV REL; INSPIRATIONAL BOOKS AND MESSAGES; OWNER; START DATE=08/2002; HRS/MO=8; TRADING HRS/MO=0; WRITE BOOKS AND INSPIRATIONAL MESSAGES \* G1W LLC; same as residence; non-inv rel; healthy snack vending machines; owner; start date=01/2016; hrs/mo=16; trading hrs/mo=4; stock and restock vending machines, buy product \* Wealth Builders Publishing House; same as residence; non-inv rel; publishes the books written under The Financial Truth, publishes wealth empowerment books; Owner/Author; start date=05/2019; hrs/mo=8; trading hrs/mo=0; I write the books that are published \* Institute for Wealthy and Abundant Living; same as branch; non-inv rel; The Financial Truth is providing online learning courses for holistic wealth; Founder; start date=11/2021; hrs/mo=6; trading hrs/mo=0; courses provided via online learning platform, course material is content from The Financial Truth; National Association of Insurance And Financial Advisors; 2901 Telestar Court, Falls Church, VA 22042; non-inv rel; Financial Advisor association; Member; start date=11/2021; hrs/mo=0; trading hrs/mo=0; No specific duties \* Women Go Swish; non inv rel; same as branch; Fashion conscious women's athletic wear; Owner; start date=09/2023; hrs/mo=10; trading hrs/mo=0; No day to day responsibilities

## End of Report



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